



Massachusetts Cannabis Control Commission

Public Record Request

Marijuana Microbusin	ess		
General Information:			
License Number:	MB281332		
Original Issued Date:	02/10/2020		
Issued Date:	02/10/2020		
Expiration Date:	02/10/2021		
Payment Received:	\$625	Payment Required:	\$625
ABOUT THE MARIJUA	NA ESTABLIS	HMENT	
Business Legal Name	: RiverRun Gai	rdens, LLC	Federal Tax Identification Number EIN/TIN:
Phone Number:	Em	ail Address: ed@river	rungardens.com
978-207-1251			
Business Address 1: 5	50 Main St		Business Address 2: Suite 200
Business City: North F	Reading Bu	siness State: MA	Business Zip Code: 01864
Mailing Address 1: 50	Main St		Mailing Address 2: Suite 200
Mailing City: North Re	ading Ma	iling State: MA	Mailing Zip Code: 01864

CERTIFIED DISADVANTAGED BUSINESS ENTERPRISES (DBES)

Certified Disadvantaged Business Enterprises (DBEs): Not a DBE

PRIORITY APPLICANT

Priority Applicant: no

Priority Applicant Type: Not a Priority Applicant

Economic Empowerment Applicant Certification Number:

RMD Priority Certification Number:

RMD INFORMATION

Name of RMD: Department of Public Health RMD Registration Number: Operational and Registration Status: To your knowledge, is the existing RMD certificate of registration in good standing?: If no, describe the circumstances below:

Date generated: 09/01/2020

PERSONS WITH DIRECT OR INDIRE Person with Direct or Indirect Author					
Percentage Of Ownership: 51	Percentage Of Cont	rol: 51			
Role: Manager	Other Role:				
First Name: Edward	Middle Name:	Last Name: DeSousa	Suffix:		
Gender: Male	User	Defined Gender:			
What is this person's race or ethnic	ity?: White (German, Iris	sh, English, Italian, Polish, Fren	ch)		
Specify Race or Ethnicity:					
Person with Direct or Indirect Author	prity 2				
Percentage Of Ownership: 49	Percentage Of Cont	rol: 49			
Role: Manager	Other Role:				
First Name: Edward	Middle Name:	Last Name: DeSousa	Suffix:		
Gender: Male	User	Defined Gender:			
What is this person's race or ethnic	ity?: White (German, Iris	sh, English, Italian, Polish, Frend	ch)		
Specify Race or Ethnicity:					
ENTITIES WITH DIRECT OR INDIRE No records found	CT AUTHORITY				
CLOSE ASSOCIATES AND MEMBER Close Associates or Member 1	RS				
First Name: Edward	Middle Na	ame: Last Nar	ne: DeSousa	Suffix:	
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Describe the nature of the relations		n the Marijuana Establishment:			
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CAPITAL RESOURCES - ENTITIES No records found

DISCLOSURE OF INDIVIDUAL INTERESTS No records found

MARIJUANA ESTABLISHMENT PROPERTY DETAILS

Cultivation Environment: Indoor		Establishment Activities: Cultivating		
Establishment Address 1: 5 Perkins Way				
Establishment Address 2: Unit 8				
Establishment City: Newburyport	Establishment Zip Code: 01950			
Approximate square footage of the Establishmen	t: 7000 How many abutter	s does this property have?: 4		
Have all property abutters have been notified of the	he intent to open a Marijuana Establish	ment at this address?: Yes		

HOST COMMUNITY INFORMATION

Host Community Documentation:

Document Category	Document Name	Туре	ID	Upload
				Date
Community Outreach	Community Outreach Meeting Attestation Form.pdf	pdf	5cadea0773349d44fd628db2	04/10/2019
Meeting Documentation				
Community Outreach	Town Paper Notice.pdf	pdf	5cadea35b10c2044c559614d	04/10/2019
Meeting Documentation				
Community Outreach	Email From David Lakeman.pdf	pdf	5cadeb079b1a9b44dfe4c16b	04/10/2019
Meeting Documentation				
Certification of Host	Riverrun CHA Cert form.pdf	pdf	5cd193a90644270f9d87f605	05/07/2019
Community Agreement				
Plan to Remain Compliant	Newburyport Special Permit 06032019.pdf	pdf	5cf7fff1622b7c1357f70b25	06/05/2019
with Local Zoning				
Community Outreach	AttachmentB Comm Outreach Meeting.pdf	pdf	5da5d1616b4e192b1d27088a	10/15/2019
Meeting Documentation				
Community Outreach	Marijuana cultivation company to hold public meeting next	pdf	5da5d1e40724b82ff99cc8d0	10/15/2019
Meeting Documentation	month _ Local News _ newburyportnews.com.pdf			
Community Outreach	Attachement B City Clerk Stamped Notice.pdf	pdf	5db19f29572d3130006a4160	10/24/2019
Meeting Documentation				
Community Outreach	Attachement B Abutters Notice.pdf	pdf	5db19f31cdbfc22fc658cdab	10/24/2019
Meeting Documentation				
Plan to Remain Compliant	Municipal Zoning Compliance.pdf	pdf	5db1a2f24b00122fe399ef3d	10/24/2019
with Local Zoning				

Total amount of financial benefits accruing to the municipality as a result of the host community agreement. If the total amount is zero, please enter zero and provide documentation explaining this number.: \$

PLAN FOR POSITIVE IMPACT

Plan to Positively Impact Areas of Disproportionate Impact:

Document Category	Document Name	Туре	ID	Upload Date
Plan for Positive Impact	RiverRun Gardens-C3RN PIP Letter.pdf	pdf	5dee4356d5b0805341c65b02	12/09/2019

ADDITIONAL INFORMATION NOTIFICATION Notification: I understand INDIVIDUAL BACKGROUND INFORMATION Individual Background Information 1 Role: Manager Other Role: First Name: Edward Middle Name: Last Name: DeSousa Suffix: RMD Association: Not associated with an RMD **Background Question: no** Individual Background Information 2 Role: Manager Other Role: First Name: Edward Middle Name: Last Name: DeSousa Suffix: RMD Association: Not associated with an RMD **Background Question: no** Individual Background Information 3 Other Role: Investor Role: Other (specify) First Name: Stephen Middle Name: Last Name: DeSousa Suffix: RMD Association: Not associated with an RMD Background Question: no

ENTITY BACKGROUND CHECK INFORMATION No records found

MASSACHUSETTS BUSINESS REGISTRATION

Required Business Documentation:

Document Category	Document Name	Туре	ID	Upload
				Date
Department of Revenue - Certificate of Good standing	Certificate of Good Standing	pdf	5c910b621e71bd126232e7ed	03/19/2019
	2019.pdf			
Articles of Organization	Article of Incorp.pdf	pdf	5c9387e6edbb73122a61a32f	03/21/2019
Bylaws	Bylaws.pdf	pdf	5c9387faeadf341230f6b20a	03/21/2019
Secretary of Commonwealth - Certificate of Good	Sec of Comm Good Standing.pdf	pdf	5c98d2d63d84de123a615048	03/25/2019
Standing				

No documents uploaded

Massachusetts Business Identification Number: 001311291

Doing-Business-As Name:

DBA Registration City:

BUSINESS PLAN

Business Plan Documentation:

Document Category	Document Name	Туре	ID	Upload Date
Plan for Liability Insurance	Insurance.pdf	pdf	5cd1ba5c4265c30f716e9edf	05/07/2019
Business Plan	Riverrun Gardens Business Plan October 2019.pdf	pdf	5db1a767cdbfc22fc658cdde	10/24/2019
Proposed Timeline	RiverRun Gardens Proposed Timeline.pdf	pdf	5dee449f66a32657cfbdea49	12/09/2019

OPERATING POLICIES AND PROCEDURES

Policies and Procedures Documentation:

Document Category	Document Name		ID	Upload	
				Date	
Restricting Access to age 21 and older	RiverRun Gardens LLC-Restricting Access to Age	pdf	5cd1b4291e17b90a620c80e4	05/07/2019	
	21+ Only.pdf				
Prevention of diversion	Prevention of diversion RiverRun Gardens-Prevention of Diversion.pdf		5cd1b443bd6ce20a6dafe8fb	05/07/2019	
Maintaining of financial records	RiverRun Gardens LLC-Financial Records	pdf	5cdab98d722cea17c125cd55	05/14/2019	
	Maintanence SOP.pdf				
Storage of marijuana RiverRun Gardens-Storage SOPs.pdf		pdf	5da5e9b1c9aebd2b498a76d8	10/15/2019	
Policies and procedures for cultivating Policies and procedures for cultivating.pdf		pdf	5da7542ee3decf2b0b0d2870	10/16/2019	
Transportation of marijuana RiverRun Gardens-Transporation SOPs.pdf		pdf	5db1a7ea4b00122fe399ef64	10/24/2019	
Inventory procedures RiverRun Garden-Inventory SOPs.pdf		pdf	5db1a82751e4622fd806a991	10/24/2019	
Quality control and testing	RiverRun Gardens-Quality Control Testing	pdf	5db1a85b73225f2fcd7658e5	10/24/2019	
	SOPs.pdf				
Personnel policies including	RiverRun Gardens-Personnel Policies.pdf	pdf	5db1a8a8b207f82b12a9410e	10/24/2019	
background checks					
Qualifications and training RiverRun Gardens-Qualifications and Training.pdf		pdf	5db1a90bd5c8962b282db528	10/24/2019	
Security plan	RiverRun Gardens Security Plan.pdf		5ddd474b0f35e05798b39079	11/26/2019	
Record Keeping procedures	RiverRun Gardens-Record Keeping SOPs.pdf	pdf	5ddd49a00f35e05798b390b3	11/26/2019	
Diversity plan	RiverRun Gardens LLC-Diversity Plan.pdf	pdf	5e011cf8fab70557127ef89b	12/23/2019	

ATTESTATIONS

I certify that no additional entities or individuals meeting the requirement set forth in 935 CMR 500.101(1)(b)(1) or 935 CMR 500.101(2)(c)(1) have been omitted by the applicant from any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.: I Agree

I understand that the regulations stated above require an applicant for licensure to list all executives, managers, persons or entities having direct or indirect authority over the management, policies, security operations or cultivation operations of the Marijuana Establishment; close associates and members of the applicant, if any; and a list of all persons or entities contributing 10% or more of the initial capital to operate the Marijuana Establishment including capital that is in the form of land or buildings.: I Agree

I certify that any entities who are required to be listed by the regulations above do not include any omitted individuals, who by themselves, would be required to be listed individually in any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.: | Agree

Notifcation: I Understand

I certify that any changes in ownership or control, location, or name will be made pursuant to a separate process, as required under 935 CMR 500.104(1), and none of those changes have occurred in this application.:

I certify that to the best knowledge of any of the individuals listed within this application, there are no background events that have arisen since the issuance

of the establishment's final license that would raise suitability issues in accordance with 935 CMR 500.801.:

I certify that all information contained within this renewal application is complete and true.:

ADDITIONAL INFORMATION NOTIFICATION

Notification: I Understand

COMPLIANCE WITH POSITIVE IMPACT PLAN No records found

COMPLIANCE WITH DIVERSITY PLAN No records found

PRODUCT MANUFACTURER SPECIFIC REQUIREMENTS No records found

HOURS OF OPERATION

Monday From: 9:00 AM	Monday To: 5:00 AM
Tuesday From: 9:00 AM	Tuesday To: 5:00 AM
Wednesday From: 9:00 AM	Wednesday To: 5:00 PM
Thursday From: 9:00 AM	Thursday To: 5:00 PM
Friday From: 9:00 AM	Friday To: 5:00 PM
Saturday From:	Saturday To:
Sunday From:	Sunday To:



RiverRun Gardens

Business Plan May 2019

Edward DeSousa 978-207-1251 ed@riverrungardens.com

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Executive Summary

Summary

RiverRun Gardens is proposing to enter into the cannabis cultivation market, in the Commonwealth of Massachusetts. RiverRun Gardens is applying for a micro-business cultivation license and intends to either lease warehouse space to cultivate cannabis for the recreational market.

Main Goals

- Own and operate a cannabis cultivation facility in the Commonwealth of Massachusetts.
- To build a successful cultivation business and construct a recognizable brand in a rapidly growing industry.
- To be fully compliant with all state and local municipalities and be primed and ready for national expansion as federal laws adjust and evolve to the benefit of the cannabis industry.
- To become one of the best high-grade cannabis wholesalers in the state of Massachusetts to dispensaries and manufacturers.

Mission

To provide a locally owned, organically grown product that is superior in all ways. We will provide excellent employment compensation and benefits to our team members. A portion of our sales will be donated to local organizations such as homeless shelters, Veterans, and Schools.

Main Objective

Obtaining a Massachusetts Micro-Business Cultivation license, keeping on point with the company goals, acting as a guideline for us to follow as we grow larger.

Company Summary

RiverRun Gardens intends to start cultivation by end of third quarter, 2019

Location

RiverRun Gardens premises will be located in Newburyport, Massachusetts

Products and Services

RiverRun Gardens sole focus will be on cultivation of cannabis. After a couple years of successful operation, we will expand services to manufacturing.

Customers

RiverRun Gardens looks to establish itself as a wholesaler for cannabis in Massachusetts. We intend to sell our product to manufacturers and recreational stores.

Financial Forecast

MA will be a \$1.2 billion dollar industry. Other states show that flower is about 53% of their sales, 30% are in concentrates and the remaining is edibles. We are going after the concentrate & edible market.

RiverRun Gardens intends to become the premier cultivator of craft cannabis to grab a large share of cannabis flower sales.

Required Funds

RiverRun Gardens is a self-funded organization consisting of a father and son team. There is an investor, who is related to the family as well as room for additional investors, if we want to bring them onboard.

Company and Financing

Management

Edward M. DeSousa Managing Partner

20 years' experience in information technology. Edward is also a cannabis advocate and medical patient.

Edward's role is to manage the day to day operations including overseeing the mater grower, client relations and marketing.

Edward X. DeSousa Managing Partner Over 25 years as a small business owner, Edward has excelled in the field of transportation import and exports.

Edward's role is to manage the financial being of the organization.

Managing Partner

The roles of Managing Partner is going to be filled by Edward M. DeSousa and Edward X. DeSousa. Both part owners of RiverRun Gardens, LLC. The Managing Partners will ultimately be responsible for all positions within the organization.

- Leader:
 - Advises the Board O Advocates / promotes organization and stakeholder change related to organization mission
 - Supports motivation of employees in organization products/programs and operations
- Decision Maker

 Formulates policies and planning recommendations to the Board

 Decides or guides courses of action in operations by staff
- Manager

Oversee operations of the organization
 Implements plans
 Manages human resources of the organization
 Manages financial and physical resources

Horticulturist and Master Grower

The role of Horticulturist is held by Jim Blanchette. The role of Master grower is held by Steven Belanger

Responsible for managing the day-to-day operations of a large cannabis cultivation facility. Provides support for all cultivation agents, including schedules, training, policy and procedure updates, industry news, and product information. Oversees and manages all cultivation employees to perform the tasks necessary to grow various strains of high-quality cannabis in a large-scale indoor cultivation.

- Management Oversee and manage all cultivation employees to perform the tasks necessary to grow various strains of high-quality cannabis in a large-scale indoor cultivation.
- Train staff in all the tasks in the cultivation facility including:
 - Develop and maintain cultivation warehouse protocols and nutrient regiment

 Manage plant scheduling to project all garden needs on a daily, weekly and monthly schedule to keep the garden green and expenses low.

- Responsible for delegating tasks to cultivation staff in order to maintain a compliant and clean facility.
- Cultivation Responsibilities Catalogue and analyze strains and individual plants from clone to harvest.
 - Execute preventative maintenance to eliminate mold, powdery mildew, spider mites, root aphids, fungus gnats, etc.
 - Maintain a clean and organized work environment.

 Maintain a first class fertigation control system.
 Produce safe quality marijuana for adult use.
 - Help identify and react to all incidents.
- Development Research techniques to expand knowledge of individual strains, highyield recipes, controlled stress environments, different lighting systems, and setups.
 - Assist state and local government officials and law enforcement with inventory, sales, and compliance audits.
 - \circ $\;$ Have a basic and updated knowledge of all garden operations.
 - Have an understanding of all tools and equipment needed to run the cultivation facility.

 Implement safety practices and considerations.
 General facility maintenance and troubleshooting as necessary.
 Maintain odor control measures, plant sprays, and feeding protocol.
 Maintain access to limited access areas.

Exit Strategy

Our 2-year plan will be to brand, market and sell our products all over MA and be a leader within the industry. With our plan, we will continue to grow exponentially year after year. When we hit our goals, it will bring a lot of attention from potential buyers who will want our methods of operation and our brand name. Careful planning, strong morals and a lot of knowledge will lead the way into a very profitable future.

Mission Statement

To provide a locally owned, organically grown product that is superior in all ways. We will provide excellent employment compensation and benefits to our team members. A portion of our sales will be donated to local organizations such as homeless shelters, Veterans, and Schools.

Locations and Facilities

The company plans to purchase or lease a building that can be constructed suitable for cultivation. RiverRun Gardens, looks to establish itself in the North Shore region of Massachusetts.

Competitors

RiverRun will be competing with an array of companies that produce cannabis flower, however only a handful will be small enterprises. To separate ourselves from our competition, we will produce cannabis flower utilizing a no-till organic regimen. We feel this is greatly different from the large automated methods that will be used by larger facilities. Also, since we are under 10,000 square feet of canopy, we can use more traditional sources of lighting at 50 watts per square foot, where larger operations must adhere to 36 watts per square foot.

Marketing and Sales

Overview

RiverRun Gardens will market its products as an organic flower which gives consumers a controlled and memorable experience. The price point of this product is not only competitive with others on the market but can likely be priced below what is already on the market. This price point will be adjusted based on product strength and margin, but still stands below competition for a high-grade product.

Positioning

The positioning of RiverRun Gardens is going to be among premium organic cannabis flower. This means that we will maintain a high standard for quality and strict sourcing.

Pricing

Premium products will be priced just below similar products in this category. This will maintain the image of the product while also being a go to choice consumers looking to get the best value.

Distribution

Currently going to use the network of contacts that Ed has gained over years of business coupled with past experience building working relationships with sources that can sell our products. We will use this

relationship building expertise and generate business through providing superior products and top shelf customer service.

SWOT Analysis

Strengths

The process has been proven to work and produce high quality cannabis flower on multiple grows.

Weaknesses

There will be huge demands on the market. We will need to wait a couple of months in order to

run at full capacity. Opportunities

There is potential to sell trim to manufacturers which would also increase consumer awareness.

Threats

Federal Laws

Competitors who are willing to put out a cheap product both in value and quality and those who may choose these items due to a lack of product knowledge.

Competitive Edge

The company plans to compete on several vectors:

High Quality:

No-till organically grown cannabis flower, without the use of pesticides

Price point:

Price will be based on quality of our product vs competitors on the market today.

Operational efficiency:

Process has been honed over time and produces consistent results.

Strategic Alliances

RiverRun Gardens has made significant relationships within the Massachusetts market and maintains a strong relationship with other small cultivators and storefronts. These alliances provide the company with preferential pricing and assurances of product quality and consistency.

Financial Plan

RiverRun Gardens has the ability to produce a little over 1,400 pounds of cannabis flower per year. If everything moves on schedule, RiverRun will be able to produce income within its fourth month of operation.

Sales Forecast

		2020		2021	2022
Unit Sales Flower		200lbs	14:	17lbs	1417lbs
Price Per Unit Flower		\$2,000/Ib	\$1,50	00/lb	\$1,000/lb
Sales Flower \$400,000 Total Sales	\$2,125,500	\$1,417,000	\$400,000	\$2,125,500	\$1,417,000

Sales Forecast Table

INSURANCE

RiverRun Gardens will obtain all the required insurance per CCC regulations. We will obtain a minimum of;

General Liability - of no less than \$1,000,000 per occurrence and \$2,000,000 in aggregate, annually.

Product Liability of no less than \$1,000,000 per occurrence and \$2,000,000 in aggregate annually.

RiverRun Gardens is currently leasing warehouse space for our establishment and has general liability and building insurance per the attached policy; which will be increased and modified upon our license approval. (Attachment A)

RiverRun Gardens had also obtained insurance required by the CCC for our previous location per attached quotation. (Attachment B).

RiverRun is obtaining and negotiating with three separate Insurance brokers (See Exhibit 1) for the actual insurance as required when we obtain a license. Those agents are;

- Gilbert Insurance Mark S. Gilbert, 137 Mani St. Reading, MA 01867
- DiVirgilio Financial Group Michael Conlon, 270 Broadway, Lynn, MA 01904
- Foster Insurance- Ryan Logan, 321 Lunenburg St. Fitchburg, MA 01420

RiverRun Gardens LLC certifies that we will have insurance as required upon license approval from the Cannabis Control Commission

Edward X DeSousa Managing Partner 5/7/19

Name

Title

Date



137 Main Street Reading, MA 01867-3923 781-942-2225 FAX: 781-942-2226 Toll Free 1-888-942-2225 http://www.gilbertinsurance.com

5/7/19

RE: Commercial Insurance for RiverRun Gardens, LLC

Gilbert Insurance Agency, Inc. is currently pursuing commercial insurance for RiverRun Gardens, LLC.

We have submitted applications or will be submitting applications for the cannabis cultivation operation to the following wholesale insurance brokerages:

XS Brokers, SafeHerb, Cannasure & CannGenn

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We will obtain commercial general liability insurance & product liability insurance of no less than \$1,000,000 per occurrence and \$2,000,000 in aggregate annually.

Sincerely

David Gilbert, CIC

Providing coverage you can trust since 1951

	Effective TBD	Premium Company	\$1,799 Conifer Insurance Company	\$7,500 Conifer Insurance Company	\$1,632 Conifer Insurance Company	\$10,931		\$250	4%	\$250		SF. = Square Footage	Attachment (A) page / of 7	
		Basis*	SF SF	R						es and Fees		SF. =		
		Exposure	5,224	\$1,800,000	\$850,000				_	Estimated Taxes and Fees		$\mathbf{F} = \mathbf{F} \mathbf{I}_{\mathbf{A}} \mathbf{t}$		Motional Canachia Issurance Consissa
	Quotation			\$4.17	\$1.92	remium								Istional Canaal
		Deductible	\$0	\$0	\$2,500	d Annual P						V = Values		
	LC	Limits	\$1,000,000	\$1,000,000	\$\$50,000	Total Estimated Annual Premium								
NATIONAL CANNABIS INSURANCE SERVICES	River Run Gardens, LLC	Coverage	<u>General Liability</u>	Product Liability	Property		Taxes & Fees	Policy Fee	Surplus Lines 1 ax		* Exposure Basis Kcy	R = Gross Revenue		

.

River Run Gardens, LLC Effective Date: TBD Terms & Conditions

Payment Terms					
Insuring Companies:	Conifer				
Total Premium:	\$11,181				
Billing Company:	Conifer				
Downpayment Percentage:	25%				
Downpayment Amount:	\$2,795.26				
Installments #:	3				
Installment Amount:	\$2,795.26				

Downpayment due within 5 days of binding, remaining installments due 60, 120, 210 days.

Coverages Not Subject to Audit							
1) Property	3)						
2) General Liability	4)						

Special Terms & Conditions

1. Coverage will be bound upon receipt of:

- * Signed Application
- * Signed TRIA Form
- * Signed Marijuana Risk Warranty Form
- * Signed Surplus Lines Placement Notice
- * Completed EFT Form (optional)
- * Downpayment
- * Copy of the insured's active license

This represents your binder of insurance. The insurance is subject to the terms, conditions and limitations of the policy(s) in current use by the Company(s).

This binder may be cancelled by the Insured by surrender of this binder or by written notice to the Company stating when cancellation will be effective. This binder may be cancelled by the Company by notice to the Insured in accordance with the policy conditions. This binder is cancelled when replaced by a policy. If this binder is not replaced by a policy, the Company is entitled to charge a premium for the binder according to the Rules and Rates in use by the Company.

Date	Program Specifications Discussed With	Generate State
Proposal Summary		01/01/18 Edition
Coverage Proposed For	First Named Insured Street Address City, State, Zip	River Run Gardens, LLC 18 Graf Road Units 25 & 26 Newburyport, MA 01950
Policy Term	M/D/YY to M/D/YY	TBD to
Policy	Additional Named Insureds	Relationship
Coverage Applicable	Basis for Premium Calculation	
General Liability	Square Footage (office):	300
	Square Footage (grow facilities):	4924
	Square Footage (total):	5224
Product Liability	Gross Sales:	\$1,800,000
Property	Projected Property Values:	
	Real Property	
	Personal Property	\$50,000
	Business Interuption	\$500,000
	Tenants Improvements	\$300,000
	Equipment	
	Total Property Values:	\$850,000

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*The coverages shown on these Program Specifications are not a complete summary of the Insurance Policy. The Insured is subject to the Terms, Conditions, Limitations, and Exclusions of the Insurance Policy. Please take the time to thoroughly review the Policy and contact us with any questions or concerns.

A417

Date Discus	sed With		Quote Date	
Proposed Coverage	Classification	Limit	Deductible	
Commercial General Liability	97047			
General Aggregate		\$2,000,000		
Products & Completed Operations Aggregate		Excluded		
Personal and Advertising Injury	·	\$1,000,000	·	
Per Occurrence		\$1,000,000	\$0	
Damage to Premises Rented to You		\$100,000	\$0	
Medical Expense		\$1,000	\$0	
Endorsements/Enhancements:	X If Applicable			
Employers Liability For Monopolistic States (s	specify below)	Limit	Deductible	
States:				
Each Accident				
Each Employee				
Policy Limit				
Pesticide and Herbicide Applicators				
Defense Outside Limits	•			
Hired and Non Owned Autos				
Additional Insured				
 Automatic - Where required by contract 				
 Designated Individual or Organization 				
Limitations / Exclusions:	X If Applicable			
Contractual Liability Limitation (CG 2139)	<u> </u>			
Designated Location				
Firearms	X			
Health Hazard				
Dog Bite				
CGL Minimum Premium	%			
CGL Exposure Basis	SF			

•

A51)

•

ate	Discussed With	Quote Date		
roposed Coverage	Classification	Limit	Deductible	
roducts and Completed Operations				
General Aggregate		\$1,000,000	\$0	
Per Occurrence	-	\$2,000,000	\$0	
Endorsements/Enhancements:	X If Applicable			
Product Withdrawal				
Vendor Certificate				
Defense Outside Limits				
Limitations/Exclusions:	X If Applicable			
Insurance Specified Herbal Products				
Vaporizing Equipment and Components				
Foreign Products Liability				
Impariment				
Health Hazard				
Biological or Chemical Materials	X			
Seepage/Pollution/Contamination	X			
Claims Made Policy	х			
Retro-Active Date	Inception			



.

Date Discuss	ed With	Quote Date		
roposed Coverage	Form	Limit	Deductible	
roperty Coverage				
Real Property (Buildings)				
Personal Property (Contents)		\$50,000	\$2,500	
Finished Stock		\$50,000	\$2,500	
Business Interruption / Extra Expense		\$500,000	\$0	
Tenants Improvements		\$300,000	\$2,500	
Merchandise (Non-Cannabis Products)				
Equipment Breakdown				
Equipment Coverage				
Indoor Grow Equipment and Tools				
Outdoor Grow Equipment and Tools		<u> </u>		
EDP (Hardware / Software / Data & Media)				
Endorsements/Enhancements:	X If Applicable			
Causes of Loss	·····			
- Basic Form				
- Broad Form				
- Special Form	X			
Valuation Basis				
- Replacement Cost				
- Actual Cash Value				
- Agreed Amount				
- Co-Insurance Clause	80%	Building & Content	s	
- Co-Insurance Clause	25%	Business Income/O	rdinary Payroll Inc	
Transit				
Additional Coverage Parts	X If Applicable	Limit	Deductible	
*Flood	.			
Earthquake				
Coastal Wind			<u> </u>	
Building Ordinance Coverage				
Backup of Sewers and Drains				
Accounts Receivable				
Employee Dishonesty		<u> </u>		
Money & Securities				
Outdoor Property				
- Fence, Radio/TV, Antennas/Satellite Dishes/	Sign			
Outdoor Property				
- Trees, Shrubs or Plants Personal Efforts and Property of Others	<u> </u>			
Personal Effects and Property of Others				
Dronorty ()th Dromises				
Property Off-Premises			<u></u>	
Property Off-Premises Spoilage Valuable Papers and Records			<u></u>	

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ate Discu	ssed With	_ ('/	Quote Date	
roposed Coverage	Form			
xcess Liability Per Occurrence Limit Aggregate Limit (Other Than Auto)	Not Quoted	Policy Limit	Underlying	
Endorsements/Enhancements: Claims Reported on a Occurrence Basis Following Form Concurrency Endorsement Defense Costs - Outside Of Limits Minimum Earned Premium Annual Minimum Premium Exposure Basis Drop Down Coverage - Exhausted Underlying Retroactive Date Claims made policy only				
<u>Exclusions</u> Total Pollution Exclusion UM/UIM, Medical Payments, PIP	X If Applicable			
Schedule Of Underlying Policies	<u>Insurance Co.</u>	<u>Limit</u>	Policy Period	
erms & Conditions / Deductible Options:				

Suggested Limits

Renewal of Number POLICY DECLARATIONS No. CL 2742443 NAMED INSURED AND AD RIVER RUN GARDENS 50 MAIN ST STE 200 NORTH READING, MA	1190 Devon Park A Member Company DRESS: LLC	n Fire Insurance C Drive, Wayne, Pennsylv of United States Liability Ins	vania 19087
POLICY PERIOD: (MO. DA) FORM OF BUSINESS: BUSINESS DESCRIPTION: IN RETURNEOR THE	Limited Liability Company Vacant without Renovation	/ on	12:01 A.M. STANDARD TIME AT YOUR MAILING ADDRESS SHOWN ABOVE E TERMS OF THIS POLICY, WE AGREE
THIS POLICY CONSIST	WITH YOU TO PROVIDE	THE INSURANCE AS STATED IN VERAGE PARTS FOR WHICH A	THIS POLICY.
Commercial Liabil	ity Coverage Part		PREMIUM \$375.00
	I	OTAL:	\$375.00
Coverage Form(s) and Endo	· · ·	this policy at time of issue dorsement EOD (1/95)	
Agent: GILBERT INSURANC	E AGENCY, INC. (5572)	By:	Issued: 01/31/2019 4:20 PM Hann Althorized Representative
			ONS, COVERAGE PART DECLARATIONS,

		COMMERCIAL GENE		TY COVERAGE P	ART DECL	ARATIO	NS	
	Policy No.	CL 2742443	$\left(\begin{array}{c} \hat{f} \end{array} \right)$		fective Date:		19 TANDARD TIM	ИE
UM	IT'S OF INSURAN	CE AND THE STREET		/				
	ach Occurrence I						Ş	1,000,000
		ising Injury Limit (Any On	e Person/Orgar	ization)				Excluded
		Any One Person) hises Rented To You (Any		\				\$5,000
		ed Operations Aggregate		·)				\$100,000 Excluded
	eneral Aggregate							2,000,000
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			CRANK-SARD			- 90 (Sec. 2014)		
Loca							Territor	Y
1		rkins Way, Unit 8, Newbu	ryport, MA 019	50			016	
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1.00	Classification				Ra	**		Premium
Loc	Classification		Code No.	Premium Basis	Pr/Co	All Other	Pr/Co	All Other
1	Vacant Buildings - Not-For-Profit - Le	not factories - Other than ased	68606	7,000 Per 1,000 Total Area	Excluded	24.300	Excluded	\$275 MP
1	Additional Insured Premises	- Managers or Lessors of	49950	1 Per Additional	Excluded	100.000	Excluded	\$100
Cover	age Form(s)/Part/s		ım may be subj	NERAL LIABILITY (ect to adjustment.)	COVERAGE MP - minimun			\$375
				EOD (01/95)				
								ſ
T	HESE DECLARATIO	ONS ARE PART OF THE POLI		NS CONTAINING THE N mercial Risk Services, In			THE POLICY	PERIÓD.

EXTENSION OF DECLARATIONS

DO

Policy No. CL 2742443

FORMS AND ENDORSEMENTS

Effective Date: 01/29/2019

12:01 AM STANDARD TIME

Endt#	Revised	Description of Endorsements
CG0001	12/07	Commercial General Liability Coverage Form
CG0068	05/09	Recording And Distribution Of Material Or Information In Violation Of Law Exclusion
CG0203	03/08	Massachusetts Changes - Cancellation And Nonrenewal
CG2011	04/13	Additional Insured - Managers or Lessors of Premises
CG2104	11/85	Exclusion - Products-Completed Operations Hazard
CG2107	05/14	Exclusion - Access Or Disclosure Of Confidential Or Personal Information And Data-Related Liability - Limited Bodily Injury Exception Not Included
CG2109	06/15	Exclusion - Unmanned Aircraft
CG2136	03/05	Exclusion - New Entities
CG2138	11/85	Exclusion - Personal And Advertising Injury
CG2139	10/93	Contractual Liability Limitation
CG2147	12/07	Employment-Related Practices Exclusion
CG2173	01/15	Exclusion Of Certified Acts Of Terrorism
IL0017	11/98	Common Policy Conditions
IL0021	09/08	Nuclear Energy Liability Exclusion Endorsement
L 278VAC	12/14	Independent Contractors/Subcontractors Exclusion
L-232s	09/05	Classification Limitation Endorsement
L-395	11/05	Vacant Building Protection Warranty
L-500	02/11	Bodily Injury Exclusion - All Employees, Volunteer Workers, Temporary Workers, Casual Laborers, Contractors, And Subcontractors
L-532 VAC	12/14	Exclusion - Construction Operations
L-540	11/09	Exclusion - Exterior Work Over 50 Feet
L-599	10/12	Absolute Exclusion for Pollution, Organic Pathogen, Silica, Asbestos and Lead with a Hostile Fire Exception
L-685	05/10	Premises Limitation Endorsement
L-783	10/12	Amendment of Liquor Liability Exclusion
LLQ 100 MA	07/06	Who Is An Insured Clarification Endorsement
_LQ368	08/10	Separation Of Insureds Clarification Endorsement
Notice-Unmanned Aircraft–GL	05/16	Advisory Notice To Policyholders
NTP MA	01/10	Massachusetts Notice To Policyholders
TRIADN	02/15	Policyholder Disclosure Notice of Terrorism Insurance Coverage
Jacket	09/10	Commercial Insurance Policy Jacket



Host Community Agreement Certification Form

The applicant and contracting authority for the host community must complete each section of this form before uploading it to the application. Failure to complete a section will result in the application being deemed incomplete. Instructions to the applicant and/or municipality appear in italics. Please note that submission of information that is "misleading, incorrect, false, or fraudulent" is grounds for denial of an application for a license pursuant to 935 CMR 500.400(1).

Applicant

I, <u>Edward X. DeSousa</u>, (insert name) certify as an authorized representative of <u>RiverRun Gardens LLC</u> (insert name of applicant) that the applicant has executed a host community agreement with <u>Newburyport</u> (insert name of host community) pursuant to G.L.c.. 94G § 3(d) on <u>Hay 2, 20/5</u> (insert date).

Signature of Authorized Representative of Applicant

Host Community

I, <u>Mayor Donna Holaday</u> (insert name) certify that I am the contracting authority or have been duly authorized by the contracting authority for <u>Newburyport</u> (insert name of host community) to certify that the applicant and <u>Newburyport</u> (insert name of host community) has executed a host community agreement pursuant to G.L.c. 94G § 3(d) on

2019 (insert date).

Signature of Contracting Authority or

Authorized Representative of Host Community



HOME (/) SERVICES Massachusetts: Newburyport City Council: Marijuana Cultivation SERVICES (/SERVICES) PRODUCTS Latablishment News

INDEX (/MATRIX) NEWS (/NEWSGNesday, April 3, 2019 MEETINGS 7:00 PM – 8:00 PM MEETINGS (/NEW-EVENTS) MEETING CALENDAR (/CALENDAR) CONTACT (/CONTACT)/www.google.com/calendar/event?

action=TEMPLATE&text=Massachusetts%3A+Newburyport+City+Council%3A+Marijuana+Cultivation+Establishment&dates=20190403T230000Z/20 OBEDIO (/OB/LOGIN) ICS (/new-events/2019/4/3/massachusetts-newburyport-city-council-marijuana-cultivation-establishment?format=ical)

COMMUNITY OUTREACH MEETING Public Hearing Notice for Marijuana Cultivation ONLY (No Retail Sales) On April 3, 2019 at 7:00 PM at Newburyport Town Hall, 60 Pleasant St. Newburyport, MA 01950, Riverrun Gardens LLC will hold a public outreach hearing for marijuana cultivation establishment at 5 Perkins Way, Unit 8, in Newburyport, MA 01950, as allowed under zoning for the Industrial Park. There will not be any retail sales at this location. This meeting will address the cultivation of marijuana plants for sale to other legally Massachusetts licensed establishments. This is a small business operations less than 5,000 square feet of canopy, under Mass state Cannabis Control Commission regulations as a Tier I Cultivation License for a Micro-business. The meeting will describe the security measures, diversion prevention, positive impact to the community, information that business will not be a nuisance, and allow the public to ask questions and receive answers regarding such operations.

Posted in Massachusetts (/new-events?category=Massachusetts) Tagged PUBLIC MEETING (/new-events?tag=PUBLIC+MEETING) Earlier Event: April 3

Mass achusetts : Tuwn of Becket: An endments to Johng Bylaws Related to Marijuana Establishments (/new-

Peven s/2019/4 3/massachuset.s-town-of beck/ct-amendments-to-zoning-bylaws-related-to-marijuana-establishments)

Later Event: April 3

HOME (/) Connecticut: City of Baltic: Special Permit for Marijuana Sales (/new-events/2019/4/3/connecticut-city-of-baltic-special-SERVICES (/SERVICES) permit-for-marijuana-sales)

PRODUCTS (/PRODUCTS) NEWS INDEX (/MATRIX) NEWS (/NEWS) MEETINGS MEETINGS (/NEW-EVENTS) MEETING CALENDAR (/CALENDAR) CONTACT (/CONTACT)

OBEDIO (/OB/LOGIN)

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(http://http

(https://www.dmca.com/Protection/Status.aspx? ID=8ea8665c-7856-4d2ea7ec-912ae5109578&refurl=https://www.thcregs.com/newevents/2019/4/3/massachusettsnewburyport-city-councilmarijuana-cultivationestablishment)

CITY OF NEWBURYPORT PLANNING BOARD 60 Pleasant Street • P.O. Box 550 Newburyport, MA 01950 (978) 465-4400 <u>www.cityofnewburyport.com</u> CITY CLERK'S OF NEWBURYPORT.

2019 MAR -7 AM 11: 44

NOTICE OF A PUBLIC HEARING

In accordance with the provisions of the Newburyport Subdivision Regulations and Zoning Ordinance of the City of Newburyport, the Newburyport Planning Board will hold a Public Hearing at 7:00pm on **04/03/19** at City Hall, 60 Pleasant St., Newburyport, MA on the application for a Special Permit made by RiverRun Gardens, LLC for the property located at **5 Perkins Way, Unit 8**, indicated as Assessor's map and parcel 78-9, and recorded at the Essex South Registry of Deeds as book and page 22062-0226. The application is for the following request: *allow a marijuana cultivation microbusiness*. Copies of the applications are available for viewing in the Planning Office, 60 Pleasant Street, Newburyport, MA Mondays through Wednesdays 8am - 4pm, Thursdays 8am - 8pm, and Fridays 8am - noon. (978) 465-4400. All persons interested or wishing to be heard should appear at the time and place designated above.



City of Newburyport OFFICE OF THE ASSESSOR

City Hall 60 Pleasant Street Newburyport, MA 01950 (978)465-4403 / Fax (978)462-8495 assessor@cityofnewburyport.com

March 8, 2019

TO: PLANNING BOARD

FROM: BOARD OF ASSESSORS

RE: <u>5 PERKINS WAY, UNIT 8 2019-SP-05</u> MAP 78 PARCEL 9

THE FOLLOWING AND THE ATTACHED ARE THE ABUTTERS OF THE ABOVE MENTIONED PROPERTY:

TOWN OF AMESBURY PLANNING BOARD TOWN HALL AMESBURY MA 01913 TOWN OF WEST NEWBURY PLANNING BOARD TOWN HALL WEST NEWBURY MA 01985

TOWN OF NEWBURY PLANNING BOARD TOWN HALL BYFIELD MA 01922 TOWN OF SALISBURY PLANNING BOARD TOWN HALL SALISBURY MA 01952

BY CERTIFYING THAT THE PERSONS LISTED IN THE FOREGOING LIST OF ABUTTERS ARE THE OWNERS OF RECORD OF THE FOREGOING PROPERTIES AS OF JANUARY 1ST, 2019, THE CITY ASSESSOR IS NOT CERTIFYING THAT THE PERSONS SO LISTED ARE THE PERSONS WHO ARE REQUIRED TO RECEIVE NOTIFICATION UNDER APPLICABLE LAW.

gill Brenna

78/ 6/ / / BRADFORD & BIGELOW REALTY LLC 3 PERKINS WAY NEWBURYPORT, MA 01950

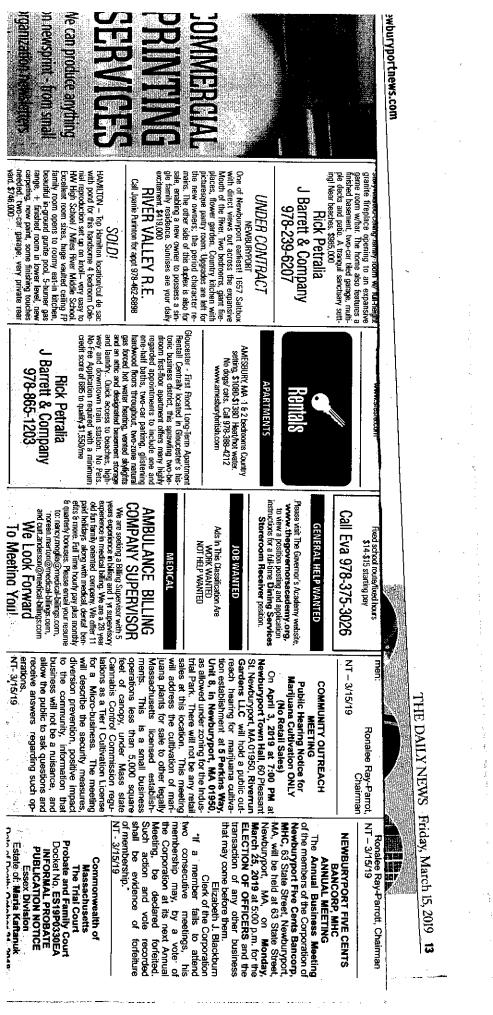
78/ 8/A / / CORNERSTONE SHOP LLC 4 PERKINS WAY NEWBURYPORT, MA 01950

78/ 8/A 2/ / BARBIRIS ANTHONY & SARAH JOHANSS(6 PERKINS WAY NOMINEE TRUST PO BOX 2162 SOUTH HAMILTON, MA 01982

78/ 9/ / / BARBIRIS ANTHONY TRUSTEE 5 PERKINS WAY REALTY TRUST P.O. BOX 2162 SO. HAMILTON, MA 01982

78/ 1C/ / / BIXBY REALTY, INC. 1 PREBLE RD NEWBURYPORT, MA 01950

79/ 7/ / / MICHAEL MUNDAY REALTY LLC 95 PARKER ST NEWBURYPORT, MA 01950



Edward DeSousa

From:	Lakeman, David (CNB) <david.lakeman@state.ma.us></david.lakeman@state.ma.us>
Sent:	Monday, April 8, 2019 9:36 AM
То:	Edward DeSousa
Subject:	RE: Confirmation of Community Outreach Meeting

Mr. DeSousa,

The community outreach meeting can be at the same time as the planning board meeting as long as it follows the requirements in the regulations.

If you have any additional licensing questions, please send them directly to <u>cannabislicensing@mass.gov</u>.

David

From: Edward DeSousa <ed@riverrungardens.com>
Sent: Thursday, April 4, 2019 2:49 PM
To: Lakeman, David (CNB) <David.Lakeman@mass.gov>
Subject: RE: Confirmation of Community Outreach Meeting

Thank you and best,

Ed

From: Lakeman, David (CNB) <<u>david.lakeman@state.ma.us</u>>
Sent: Thursday, April 4, 2019 2:48 PM
To: Edward DeSousa <<u>ed@riverrungardens.com</u>>
Subject: RE: Confirmation of Community Outreach Meeting

Edward, thank you for reaching out.

I am confirming receipt of your email, and I will discuss the contents with our licensing team.

Thanks for reaching out,

David

From: Edward DeSousa <<u>ed@riverrungardens.com</u>>
Sent: Thursday, April 4, 2019 2:22 PM
To: Lakeman, David (CNB) <<u>David.Lakeman@mass.gov</u>>
Subject: Confirmation of Community Outreach Meeting

Dear Mr. Lakeman;

You may remember me, we discussed the Community Outreach meeting we had in Newburyport back in August of 2018; when we were permitted and had an HCA, but Newburyport wanted clarification if the Community Outreach meeting we had at the same time as our Planning Board hearing would be acceptable to the CCC since we met all criteria

We had another one last night for a different location in Newburyport (we will be the only single Municipality licensed micro business to have had TWO HCAs and TWO permits,) where we ;

Published a separate Article in Local Newspaper (copy attached); Actual there were two meeting notices one by us and the other by the Board

Provided information per the CCC guidelines by addressing;

	Type of Marijuana Establishment
	Our Security and that the location would be maintained securely
	Steps to prevent Diversion to Minors
	Notice to abutters (attached)
	Described how we will positively impact community (by the tune of
possible 250K)	
	Information in odor, traffic etc. that our location will not constitute a
nuisance	
	Allowed and answered to public questions, (great article and postings to
this in Community on line pages)	

I just want to confirm that will serve as us Certifying the CCC Community Outreach Meeting Attestation Form?

Best,

Edward DeSousa Managing Partner, RiverRun Gardens, LLC www.riverrungardens.com

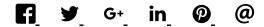


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https://www.newburyportnews.com/news/local_news/marijuana-cultivation-company-to-hold-public-meeting-next-month/article_e0745524-091d-58bc-882b-2a3fbbee36fc.html

Marijuana cultivation company to hold public meeting next month

By Dave Rogers drogers@newburyportnews.com Mar 18, 2019



NEWBURYPORT – A North Reading-based company, which is seeking Planning Board approval for a marijuana cultivation business in the city's industrial park, will hold an informational meeting next month to get the public up to speed on the proposal.

The owners of RiverRun Gardens hope to open a "microbusiness" in the industrial park, growing marijuana that would be sold to licensed medical and recreational marijuana businesses in the state.

The meeting at City Hall on April 3 would be a public outreach hearing, according to the owners.

The 7 p.m. hearing, part of the Planning Board's weekly meeting, would address the cultivation of marijuana plants for sale to other licensed pot-based businesses in the state.

RiverRun Gardens is considered a microbusiness operation with a proposal for a footprint of less than 5,000 square feet on Perkins Way, according to the state's Cannabis Control Commission. The hearing would cover security measures and the possible impact on city residents. All those attending would be able to ask questions.

In August, the Planning Board granted RiverRun Gardens owners Ed M. DeSousa and his father, "Big Ed" X. DeSousa of North Reading a special permit to grow marijuana to be used for medical and recreational purposes, but that permit became invalid when that original proposal was scrapped.

The DeSousas operate RiverRun out of their North Reading corporate headquarters, and said they hope to relocate all operations to the Newburyport location.

While the DeSousas are apparently thinking small, another company, HVV Newburyport, is looking to build an 85,000-square-foot marijuana cultivation site elsewhere in the business park.

In December, HVV Newburyport officials went before the Planning Board, hoping it would grant them a special permit to build a facility at 2 Opportunity Way. The special permit attempt came a month after a judge annulled the board's decision to approve the permit for the business park.

HVV Newburyport owner Michael D. Reardon bought the 4.8-acre property for \$8.6 million in August and said he plans to convert the building into a first-class marijuana processing and research facility with "thousands" of cannabis plants and employ 70 people.

After the Planning Board issued a special permit to RiverRun Gardens, the City Council approved two zones where retail marijuana shops could open — one near the Route 1 traffic circle and another on Storey Avenue near Low Street.

The decision sparked concern among many residents, who banded together and successfully petitioned the city to hold a vote in November on whether to allow retail marijuana shops to open within city limits. Retail marijuana shops are likely to open in Amesbury and Salisbury by November.

The debate over whether to allow retail marijuana shops in Newburyport led to the formation of advocacy groups on both sides: Opt Out Newburyport and Adult Use Cannabis Newburyport. Calls on Sunday to the leaders of both groups seeking comment on RiverRun Gardens were not immediately returned.

For more information on RiverRun Gardens, see <u>http://riverrungardens.com</u>.

Daily News reporter Jack Shea contributed to this story.

Staff writer Dave Rogers can be reached at <u>drogers@newburyportnews.com</u>. Follow him on Twitter @drogers41008.

Editor's note: This story updates and corrects and earlier version.

Conversation

Join the conversation

ALL COMMENTS 0

Newest V

LOG IN | SIGN UP

FOLLOW



Nothing Here Yet No comments have been posted yet

			Por	wered by 😵 viafoura
Newburyport	t News Events		🛗 See All Events	+ Add your event
Sat, Nov 02	Tue, Oct 15	Tue, Oct 15	Tue, Oct 15	Wed

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tue 15	wed 16	тни 17	fri 18	sat 19	sun 20	-	TUE 22	WED 23	тни 24	FRI 25	sat 26	sun 27

Rogers Dave

>

10/15/2019



Community Outreach Meeting Attestation Form

The applicant must complete each section of this form and initial each page before uploading it to the application. Failure to complete a section will result in the application being deemed incomplete. Instructions to the applicant appear in italics. Please note that submission of information that is "misleading, incorrect, false, or fraudulent" is grounds for denial of an application for a license pursuant to 935 CMR 500.400(1).

I, <u>Edward X DeJOUSC</u>, (insert name) attest as an authorized representative of <u>RiverRun Gardens LLC</u> (insert name of applicant) that the applicant has complied with the requirements of 935 CMR 500 and the guidance for licensed applicants on community outreach, as detailed below.

- 1. The Community Outreach Meeting was held on $\frac{1}{1} \frac{3}{2} \frac{2019}{2}$ (insert date).
- 2. A copy of a notice of the time, place, and subject matter of the meeting, including the proposed address of the Marijuana Establishment, was published in a newspaper of general circulation in the city or town on <u>March 15, 2019</u> (insert date), which was at least seven calendar days prior to the meeting. A copy of the newspaper notice is attached as Attachment A (please clearly label the newspaper notice in the upper right hand corner as Attachment A and upload it as part of this document).
- 3. A copy of the meeting notice was also filed on <u><u>March</u></u> <u>2019</u>(*insert date*) with the city or town clerk, the planning board, the contracting authority for the municipality, and local licensing authority for the adult use of marijuana, if applicable. A copy of the municipal notice is attached as Attachment B (*please clearly label the municipal notice in the upper right-hand corner as Attachment B and upload it as part of this document*).
- 4. Notice of the time, place and subject matter of the meeting, including the proposed address of the Marijuana Establishment, was mailed on <u>March 8, 2019</u> (insert date), which was at least seven calendar days prior to the community outreach meeting to abutters of the proposed address of the Marijuana Establishment, and residents within 300 feet of the property line of the petitioner as they appear on the most recent applicable tax list, notwithstanding that the land of any such owner is located in another city or town. A copy of one of the notices sent to abutters and parties of interest as described in this section is attached as Attachment C (please clearly label the municipal notice in the upper right hand corner as Attachment C and upload it as part of this document; please only include a copy of one notice and please black out the name and the address of the addressee).

Massachusetts Cannabis Control Commission 101 Federal Street, 13th Floor, Boston, MA 02110 (617) 701-8400 (office) | mass-cannabis-control.com

Initials of Attest



- 5. Information was presented at the community outreach meeting including:
 - a. The type(s) of Marijuana Establishment to be located at the proposed address;
 - b. Information adequate to demonstrate that the location will be maintained securely;
 - c. Steps to be taken by the Marijuana Establishment to prevent diversion to minors;
 - d. A plan by the Marijuana Establishment to positively impact the community; and
 - e. Information adequate to demonstrate that the location will not constitute a nuisance as defined by law.
- 6. Community members were permitted to ask questions and receive answers from representatives of the Marijuana Establishment.

Massachusetts Cannabis Control Commission 101 Federal Street, 13th Floor, Boston, MA 02110 (617) 701-8400 (office) | mass-cannabis-control.com

Initials of Attester

2910

Edward X DeSousa

ichment C

3110

From:	Dianne Boisvert <dboisvert@cityofnewburyport.com></dboisvert@cityofnewburyport.com>
Sent:	Friday, March 08, 2019 9:32 AM
To:	Edward X DeSousa
Subject:	RE: AMENDED Permit Application for RiverRun Gardens Cultivation
Attachments:	5 Perkins Way abutters.pdf; 5 Perkins Way legal notice.pdf

Hi Ed,

Here's a copy of your abutters list and legal notice. Please let me know if you have any questions.

Dianne Boisvert Office Coordinator Office of Planning and Development 60 Pleasant Street Newburyport, MA 01950 978-465-4400

From: Edward X DeSousa [mailto:biged@riverrungardens.com] Sent: March 07, 2019 9:57 AM To: Jennifer Blanchet; Dianne Boisvert Cc: Andrew Port Subject: RE: AMENDED Permit Application for RiverRun Gardens Cultivation

Hi Jennifer / Dianne;

Here are PDF versions of the large Plans/Drawings.

Thanks again for your guidance.

Edward X. DeSousa RiverRun Gardens, LLC 978-207-1251 Cell: 978-273-4352 biged@riverrungardens.com www.riverrungardens.com

From: Jennifer Blanchet [mailto:JBlanchet@CityofNewburyport.com] Sent: Thursday, March 07, 2019 8:19 AM To: Dianne Boisvert Cc: Andrew Port; Edward X DeSousa Subject: FW: AMENDED Permit Application for RiverRun Gardens Cultivation

Dianne,

Ed,

Please see attached materials for River Run Gardens at 5 Perkins way.

1

Attachment 40/10

City of Newburyport OFFICE OF THE ASSESSOR City Hall

City Fiail 60 Pleasant Street Newburyport, MA 01950 (978)465-4403 / Fax (978)462-8495 assessor@citvofnewburyport.com

March 8, 2019

TO: PLANNING BOARD

FROM: BOARD OF ASSESSORS

RE: 5 PERKINS WAY, UNIT 8 2019-SP-05 MAP 78 PARCEL 9

THE FOLLOWING AND THE ATTACHED ARE THE ABUTTERS OF THE ABOVE MENTIONED PROPERTY:

PLANNING BOARD

PLANNING BOARD BYFIELD MA 01922 PLANNING BOARD WEST NEWBURY MA 01985

PLANNING BOARD

BY CERTIFYING THAT THE PERSONS LISTED IN THE FOREGOING LIST OF ABUTTERS ARE THE OWNERS OF RECORD OF THE FOREGOING PROPERTIES AS OF JANUARY 1^{5T}, 2019, THE CITY ASSESSOR IS NOT CERTIFYING THAT THE PERSONS SO LISTED ARE THE PERSONS WHO ARE REQUIRED TO RECEIVE NOTIFICATION UNDER APPLICABLE LAW.

Till Burno

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NEWBURYPORT, MA 01950

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NEWBURYPORT, MA 01950

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SOUTH HAMILTON, MA 01982

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SO. HAMILTON, MA 01982

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NEWBURYPORT, MA 01950

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NEWBURYPORT, MA 01950

Attachment C 50/10

6110

2015 MAR-7 AM 14: 44

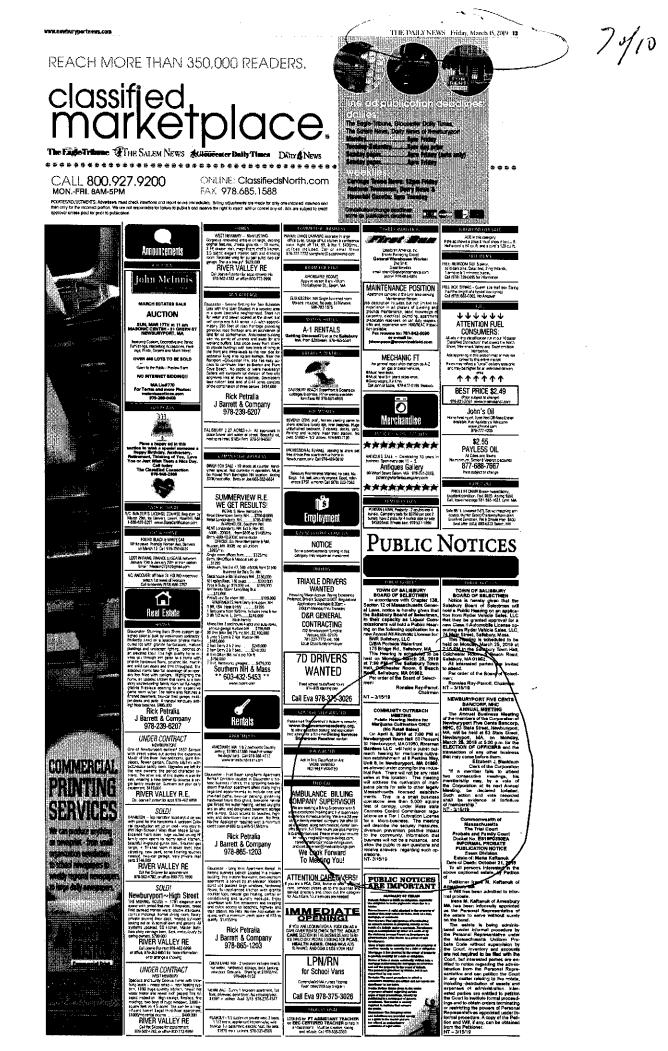


tachment CITY OF NEWBURYPORT

PLANNING BOARD 60 PLEASANT STREET • P.O. BOX 550 NEWBURYPORT, MA 01950 (978) 465-4400 WWW.CITYOENEWBURYPORT.COM

NOTICE OF A PUBLIC HEARING

In accordance with the provisions of the Newburyport Subdivision Regulations and Zoning Ordinance of the City of Newburyport, the Newburyport Planning Board will hold a Public Hearing at 7:00pm on 04/03/19 at City Hall, 60 Pleasant St., Newburyport, MA on the application for a Special Permit made by RiverRun Gardens, LLC for the property located at 5 Perkins Way, Unit 8, indicated as Assessor's map and parcel 78-9, and recorded at the Essex South Registry of Deeds as book and page 22062-0226. The application is for the following request: *allow a marijuana cultivation microbusiness*. Copies of the applications are available for viewing in the Planning Office, 60 Pleasant Street, Newburyport, MA Mondays through Wednesdays 8am - 4pm, Thursdays 8am -8pm, and Fridays 8am - noon. (978) 465-4400. All persons interested or wishing to be heard should appear at the time and place designated above.



8 910

COMMUNITY OUTREACH MEETING

Public Hearing Notice for Marijuana Cultivation ONLY (No Retail Sales)

On April 3, 2019 at 7:00 PM at Newburyport Town Hall, 60 Pleasant St. Newburyport, MA 01950, Riverrun Gardens LLC will hold a public outreach hearing for marijuana cultivation establishment at 5 Perkins Way, Unit 8, in Newbyryport, MA 01950, as allowed under zoning for the Industrial Park. There will not be any retail sales at this location. This meeting will address the cultivation of marijuana plants for sale to other legally Massacusesst licensed establishments. This is a small business operations less than 5,000 square feet of canopy, under Mass state Cannabis Control Commssion regulations as a Tier I Cultivation License for a Microbusiness. The meeting will Describe the security measures, diversion prevention, positive impact to the community, information that business will not be a nuisance, and allow the public to ask questions and receive answers regarding such operations.

Edward X DeSousa

From: Sent: To: Subject: Edward DeSousa Monday, April 08, 2019 9:56 AM Edward X DeSousa Fwd: Confirmation of Community Outreach Meeting

Get Outlook for Android

From: Lakeman, David (CNB) <<u>david.lakeman@state.ma.us</u>> Sent: Monday, April 8, 2019 9:35:55 AM To: Edward DeSousa Subject: RE: Confirmation of Community Outreach Meeting

Mr. DeSousa,

The community outreach meeting can be at the same time as the planning board meeting as long as it follows the requirements in the regulations.

If you have any additional licensing questions, please send them directly to cannabislicensing@mass.gov.

David

From: Edward DeSousa <<u>ed@riverrungardens.com</u>> Sent: Thursday, April 4, 2019 2:49 PM To: Lakeman, David (CNB) <<u>David.Lakeman@mass.gov</u>> Subject: RE: Confirmation of Community Outreach Meeting

Thank you and best,

Ed

From: Lakeman, David (CNB) <<u>david.lakeman@state.ma.us</u>> Sent: Thursday, April 4, 2019 2:48 PM To: Edward DeSousa <<u>ed@riverrungardens.com</u>> Subject: RE: Confirmation of Community Outreach Meeting

Edward, thank you for reaching out.

I am confirming receipt of your email, and I will discuss the contents with our licensing team.

Thanks for reaching out,

David

From: Edward DeSousa <<u>ed@riverrungardens.com</u>> Sent: Thursday, April 4, 2019 2:22 PM To: Lakeman, David (CNB) <<u>David.Lakeman@mass.gov</u>> Subject: Confirmation of Community Outreach Meeting

10 0/10

Dear Mr. Lakeman;

You may remember me, we discussed the Community Outreach meeting we had in Newburyport back in August of 2018; when we were permitted and had an HCA, but Newburyport wanted clarification if the Community Outreach meeting we had at the same time as our Planning Board hearing would be acceptable to the CCC since we met all criteria

We had another one last night for a different location in Newburyport (we will be the only single Municipality licensed micro business to have had TWO HCAs and TWO permits,) where we ;

Published a separate Article in Local Newspaper (copy attached); Actual there were two meeting notices one by us and the other by the Board

Provided information per the CCC guidelines by addressing;

Type of Marijuana Establishment Our Security and that the location would be maintained securely Steps to prevent Diversion to Minors Notice to abutters (attached) Described how we will positively impact community (by the tune of possible

250K)

Information in odor, traffic etc. that our location will not constitute a nuisance Allowed and answered to public questions, (great article and postings to this in

Community on line pages)

I just want to confirm that will serve as us Certifying the CCC Community Outreach Meeting Attestation Form?

Best,

Edward DeSousa Managing Partner, RiverRun Gardens, LLC www.riverrungardens.com



This message contains confidential and/or legally privileged information and is intended for use by the indicated addressee. If you are not the intended addressee, any disclosure, reproduction, distribution or action you take is strictly prohibited. The interpretation of the Customs regulations and classifications is our opinion and advise, based on our reasonable care research and best efforts. It is not meant to be legal interpretation of the law, which can only be accomplished by a licensed attorney. It is not to be construed as a binding ruling or acceptance by Customs. Global Drawback Inc. advises all classifications be verified by Customs under a binding ruling and to seek advice from an attorney specializing in Customs law to verify accuracy of information.





CITY OF NEWBURYPORT PLANNING BOARD 60 Pleasant Street • P.O. Box 550 Newburyport, MA 01950 (978) 465-4400

SPECIAL PERMIT DECISION

APPLICANT:	RiverRun Gardens, LLC 50 Main Street, Suite 200 North Reading, MA 01864
FILE NO:	2019-SP-05
PROPERTY ADDRESS:	5 Perkins Way, Unit 8
DECISION DATE:	5/1/2019 MARGINAL REFERENCE REQUESTED
MAP/PARCEL(S):	78-9 BOOK 2206 2 Mar 226
BOOK/PAGE:	22062-0226
ZONING DISTRICT:	IIB
DESCRIPTION:	allow a marijuana cultivation microbusiness

PROCEDURAL HISTORY:

the Planning Board on 3/6/19. City of Newburyport's Zoning Ordinance was made by the above-referenced owner and filed with An application for a Special Permit pursuant to Section XXXI Licensed Marijuana Businesses of the

News. Notice of the public hearing was published on 04/16/19 and 04/23/19 in the Newburyport Daily

A public hearing on the application was held at Newburyport City Hall on 5/1/19.

Permit. The motion having received the necessary two-thirds super majority vote of all the members of the Planning Board, in accordance with M.G.L. Chapter 40A Section 9, as amended, the petition member MJ Verde and seconded by Rick Taintor, voted to APPROVE the application for a Special was therefore granted. After the close of the public hearing on 05/01/19, the Planning Board, upon a motion made by

subject to the following terms and conditions: The members of the Planning Board voted as follows with respect to the petition for a Special Permit

Tania Hartford	James Brugger	Bonnie Sontag, Chair
Yes	Yes	Yes
Rishi Nandi	Anne Gardner	Leah McGavern
Yes	Yes	Yes
Rick Taintor	M.J. Verde	Don Walters
Yes	Yes	Yes

PLANS AND DOCUMENTS:

- : drawings, and submittals: The original Special Permit application was accompanied and augmented by the following plans,
- Application for a Special Permit for Use as a Marijuana Establishment without Retail Sales, Use #802 containing the following documents as required by the Zoning Ordinance section XXXI
- Security Plan and Employee Policies
- o Waste Disposal Policies
- o Traffic Impact Analysis
- o Confirmation of Adaquacy of Utilities
- Plan Set prepared by Engineer Andrea Zeco, Via Giuseppe di Vittorio, 104 San Donat, Milanese (MI) 20097, Italy, dated 05/03/19, containing the following sheets:
- Proposed Floor Plan

•

- 01950, dated 6/18/07, containing the following sheets: Plan Set prepard by Woodman Associates Architechts, 20 Inn Street, Newburyport, MA,
- o Ground Floor Plan
- sheets: Plan Set stamped by Stanton Warren Bigelow, dated 04/10/2019, containing the following
- o Site Plan
- $\dot{\nu}$ and consulting engineer as follows: The plans and other submission material were reviewed by the Planning Board, its legal counsel
- Department Head Comments: Jamie Tuccolo, Sewer Superintendent, DPS, 6/13/18 and 3/6/19; Dan Lynch, Distribution System Manager, DPS, 3/6/19
- μ applicants and their representatives, and the comments of the general public, all as made at the Throughout its deliberations the Planning Board has been mindful of the statements of the public hearing.

FINDINGS:

General

marijuana establishment without retail sales. The project involves the outfitting of the existing industrial building for use as a 7,000 square foot

and entrances to and from the property. There is sufficient parking on site and there are no proposed changes to the lot layout, parking area,

Specific

that which exists for the following reasons: The Board finds that the project is not more detrimental to the character of the neighborhood than

The use is an allowed use via special permit as stated in the Zoning Ordínance's Table of Use Regulations and is thus deemed desirable to the public welfare and convenience simply by its inclusion in said table;

- . was granted by the Board. applicant submitted a request to waive the requirement for a traffic study on 2/28/19, which traffic congestion or impair public safety because there will not be customer traffic. The The use of the building for marijuana cultivation and manufacture will not create undue
- sewer, or drainage systems as evidenced by comments from City of Newburyport officials; The cultivation and manufacturing operations will not overload the existing municipal water,
- of an extensive security plan with accompanying employee policies, is found to be in or adjoining districts and due to its compliance with the regulations, including the submittal of the Zoning Ordinance and as such will not impair the integrity or character of the district harmony with the purpose and intent of the ordinance; The project conforms to all of the special regulations for the use as detailed in Section XXXI
- . of this use in the neighborhood; and business park at 100,000 square feet, this facility at 7,000 square feet will not cause an excess by Section XXI-D(5) that limits the total square footage for marijuana cultivation in the The size of the facility will not exceed 7,000 square feet; and given the restriction as set forth
- will not be operated in a way so as to emit dangerous or noxious forms of environmental conditions of this special permit related thereto, the operations conducted inside the facility plans, and pesticide information submitted to the Planning Board and in accordance with the If constructed and operated in accordance with the odor mitigation plans, waste disposal pollution as evidenced by the applicant's odor control plans.

CONDITIONS:

not represent a final approval of the utility design and details or fire safety measures. and ensuring compliance with fire codes prior to commencing work under this approval owner, successors or assigns shall be responsible for designing the utilities to meet City standards This Planning Board approval represents a schematic approval for the project. This approval does The applicant,

assigns: binding on the applicant, property owner (if different than the applicant) and their successors and Special Permit in accordance with the terms and conditions stated below, which conditions shall be In view of the foregoing, the Planning Board hereby decides to grant a V.D Table of Permitted Uses

- . Recording of Decision and Approved Plans: The applicant shall file this Special Permit decision number) shall be included with the application for a Building Permit. The final site/construction of the decision stamped with the recording information (Book/Page or Land Court document with the Southern Essex County Registry of Deeds (or Land Court if registered land) and a copy plans shall also make reference to the decision date and conditions of approval
- $\dot{\mathbf{N}}$ the project will be constructed in accordance with the approved plans and conditions Engineer Certification: Prior to the granting of a Building Permit, the applicant shall submit the written certification of a registered Architect or Civil Engineer to the Building Commissioner that
- ω a Building Permit, the applicant, owner, his successors or assigns, shall submit to DPS approval. DPS will not approve the design until they are satisfied it complies with applicable and technical specifications at a minimum of a 90% design phase for their review and construction documents showing water, sewer, and drain utilities, including plan/profiles, details, Provision of Construction Documents: Prior to applying for a permit to conduct site work and/or federal, state, and local standards and regulations. The applicant shall provide documentation to

as designed, has been reviewed and approved by the Water, Sewer, and Engineering Divisions. the Building Commissioner with the application for a Building Permit illustrating that the project,

- 4 approved in advance of the building permit by the Director of Public Services or Designee Curb Cuts: Any new driveway curb cuts that has egress to the public right of way, must be
- Ś standards and regulations. The applicant shall provide documentation to the Building approve the design until it is satisfied it complies with applicable federal, state, and local at a minimum of a 90% design phase for review and approval. The Fire Department will not has been reviewed and approved by the Newburyport Fire Department. Commissioner with the application for a Building Permit illustrating that the project, as designed, Department construction documents showing compliance with fire safety codes and regulations and/or a Building Permit, the applicant, owner, his successors or assigns, shall submit to the Fire Fire Department Review and Approval: Prior to applying for a permit to conduct site work
- 5 that involves a change or expansion in use, or a material increase in parking requirements that meeting or hearing, respectively, to review the proposed changes. Any proposed modification whether such modifications are minor or major in nature and shall subsequently schedule a public for such modifications prior to making any changes 'in the field'. The Board shall then determine the Board of the proposed modifications in writing and obtain approval from the Planning Board applicant and/or property owner determine that a plan(s) needs to be modified, they shall notify shall adhere to the approved plans which are incorporated herein by reference. Should the Modifications to Approved Plans: The applicant, property owner, and their successors or assigns will burden available off-street parking, shall be deemed a major change.
- 2 unless a specific waiver is requested. The Planning Board and the City Marshal shall approve Hours of Construction: The developer shall take reasonable care not to disturb surrounding such waiver. hours between 7 a.m. and 5 p.m. Monday through Friday and 8 a.m. and 4 p.m. on Saturday, properties and property owners during construction. Construction work shall be limited to the
- ∞ Submission of As-Built Plans: One hard copy and one .pdf copy of as-built plans stamped by an to obtaining an occupancy permit. submitted to the Office of Planning and Development at the completion of the project and prior architect or professional engineer showing new construction, renovation, or expansion shall be
- 9 valid if a substantial use has not commenced except for good cause. Excluded from any lapse MGL, Chapter 40A, Section 17. period is the time required to pursue or await the determination of any appeal taken pursuant to Validity of Approval: This special permit is valid for three years. The permit shall no longer be
- 10. Municipal Water: Prior to Occupancy Permit, the applicant shall coordinate with the Water commencement of production to ensure no possible cross contamination conditions are present Division to (1) perform a water audit and (2) perform a backflow device inspection prior to the Division of the City of Newburyport Department of Public Services and grant access to said within the facility.
- 11. No Further Expansion: The proposed marijuana establishment shall not exceed 7,000 square feet, without further approval from the Planning Board.
- 12. No Retail Sales: There shall be no retail sales of marijuana or marijuana-related products to consumers at this marijuana establishment.

13. Security:

- (a) Security Plan Review. Applicant must submit to the Police Marshal a full security plan for minimum, show cameras with back up video storage sufficent to capture all interior areas the facility, including location of cameras, lighting and alarms. Such a plan shall, at exterior perimeter of the building and the parking area. where marijuana is kept, all points of entry/exit of the building, including windows, and
- € cameras, lighting and alarms). The applicant shall provide documentation of this review and approval by the Police Marshal to the Zoning Administrator prior to issuance of an Pre-Occupancy Review. Prior to issuance of an Occupancy Permit, and in order to ensure Occupancy Permit. facility and to review and approve the security system (including the distribution of security public safety, the applicant shall contact the Police Marshal to coordinate a time to tour the
- <u></u> State Inspections. The Fire Marshal and Police Marshal shall be notified in advance of, and provided with an opportunity to join in, any facility inspection by Cannabis Contro Commission (CCC) or its designee.
- 14. State Licenses: Prior to commencing operations on the Property, the applicant shall submit to the assigns shall comply with such permit(s) and all applicable regulations and shall promptly and/or manufacturing marijuana facility. Thereafter, applicant, owner, and its successors or provide the Zoning Administrator with copies of any notices of violations of such permit. Building Commissioner a copy of the applicable state license required to operate a cultivation
- 15. Mandatory Conditions: Article XXXI-H of the Marijuana Zoning Ordinance requires the following conditions in any special permit granted under Section XXXI:
- **...**. Hours of Operation (if any): The facility may be operated on a 24/7/365 basis
- F telephone number and electronic mail address of a contact person in the event that such The permit holder shall provide to the Building Inspector, Director of Planning and contact information shall be kept updated by the permit holder. person needs to be contacted after regular business hours to address an urgent issue. Such Development, Director of Public Health, Fire Chief and Police Marshal, the name,
- Ē The special permit authorizing the establishment of a Marijuana Business shall be valid only for the specific registered person or entity to which the special permit was issued, special permit shall be required. be transferred to another controlling entity, or is to be relocated to a different site, a new permit. If any license for the Marijuana Business has been revoked or if the license is to and only for the site on which the Marijuana Business has been authorized by special
- ž A Marijuana Business shall be located only in a permanent building and not within any shall not be interpreted to allow retail sales or home deliveries from the facility). This deliveries to qualified clients pursuant to applicable state and local regulations; (provided mobile facility. All sales shall be conducted either within the building or by home marijuana-related products in accordance with CCC regulations. provision shall not be construed to limit or prohibit the transport of marijuana and however, the Planning Board notes that this second sentence of this mandatory condition
- < a change in the location of the business. void upon the sale or transfer of the license to another third-party Marijuana Business or A Special Permit shall only be valid for use by the Applicant and will become null and

- ≤. In the event that the Commonwealth's licensing authority suspends the license or until the matter is resolved to the satisfaction of said licensing authority. registration of a Marijuana Business, the Special Permit shall be so suspended by the City
- ¥∷. extension as may be granted at the discretion of the Planning Board. begun on the project within 2 years of obtaining said permit, or such additional time The Special Permit shall be considered null and void if meaningful construction has not
- VIII. The permit holder shall notify the Building Inspector, Director of Planning and and/or DPH expiration or termination of the license holder's certificate or registration with the CCC writing within 48 hours of the cessation of operation of the Marijuana Business or the Development, Director of Public Health, Fire Chief and Police Marshal and the SPGA in
- R The permit holder shall at all times comply with regulations regarding Marijuana Businesses promulgated by the Board of Health.
- × The Police Department, Fire Department, Building Inspector, Zoning Enforcement the right to inspect the subject premises to assure compliance with the special permit. Officer, Director of Planning & Development and Director of Public Health shall have
- <u>≍</u>. Prohibition Against Nuisances: No use or operation shall be allowed at any Marijuana or electrical interference, which may impair the normal use and peaceful enjoyment of obnoxious dust, vapors, offensive noise or vibration, flashes, glare, objectionable effluent any hazard, including but not limited to, fire, explosion, fumes, gas, smoke, odors, any property, structure or dwelling in the area Business which creates a nuisance to abutters or to the surrounding area, or which creates

municipalities. Notice of decision is also sent by regular mail to the Parties in Interest and the abutting This decision was filed with the Newburyport City Clerk and sent registered mail to the applicant.

SIGNATURE OF THE BOARD:

Bonnie Sontag, Chair, Newburyport Planning Board

Date

NOTICE OF APPELLATE RIGHTS:

M.G.L. Chapter 40A Section 17. the City Clerk directly to a court of competent jurisdiction in accordance with the provision of Appeals shall be made within twenty (20) days after the date of filing of this decision in the Office of

CITY CLERK CERTIFICATION:

I, TVUL MARKET City Clerk of the City of Newburyport, hereby certify pursuant to M.G.L. Chapter 40A Section 17, that the Special Permit decision for the property known as <u>5 Perkins Way. Unit 8</u> was filed in the Office of the City Clerk on Way to the filed. Twenty (20) days have elapsed since the decision was filed and no appeal has been filed.

Ç; llerk

RiverRun Gardens, LLC Plan to Remain Compliant with Local Zoning

RiverRun Gardens, LLC is committed to remaining compliant with all applicable zoning bylaws of the City of Newburyport, MA, including, but not limited to Sections XXX1 (Marijuana Businesses), XXXI-A (Purposes), XXXI-E (Parking Enforcement), XXXI-D (License Requirements and Condition of Use), XXXI-H (Decision and Conditions of Approval), XXXI-G (Permitting Procedure and Criteria for Approval) and XXXI-F (Required Buffer Zones and Restrictions of Use) of the City of Newburyport Zoning Ordinance which apply to Newburyport Cannabis' proposed Marijuana Cultivation Establishment at 5 Perkins Way, Unit 8, Newburyport, MA. The property is located in the I1B zoning district.

RiverRun Gardens, has obtained a Special Permit by the City of Newburyport, pursuant to the procedure laid out in Section XXXI-G which reads:

Permit granting authority. The planning board shall act as the designated special permit granting authority (SPGA) in administering this section.

Special permit required. Marijuana businesses may be permitted only pursuant to a special permit hereunder. The planning board shall review and may deny, approve, or approve with conditions all applications hereunder in accordance with the procedures listed in section X-H.8. The SPGA shall approve a business if the SPGA determines that the proposed use meets all the requirements of this section and, in addition, the special permit criteria of section X-H.7. Application for a special permit shall be submitted to the SPGA pursuant to the submission requirements, and procedures contained in section X-H.7.

The latest date of dissolution, if specified: A. The latest date of dissolution, if specified: S. Name and address of the Resident Agent: No. and Street: S. MARD X. DESOUSA resident agent of the above limited liability company, consent to my appointment as the resident agent of the above limited liability company pursuant to G. L. Chapter 156C Section 12. F. The name and business address of each manager, if any: The resident agent of the solution of the above limited liability company. Consent to my appointment as the resident agent of the above limited liability company. State: City or Town. State. Zip Code	City or Town: NORTH READING State: MA 3. The general character of business, and if the limited liability service, the service to be rendered: TO PRODUCE, MANUFACTURE AND DISTRIBUTION TO THIRD PARTY RE TING AND ADVISORY SERVICES TO OTHER MANUFACTIVITES AS A MASSACHUSETTS LIMITED LIA ONDUCT. 4. The latest date of dissolution, if specified: No. and Street: SO MAIN STREET Sity or Town: NORTH READING Stress of the Resident Agent: No. and Street: SO MAIN STREET Sity or Town: NORTH READING State: MA Street: SO MAIN STREET So MARD X. DESOUSA State: MA as the resident agent of the above limited liability company pur as the resident agent of the above limited liability company pur 6. The name and business address of each manager, if any: 6. The name and business address of each manager, if any:	3. The general character of business, and it service, the service to be rendered: TO PRODUCE, MANUFACTURE AND D R, FOR SALE AND DISTRIBUTION TO 7 TING AND ADVISORY SERVICES TO 0 LERS ENGAGED IN SIMILAR BUSINES; AND ACTIVITIES AS A MASSACHUSET ONDUCT. 4. The latest date of dissolution, if specified; 5. Name and address of the Resident Agent: No. and Street: S0 MAIN STREET SUTTE 200 City or Town: NORTH READING I, EDWARD X. DESOUSA resident agent of the above limited lia s. The name and business address of each r Individue First, Middle
<u>POODS AND PRODUCTS AS A WHOLESALE</u> <u>(RETAIL OUTLETS; TO PROVIDE CONSUL</u> <u>(FACTURERS, PRODUCERS AND WHOLESA</u> <u>UNDERTAKE SUCH OTHER BUSINESSES</u> <u>LIABILITY COMPANY MAY LAWFULLY COMPANY MAY LAWFULLY COMPANY MAY LAWFULLY COMPANY; MAX LAWFULLY COMPANY; MAX LAWFULLY COMPANY, consent to my appointment y pursuant to G. L. Chapter 156C Section 12.</u>	NORTH READING State NORTH READING State NORTH READING State Iter of business, and if the limited lial Imited lial b be rendered: NUTRACTURE AND DISTRIBUTE O NUFACTURE AND DOTHER MANU SIMILAR BUSINESSES; AND TO SIMILAR BUSINESSES; AND TO SIMILAR BUSINESSES; AND TO SIMILAR BUSINESSES; AND TO SIMITED So MALN STREET SO MAIN STREET SUITE 200 State: NORTH READING State: SA resident agent of the above limited liability company ness address of each manager, if any	3. The general charact service, the service to TO PRODUCE, MAN R, FOR SALE AND I TING AND ADVISO LERS ENGAGED IN AND ACTIVITIES A ONDUCT. 4. The latest date of di 5. Name and address of Name: No. and Street: No. and Street: City or Town: City or Town: 6. The name and busin
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3. The general character of business, and if the limited liability company is organized to render professional service the service to be rendered:	EADING	
State: <u>MA</u> Zip: <u>01864</u> Country: <u>USA</u>	50 MAIN STREET SUITE 200	No. and Street: City or Town:
which the records will be maintained:	2b. Street address of the office in the Commonwealth at which the records will be maintained:	2b. Street address of
State: <u>MA</u> Zip: <u>01864</u> Country: <u>USA</u>	TREET ADING	2a. Location of its principal office: No. and Street: <u>50 MAIN S</u> SUITE 200 City or Town: <u>NORTH RI</u>
RIVERRUN GARDENS, LLC	1. The exact name of the limited liability company is: $\overline{\mathrm{RI}}$	1. The exact name of
	r: <u>001311291</u>	Identification Number: 001311291
	nization)	Certificate of Organization (General Laws. Chapter)
h, Corporations Division xe, 17th floor 108-1512 727-9640	Secretary of the Commonwealth, Corporations Division One Ashburton Place, 17th floor Boston, MA 02108-1512 Telephone: (617) 727-9640	Contraction of the second seco
of Massachusetts Minimum Fee: \$500.00 is Galvin	The Commonwealth of Massachusetts William Francis Galvin	

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MA SOC Filing Number: 201879506640

Date: 2/2/2018 3:59:00 PM

7. The name and business documents to be filed with managers.	address of the person(s) in addition the Corporations Division, and at le	7. The name and business address of the person(s) in addition to the manager(s), authorized to execute documents to be filed with the Corporations Division, and at least one person shall be named if there are no managers.
Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
SOC SIGNATORY	ROBERT J. HUNDERTMARK ESQ.	10 CEDAR STREET - SUITE 26 WOBURN, MA 01801 USA
8. The name and business any recordable instrument	8. The name and business address of the person(s) authorized to execute, any recordable instrument purporting to affect an interest in real property:	8. The name and business address of the person(s) authorized to execute, acknowledge, deliver and record any recordable instrument purporting to affect an interest in real property:
Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, Cily or Town, State, Zip Code
REAL PROPERTY	EDWARD X. DESOUSA	50 MAIN STREET NORTH READING, MA 01864 USA
9. Additional matters: IN ADDITION TO THE MANAGER, THE I AY, FROM TIME TO TIME, DESIGNATE, S MAY BE DETERMINED BY THE MANA ECUTIVE OFFICER, CHIEF OPERATING OFFICERS AS THE MANAGER MAY, FRO WNERSHIP INTEREST IN THE COMPAN E COMPANY OPERATING AGREEMENT	9. Additional matters: IN ADDITION TO THE MANAGER, THE LLC MAY HAVE SUCH OFFICERS AY, FROM TIME TO TIME, DESIGNATE, WITH SUCH AUTHORITIES AND S MAY BE DETERMINED BY THE MANAGER, INCLUDING, BUT NOT LIM ECUTIVE OFFICER, CHIEF OPERATING OFFICER, CHIEF INFORMATION OFFICERS AS THE MANAGER MAY, FROM TIME TO TIME, DESIGNATE. WNERSHIP INTEREST IN THE COMPANY IS SUBJECT TO RESTRICTIONS E COMPANY OPERATING AGREEMENT.	9. Additional matters: IN ADDITION TO THE MANAGER, THE LLC MAY HAVE SUCH OFFICERS AS THE MANAGER M AY, FROM TIME TO TIME, DESIGNATE, WITH SUCH AUTHORITIES AND RESPONSIBILITIES A S MAY BE DETERMINED BY THE MANAGER, INCLUDING, BUT NOT LIMITED TO, A CHIEF EX ECUTIVE OFFICER, CHIEF OPERATING OFFICER, CHIEF INFORMATION OFFICER, AND SUCH OFFICERS AS THE MANAGER MAY, FROM TIME TO TIME, DESIGNATE. TRANSFER OF ANY O WNERSHIP INTEREST IN THE COMPANY IS SUBJECT TO RESTRICTIONS AS SET FORTH IN TH E COMPANY OPERATING AGREEMENT.
SIGNED UNDER THE P ROBERT J. HUNDERTMA (The	SIGNED UNDER THE PENALTIES OF PERJURY, this 2 Day of February, 2018, ROBERT J. HUNDERTMARK, DULY AUTHORIZED (The certificate must be signed by the person forming the LLC.)	Day of February, 2018, son forming the LLC.)
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THE COMMONWEALTH OF MASSACHUSETTS

that the provisions of the General Laws relative to corporations have been complied with, I hereby certify that, upon examination of this document, duly submitted to me, it appears and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

February 02, 2018 03:59 PM

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth

THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are

deemed to have been filed with me on:

February 02, 2018 03:59 PM

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth

The undersigned, be limited liability com	RIVERRUN GARDENS, LLC ORGANIZATIONAL CONSENT RESOLUTIONS OF THE MEMBERS The undersigned, being all of the Members of RIVERRUN GARDENS, LLC, a Massachusetts limited liability company (the "T I C"). do hereby waite all potice of the time relation of the memory of
limited liability com a meeting of the Me Agreement of the LL of the following reso of the Members of th the proceedings of th	limited liability company (the "LLC"), do hereby waive all notice of the time, place and purposes of a meeting of the Members of the LLC and consent, pursuant to the Limited Liability Company Agreement of the LLC and the Massachusetts Limited Liability Companies Statute, to the adoption of the following resolutions with the same force and effect as if adopted at a duly convened meeting of the Members of the LLC, and hereby direct that this written Consent be filed with the minutes of the proceedings of the Members of the LLC:
RESOLVED:	That the actions of Robert J. Hundertmark in executing the Certificate of Organization for the LLC and filing the same with the Division of Corporations for the Secretary of the Commonwealth for the Commonwealth of Massachusetts are hereby ratified and confirmed.
RESOLVED:	That Edward X. DeSousa be appointed as Manager of the LLC.
RESOLVED:	That the undersigned Members, and the Manager (acting on behalf of the LLC) execute the Operating Agreement attached hereto, which shall govern the rights, powers, duties and obligations of the Members, the Manager and the LLC.
RESOLVED:	That the LLC adopt a calendar year-end for tax and accounting purposes, and that the Manager is hereby authorized and directed to cause to be prepared, executed and filed an Application for Employer Identification Number (Form SS-4) with the Internal Revenue Service.
RESOLVED:	That the Manager be, and hereby is authorized and directed to open depository, checking, money market or other financial accounts with such banks and financial institutions as he deems appropriate, and that he be, and hereby is, authorized and directed to complete and execute such forms and resolutions as may be required by any bank or financial institution to establish and maintain any such accounts.
RESOLVED:	That the Manager of the LLC is hereby authorized and directed to take any and all actions as he may deem necessary or appropriate to implement or give effect to the foregoing Consent Resolutions.
Dated: February 6, 2018	ALL OF THE MEMBERS OF RIVERRUN GARDENS, LLC

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Edward M. DeSousa Edward X. DeSousa 1 c

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EXHIBIT A TO OPERATING AGREEMENT OF RIVERRUN GARDENS, LLC

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Dated February 6, 2018

Membership Interests, Capital Contributions, etc.

	EDWARD M. DESOUSA Cash and Services	EDWARD X. DESOUSA Cash and Services	Member Name Capital Contribution
	34,300	35,700	Membership Units
	49.00%	51.00%	LLC Interest
Euward M. DCOUDS		Edward X. DeScure 16/K	Signature

TOTAL MEMBERSHIP SHARES AUTHORIZED; TOTAL MEMBERSHIP SHARES ISSUED; AUTHORIZED/UNISSUED MEMBERSHIP SHARES;

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100,000 70,000 30,000

OPERATING AGREEMENT of RIVERRUN GARDENS, LLC a Massachusetts Limited Liability Company

2nd, day of February, 2018 by and among This Operating Agreement (the "Agreement") is made and entered into and effective as of this

EDWARD X. DESOUSA 2 Scotland Heights North Reading MA 01864

and

EDWARD M. DESOUSA 4 Jenkins Road North Reading MA 01864

(Singly, a "Member", or collectively, the "Members") and

RiverRun Gardens, LLC, a Massachusetts Limited Liability Company (the "LLC")

RECITALS

with the Corporations Division of the Secretary of the Commonwealth of Massachusetts; and WHEREAS, a Massachusetts domestic limited liability company named RiverRun Gardens, February 2, 2018 by the filing of a Certificate of Organization (the "Certificate of Organization") LLC (the "LLC"), was formed under the laws of the Commonwealth of Massachusetts on

obligations with regard to the LLC; owners of the LLC, and the LLC and the Members wish to define their various rights and WHEREAS, the Members will be contributing capital to the LLC and will become equity

Members and the LLC (collectively, the "Parties") agree as follows: NOW THEREFORE, in consideration of the covenants and the promises made herein, the

SECTION 1: DEFINITIONS

1.1 "Agreement" means this Limited Liability Company Operating Agreement, as amended

Massachusetts for the purpose of forming this LLC February 2, 2018 with the Corporations Division of the Secretary of the Commonwealth of 1.2"Certificate of Organization" means the Certificate of Organization which was filed on

1.3 "Code" means the Internal Revenue Code of 1986, as amended.

- 1.4 including but not limited to increases due to profits or additional contributions and "Capital Account" means the amount of a Member's Capital Contribution, as adjusted, decreases due to losses and distributions.
- 1.5 "Capital Contribution" means any contribution of value, including but not limited to cash, property, assets, etc., by a Member to the capital of the LLC
- 1.6 "Capital Interest" means a Member's right to Capital Contributions and any rights to return of Capital Contributions.
- 1.7 include any Capital Contributions or any rights to a return of Capital Contributions monetary items and to receive distributions and allocations from the LLC but does not "Financial Interest" means a right to share in the profits, losses, incomes, expenses, or other
- 1.8 "LLC" means RiverRun Gardens, LLC, a Massachusetts Limited Liability Company under the laws of the Commonwealth of Massachusetts.
- 1.9 and are then outstanding. owned by such Member, by the total number of Membership Units that have been issued expressed as a percentage determined by dividing the total number of Membership Units information concerning the LLC and any other rights granted to a Member under the "LLC Interest" means an ownership interest in the LLC, which includes the Financial Certificate of Organization or this Agreement. A Member's LLC Interest shall be Interest, the right to vote, the right to participate in management, and the right to obtain
- 1.10 "Manager" or "Managers" means the person(s) elected, appointed, or otherwise designated in accordance with this Agreement to manage and operate the LLC.
- 1.11"Member" means any person or entity who owns any interest in this LLC, is a party to this assignment or a transfer or assignment in violation of this Agreement. any person who holds only a Financial Interest as a result of an involuntary transfer or agreement and is accepted as a member pursuant to this Agreement but does not include
- 1.12 "Membership Unit" shall be a fraction ownership interest in the LLC
- 1.12"Property" means any and all assets, in whole or in part, of the LLC, both tangible and intangible
- 1.13"Statute" means the Massachusetts Limited Liability Company Act, as amended

SECTION 2: FORMATION

2.1 of Massachusetts by filing the Certificate of Organization with the Corporations Division Formation of the LLC. The LLC was formed pursuant to the laws of the Commonwealth

of the Massachusetts Secretary of the Commonwealth.

- 2.2 necessary provided that such names do not violate the statute. Name. The name of the LLC is "RiverRun Gardens, LLC." The Manager shall operate the business of the LLC under such name or use such other names as the Manager deem
- 2.3 more business offices in the state of organization, the Manager shall fix and designate a established at any time and at any place as the Manager may determine. principal business office in the state of organization. Branch or subordinate offices may be If the principal office is located outside the state of organization, and the LLC has one or Suite 200, North Reading, MA 01864 or any other location as determined by the Manager. Principal Office. The LLC's principal place of business will be located at 50 Main Street,
- 2.4 Term. The LLC will continue to exist until terminated or dissolved in accordance with its Certificate of Organization or this Agreement.
- 2.5 Business Purpose. conducted under the Massachusetts Limited Liability Company Act, as the same may that is necessary and proper to accomplish the above purposes, or that may be lawfully wholesalers engaged in similar businesses, and to engage in any other business or activity provide consulting and advisory services to other manufacturers, producers and from time to time, be amended. goods and products as a wholesaler, for sale and distribution to third party retail outlets, to The purposes of the LLC are to produce, manufacture and distribute
- 2.6 other person or entity with an office in the state of organization as determined by the Registered Agent. The LLC's registered agent will be EDWARD X. DESOUSA or any Manager.
- 2.7 within the Commonwealth of Massachusetts, as determined by the Manager. located at 50 Main Street, Suite 200, North Reading, MA 01864 or any other location Registered Office. The LLC's registered office will be the office of the registered agent

SECTION 3: MEMBERSHIP

- 3.1 Initial Members. The initial Members of the LLC are those persons or entities set forth in this Agreement.
- 3.2 and agree to be bound by the terms of this Agreement as a condition of becoming a conditions as determined by the Manager and in accordance with the Certificate of unanimous consent of the Manager, for such consideration, and on such terms and Member. Organization and this Agreement. All new Members must sign a copy of this Agreement Members, and LLC Interests may be issued to those additional Members, upon the Additional Members. Additional persons or entities may be admitted to the LLC as

- ယ ယ of the LLC to a third party unless the Member agrees in writing to be liable. Liability to Third Parties. No Member shall be liable for the debts, obligations, or liabilities
- з. 4 incur any liability on behalf of the LLC except as provided in this Agreement. Authority. No Member has the authority or power to act for or on behalf of, to bind, or to
- ω ζ through the use of an injunction or otherwise. Members shall not have the right to prevent the withdrawing Member from withdrawing and the other Members for all monetary damages as a result of the breach, including but not limited to direct, indirect, incidental, and consequential damages. The LLC and the other power of withdrawal in breach of this Agreement, the Member shall be liable to the LLC withdrawal shall be deemed a breach of this Agreement. If a Member does exercise such provided in this Agreement. However, a Member has the power to withdraw but such Withdrawal. No Member has the right to withdraw from the LLC as a Member except as
- 3.6 Compensation. A Member may receive compensation for services rendered to the LLC as them that is properly an expense of the LLC determined by the Manager. The LLC shall reimburse Members for any expense paid by

SECTION 4: CAPITAL ACCOUNTS

- <u>4.1</u> Manager to such persons or entities, and for such consideration or capital contributions as the Manager shall, in their discretion, determine. The total number of Membership Units Initial Capital of the LLC. The capital of the LLC shall be represented by 100,000 may not be increased without a vote of the majority of the Members allocation of profit and loss, and distributions. Membership Units may be issued by the authorized Membership Units, each of which shall have equal rights to as to voting,
- 4.2 of Membership Units owned by them, and the total number of authorized but unissued agrees to be bound by the terms of this Operating Agreement. has been issued new Membership Units since the last Exhibit, whereby such Member Membership Units. The Exhibit shall be signed by the Manager and by any Member who total number of issued Membership Units, the names of all Members and the total number reference. The Exhibit shall show the total number of authorized Membership Units, the integral part of this Agreement, and shall be considered incorporated herein by this shall prepare a schedule (the "Exhibit") in the form attached hereto as "Exhibit A" which, Issuance of Membership Units. Upon the issuance of any Membership Units, the Manager when prepared and signed as provided below, shall be attached to, and shall become an
- 4:3 accounting principles. However, a Member who has more than one LLC Interest shall have established and maintained for each Member. Each Member's Capital Account will be Capital Accounts. A Capital Account (hereinafter referred to as "Capital Account") shall be accounted for separately and will be maintained in accordance with generally accepted

shall carry over to the transferee Member in accordance with the Code. only one Capital Account that reflects all of that Member's LLC Interest. If a Member validly transfers his or her LLC Interest, the Capital Account of the transferring Member

- 4 4 follows: Adjustments to Capital Accounts. Each Member's Capital Account shall be adjusted as
- (a) Increases. Each Member's Capital Account shall be increased by
- (1) capital contributions of cash and/or property at its agreed upon fair market value;
- (2) all items of LLC income and gain (including income and gain exempt from tax).
- (b) Decreases. Each Member's Capital Account shall be decreased by
- (1) distributions of cash and/or property at its agreed upon fair market value
- (2) all items of LLC deduction and loss (including deductions and loss exempt from tax).
- 4.5 Advances by Members. Members may, at any time, advance moneys to the LLC. An to such repayment terms as the Manager and the Member making such loan may agree. advance is a loan from the Member to the LLC and shall bear interest and shall be subject
- 4.7 subscribe or purchase a proportional part of any additional or future LLC Interests which Preemptive Rights. No Member shall have any preemptive or preferential rights to may be issued by the LLC.
- 4.8 provided in this Agreement. capital contribution may not be withdrawn in the form of property other than cash except as Return of Capital. No member shall have the right to withdraw or obtain a return of his or her capital contribution except as provided in this Agreement. The return of a member's

SECTION 5: ALLOCATION OF PROFITS AND LOSSES AND DISTRIBUTIONS

- 5.1 generally accepted accounting principles and the Code. as determined by the books and records of the LLC which shall be kept in accordance with Determination of Profits and Losses. Profits and losses shall mean net income and net loss
- 5.2 gain, and loss shall be allocated pro rata in accordance with a Member's LLC Interest. Allocations. Except as provided in the Code, all items of income, revenues, deductions,
- 5.3 Member such that the deficit Capital Account is eliminated. This paragraph is intended to positive capital account, then items of LLC income shall be specially allocated to such which produces a negative capital account for any Member while any other Member has a any adjustments, allocations, or distributions described in Treasury Regulations § 1.704-Qualified Income Offset. Notwithstanding the above, if a Member unexpectedly receives 1(b)(2)(ii)(d)(4), (5) or (6) or any amendment thereto, or receives an allocation of loss

constitute a "qualified income offset" within the meaning of Treasury Regulation § 1.704-1(b)(2)(ii)(d).

- 5.4 paragraph is intended to comply with the "minimum gain chargeback" provisions of subsequent years) in proportion to each Member's share of the net decrease in LLC other allocation, items of income and gain for such taxable year (and, if necessary, minimum gain during a taxable year, each Member shall be specially allocated, before any Minimum Gain Chargeback. Notwithstanding the above, if there is a net decrease in LLC Treasury Regulation § 1.704-2(f). minimum gain as determined in accordance with Treasury Regulation § 1.704-2(g)(2). This
- 5 S Section 704(c) Allocation. Notwithstanding the above, to the extent that Code § 704(c) is Member in accordance with Code § 704(c). allocated to such Member for income tax purposes, the item shall be allocated to such (other than cash) that has been contributed by a Member and which is required to be applicable to any item of income, gain, loss, and deduction with respect to any property
- 5.6 such amounts as the Manager determine subject to any restrictions in this Agreement. Interest. Distributions. Distribution of LLC assets and property shall be made at such times and Distributions shall be made among the Members in proportion to the Member's LLC Б

SECTION 6: MANAGEMENT

- 6.1 management of the LLC's business and affairs. Management. The LLC shall be managed by Manager who shall be responsible for the
- 6.2 place is so specified, Members' meetings shall be held at the LLC's principal office United States designated by the Members and stated in the notice of the meeting. If no Place of Member Meetings. Meetings of Members shall be held at any place within the
- 6.3 business may be transacted. upon thirty (30) days written notice to the Members. At the annual meeting, any proper Tuesday of March of each year, or such other date as may be specified by the Manager Annual Member Meeting. An annual meeting of Members shall be held on the second
- 6.4 percent (10%) of the LLC Interests. A request for a special meeting of the Members shall Special Member Meetings. A special meeting of the Members may be called at any time by paragraphs 6.5 and 6.6 below. business proposed to be transacted. The notice shall be delivered in accordance with be in writing, specifying the time and place of the meeting and the general nature of the one or more Members holding Interests which, in the aggregate, constitute not less than ten
- 6.5 Notice of Members' Meetings. All notices of meetings of Members shall be sent or

Interest, (ii) an amendment of the Certificate of Organization, (iii) a reorganization of the contains (i) a contract or transaction in which a Member has a direct or indirect Financial those matters which are intended to be presented for action by the Members. If a proposal general nature of the business to be transacted, or (b) in the case of the annual meeting, specify the place, date, and hour of the meeting and (a) in the case of a special meeting, the more than sixty (60) days before the date of the meeting being noticed. The notice shall otherwise given in accordance with paragraph 6.6 below and not less than ten (10) nor such proposal. LLC, or (iv) a voluntary dissolution of the LLC, the notice shall state the general nature of

- 6.6 upon written demand of the Member at the principal office of the LLC for a period of one the notice to the Member at such address, all future notices or reports shall be deemed to delivered personally, deposited in the mail, or sent by facsimile, telegram, or other means the purpose of notice. Notice shall be deemed to have been given at the time when giving such notice, and shall be filed and maintained in the books and records of the LLC. (1) year from the date of the giving of such notice. An affidavit of the mailing or other means of giving any notice of any Members' meeting shall be executed by the Member have been duly given without further mailing if the same shall be available to the Member Postal Service marked to indicate that the United States Postal Service is unable to deliver of written communication. If any notice addressed to a Member at the address of such appearing on the books of the LLC or more recently given by the Member to the LLC for communication, charges prepaid, addressed to each Member at the address of each Member Member appearing on the books of the LLC is returned to the LLC by the United States given either personally, by first class mail, facsimile, telegraphic, or other written Manner of Giving Notice; Affidavit of Notice. Notice of any meeting of Members shall be
- 6.7 procedures to be followed at the meeting. meeting. The chairman of the meeting shall determine the order of business and the chairman of the meeting who shall be designated by a majority of the Members at the Conduct of Member Meetings. All meetings of the Members shall be presided over by the
- 6.8 meetings of the Members for the transaction of business. Member Quorum. fifty-one percent (51%) or more of the LLC Interests shall constitute a quorum at al The presence, in person or by proxy, of the holder(s) of an aggregate of
- 6.9 by vote of the Members has been obtained, with respect to such specific matter. An considered for purposes of determining whether a quorum is present, or whether approval specific matter, then such Member's number of votes and LLC Interest shall not be by Statute approve any action, unless a greater or lesser vote is required pursuant to this Agreement or aggregate of fifty-one percent (51%) or more of the LLC Interests shall be required to Member Voting. Each Member shall have a number of votes equal to the percentage of LLC Interest held by such Member. However, if a Member is not entitled to vote on a

- 6.10 Adjourned Member Meeting and Notice Thereof. Any Members' meeting, annual or record date for the adjourned meeting is fixed, or unless the adjournment is for more than thereof are announced at the meeting at which the adjournment is taken, unless a new time and place, notice need not be given of the adjourned meeting if the time and place been transacted at the original meeting. 6.6 above. At any adjourned meeting, the LLC may transact any business which might have Member entitled to vote at the adjourned meeting in accordance with paragraphs 6.5 and a new record date. Notice of any such adjourned meeting, if required, shall be given to each five (5) days from the date set for the original meeting, in which case the Members shall set meeting. When any meeting of Members, either annual or special, is adjourned to another by proxy, but in the absence of a quorum, no other business may be transacted at such the vote of a majority of the LLC Interests represented at such meeting, either in person or special, regardless of whether a quorum is present, may be adjourned from time to time by
- 6.11 Waiver of Notice or Consent by Absent Members. The transactions of any meeting of constitute a waiver of notice of such meeting, unless such person objects at the beginning be filed with the LLC's books and records. Attendance of a person at a meeting shall any annual or special meeting of Members. All such waivers, consents, and approvals shall notice, a consent to the holding of the meeting, or any approval of the minutes thereof. The quorum be present either in person or by proxy, and if, either before or after the meeting, of the meeting. waiver of notice or consent shall state either the business to be transacted or the purpose of each person entitled to vote but not present in person or by proxy, signs a written waiver of as valid as if it had occurred at a meeting duly held after regular call and notice, if a Members, either annual or special, however called and noticed, and whenever held, shall be
- 6.12 such consents shall be filed with the LLC's books and records. meeting at which all LLC Interests entitled to vote thereon were present and voted. All the minimum number of votes that would be necessary to authorize or take such action at a holding LLC Interests representing the aggregate number of votes equal to or greater than Member Action by Written Consent without a Meeting. Any action which may be taken at prior notice, if consents in writing, setting forth the action so taken, are signed by Members any annual or special meeting of Members may be taken without a meeting and without
- 6.13 Proxies. Every Member entitled to vote shall have the right to do so either in person or by one or more agents authorized by a written proxy signed by the Member and filed with the LLC. A proxy shall be revocable unless the proxy specifically states that it is irrevocable.
- 6.14 Voting Trusts. If any Member files a voting trust agreement with the LLC, the LLC shall take notice of its terms and trustee limitations
- 6.15 Deadlocks. In the event the Members shall experience a deadlock on a Member Vote, such Member voting on such deadlocked issue). deadlock shall be broken by a tie-breaking vote cast by the Manager (who may also be ø

- 6.16 management of the LLC's business and affairs. Managers. The LLC shall be managed by one (1) Manager who shall be responsible for the
- 6.17 hold office until a Successor is duly elected, qualified and accepts his or her office changed at the annual meeting of the Members. Once elected or appointed, a Manager will Election and Term of Office of Manager. The initial Manager of the LLC is EDWARD X. DESOUSA. Successor Managers shall be elected, and the number of Managers may be
- 6.18 the authorized number of Managers shall have the effect of removing any Manager prior to the expiration of his or her term of office. Members fail to elect the full authorized number of Managers. However, no reduction of the authorized number of Managers is increased, or (d) if at a Members' meeting the meeting of the Members in accordance with Section 6 of this Agreement. is removed by the Members; (b) the other Managers, if any, declare a Manager vacancy; (c) Vacancies. A Manager vacancy shall be deemed to exist if: (a) a Manager dies, resigns, or Vacancies are to be filled by election at a special
- 6.19 Resignation. Any Manager may resign effective upon the delivery of written notice to any Member, unless the notice specifies a later effective date.
- 6.20at all meetings of the Members. The General Manager shall have the general powers and General Manager. If the Members elect more than one Manager, the Members shall other powers and duties as may be prescribed by the Members. duties of management typically vested in the office of president of a corporation, and such designate one of the Managers as the General Manager. The General Manager shall preside
- 6.21not limited to the following: actions on behalf of the LLC not otherwise provided for in this Agreement including but requiring approval by the Members, the Managers may make all decisions and take all limitations in the Certificate of Organization and this Agreement relating to actions of the LLC. In addition, subject to the provisions of the state of organization's law, any Powers. The Manager shall have general supervision, direction, and control of the business
- (a) select and remove all officers, agents, and employees of the LLC; prescribe any powers and duties for the Officers that are consistent with law, with the Certificate of from the Officers security for faithful service; Organization, and with this Agreement; fix the Officers' compensation; and require
- (b) change the principal business office from one location to another; qualify the LLC to holding of any Members' or Managers' meetings; or outside the United States; and designate any place within the United States for the do business in any State, territory, dependency, or country; conduct business within
- (c) borrow money and incur indebtedness on behalf of the LLC, and cause to be executed

of debt and securities; debentures, deeds of trust, mortgages, pledges, hypothecations, and other evidences and delivered for the LLC's purposes, in the LLC name, promissory notes, bonds,

- (d) call a meeting, annual or special, of the Members at any time upon notification as set forth in paragraphs 6.5 and 6.6 above;
- ٩ enter into, make, and perform contracts, leases and other agreements which bind the LLC that are necessary and appropriate in the ordinary course of business of the LLC;
- (\mathfrak{F}) open and maintain bank and investment accounts and designate authorized persons to sign checks or drafts or give instructions concerning those accounts;
- (g) maintain the assets of the LLC;
- (h) collect sums due and owing to the LLC;
- (i) pay the debts and obligations of the LLC;
- 9 acquire, use, and dispose of assets during the ordinary course of business;
- R issue authorized Membership Units to such persons for such consideration as the Manager may, in good faith deem reasonable, necessary or advisable.
- 6.22Limitation on Powers. Except by the unanimous written agreement of the Members, neither a Manager nor any Officer of the LLC shall have the authority to:
- (a) enter into any agreement, contract, or commitment on behalf of the LLC which would obligate any Member to find additional capital, to guarantee a loan, or to increase a Member's personal liability either to the LLC or to a third party;
- (b) materially alter the business of the LLC, deviate from any approved business plan of the LLC as set forth in this Agreement, or perform any action which would make it impossible to carry on the business of the LLC;
- (c) perform any action that is contrary to this Agreement;
- (d) place title to any LLC asset or property in the name of a nominee or sell, lease in the ordinary course of business; pledge, hypothecate, or grant a security interest in any LLC asset or property, except
- @ commingle LLC funds with the funds of any other person or entity;
- (f) confess a judgment against the LLC;

- (g) attempt to dissolve the LLC;
- (h) authorize any new or additional Membership Units not already authorized by this Agreement.
- 6.23 similar communications equipment, so long as all Managers participating in such meeting such meeting. can hear one another, and all such Managers shall be deemed to be present in person at office of the LLC. Any meeting, regular or special, may be held by conference telephone or been designated in the notice of the meeting or, if not stated in the notice, at the principal office of the LLC. Special meetings of the Managers shall be held at any place that has the absence of the designation of a place, regular meetings shall be held at the principal Place of Manager Meetings. Regular meetings of the Managers may be held without notice, at any time and at any place within the United States that is designated by the Managers. In
- 6.24 Annual Manager Meeting. Immediately following each annual meeting of Members, the Officers, if any, and the transaction of other business. Notice of such meeting shall not be Managers shall hold a regular meeting for purposes of organization, the election of required.
- 6.25 Other Regular Manager Meetings. Other regular meetings of the Managers may be held held without notice. without call at such time as determined by the Managers. Such regular meetings may be
- 6.26 the purpose of the meeting nor the place if the meeting is to be held at the principal office working days prior to the time of the holding of the meeting. The notice need not specify Manager at his or her address as it is shown upon the records of the LLC at least four (4) Manager or sent by first class mail or telegram, charges prepaid, addressed to each the time and place of special meeting shall be delivered personally or by telephone to each Special Manager Meetings. Special meetings of the Managers for any purpose or purposes of the LLC may be called at any time by the General Manager or a majority of the Managers. Notice of
- 6.27 a quorum is initially present may continue to transact business, notwithstanding the Manager Quorum. A majority of the authorized number of Managers shall constitute a required quorum for such meeting. withdrawal of Managers, if any action taken is approved by at least a majority of the which a quorum is present shall be regarded as the act of the Managers. A meeting at which or decision done or made by a majority of the Managers present at a meeting duly held at quorum for the transaction of business, except to adjourn as hereinafter provided. Every act
- 6.28 Manager Voting. present at a meeting duly held at which a quorum is present shall be regarded as the act of Every act or decision done or made by a majority of the Managers

the Managers.

- 6.29specify the purpose of the meeting. All such waivers, consents, and approvals shall be filed meeting or an approval of the minutes thereof. The waiver of notice or consent need not of the Managers not present signs a written waiver of notice, a consent to holding the regular call and notice if a quorum is present and if, either before or after the meeting, each Waiver of Notice. The transactions of any meeting of the Managers, however called and Manager who attends the meeting without objecting to the lack of notice. with the LLC books and records. Notice of a meeting shall also be deemed given to any noticed or wherever held, shall be as valid as though conducted at a meeting duly held after
- 6.30 Adjournment. A majority of the Managers present, regardless of whether constituting a quorum, may adjourn any meeting to another time and place.
- 6.31 which case notice of such time and place shall be given, prior to the time of the adjourned Notice of Adjournment. Notice of the time and place of holding an adjourned meeting need meeting, to the Managers who were not present at the time of the adjournment. not be given, unless the meeting is adjourned for more than twenty-four (24) hours, in
- 6.32 filed with the LLC's books and record. and effect as a unanimous vote of the Managers. Such written consent or consents shall be consent in writing to such action. Such action by written consent shall have the same force Managers may be taken without a meeting, if all Managers individually or collectively Action without Manager Meeting. Any action required or permitted to be taken by the
- 6.33 from serving the LLC in any other capacity as an Officer, agent, employee, or otherwise, Fees and Compensation of Managers. Managers may receive such compensation, if any, for and receiving compensation for such services. Members/Manager. Nothing herein contained shall be construed to preclude any Manager their services, and such reimbursement of expenses as may be fixed or determined by the
- 6.34 Liability to Third Parties. No Manager shall be liable for the debts, obligations, or liabilities of the LLC to a third party unless the Manager agrees in writing to be liable
- 6.35 judgment rule. In performing the duties of a Manager, a Manager shall be entitled to rely financial data, in which case prepared or presented by: on information, opinions, reports, or statements, including financial statements and other is not a fiduciary, and shall not owe a fiduciary duty to the Members, or to any particular prudence, as a person in a like position would use under similar circumstances. A Manager Standard of Care; Liability. Each Manager shall exercise such powers and otherwise Member, but shall only be judged by a standard of reasonable care and the business interests of the LLC, and with such care including reasonable inquiry, using ordinary perform such duties in good faith, in the matters such Manager believes to be in the best

- (a) reliable and competent in the matters presented; one or more Officers or employees of the LLC who the Manager believes to be
- 3 believes to be within such person's professional or expert competence; or counsel, independent accountants, or other persons as to matters which the Manager
- <u></u> long as in any such case, the Manager acts in good faith, after reasonable inquiry a Committee upon which the Manager does not serve, as to matters within its would cause such reliance to be unwarranted when the need therefor is indicated by the circumstances, and without knowledge that designated authority, which Committee the Manager believes to merit confidence, so

6.36 Committees.

- (a) such committee, to the extent provided in the resolution of the Managers, shall have may designate one or more Managers as alternate members of any committee. Any all the authority of the Managers. of one or more Managers, to serve at the pleasure of the Managers. The Managers Manager Committees. The Managers may, by resolution adopted by a majority of the authorized number of Managers, designate one or more committees, each consisting
- ම may adopt rules, not inconsistent with this Agreement, for the governance of Manager members shall have the right to attend all meetings of the committee. The Managers committee meetings shall also be given to all alternate members; and (iv) alternate meetings may also be called by resolution of the Managers; (iii) notice of special resolution of the Managers or by resolution of the committee; (ii) special committee that: (i) the time of regular committee meetings may be determined either by Committees. are necessary to substitute the committee and its members for the Managers, except consent without a meeting, with such changes in the context of those paragraphs as waiver of notice, quorum, adjournment, notice of adjournment, and action by written actions of the Managers as to place of meetings, regular meetings, special meetings, Meetings and Actions of Manager Committees. Meetings and actions of Manager Committees shall be governed by the above paragraphs applicable to meetings and

6.37 Officers

a appoint such persons as the Manager sees fit to serve as Officers of such Offices, at by the following Officers: the pleasure of the Manager. The LLC presently has the following Offices, managed purposes of managing the business of the Company or any part thereof, and may The Manager may, from time to time, create or terminate one or more Offices for

i.Chief Executive Officer

ii.Chief Financial Officer

iii.Chief Operating Officer

iv.Chief Information Systems Officer:

- Ξ Officer under any contract of employment. each shall serve at the pleasure of the Managers, subject to the rights, if any, of any Election of Officers. The Officers of the LLC shall be chosen by the Managers, and
- <u></u> duties as are provided in this Agreement or as the Managers may determine of whom shall hold office for such period, have such authority and perform such Subordinate Officers, etc. The Managers may appoint, and may empower the President to appoint, such other Officers as the business of the LLC may require, each
- (d) Removal and Resignation of Officers.
- (1) Subject to the rights, if any, of an Officer under any contract of employment, any regular or special meeting thereof, or by any Officer upon whom such power of removal may be conferred by the Managers. Officer may be removed, either with or without cause, by the Managers, at any
- (2) Any Officer may resign at any time by giving written notice to the Managers. Any is without prejudice to the rights, if any, of the LLC under any contract to which such resignation shall not be necessary to make it effective. Any such resignation time specified therein; and, unless otherwise specified therein, the acceptance of such resignation shall take effect upon the receipt of such notice or at any later the Officer is a party.
- **e** disqualification, or any other cause shall be filled in the manner prescribed in this Agreement for regular appointments to such office. Vacancies in Offices. A vacancy in any office because of death, resignation, removal,

- S time to time by the Managers, the President, or the Chief Financial Officer. Subordinate Officers. The Managers may appoint, and may empower the President or business, whose duties shall be as provided in this Agreement or as determined from Chief Financial Officer to appoint, subordinate officers as required by the LLC's
- > Manager and/or Member of the LLC. No Officer shall be prevented from receiving this salary because he or she is also a Managers or established under employment agreements approved by the Managers. Compensation. Salaries of Officers employed by the LLC shall be determined by the

SECTION 7: TRANSFER AND ASSIGNMENT OF LLC INTERESTS

- 7.1 security interest in the LLC Interest or Membership Unit. assignment, alienation, disposition, gift, pledge, hypothecation, encumbrance, or grant of in this Agreement. For purposes of this Agreement transfer shall mean sale, exchange, her LLC Interest, any Membership Unit, or any part thereof, except as otherwise provided Transfer or Assignment of Member's Interest. No Member may transfer or assign his or
- 7.2 admitted as an additional Member pursuant to this Agreement. transfer or assignment does obtain the required consent, then the transferee shall be and the transferring Member shall still be bound to the terms of this Agreement. If a proper consent shall only affect a transfer or assignment of the Member's Financial Interest, the management or operation of the business and affairs of the LLC nor have the right to become a Member of the LLC. Any transfer or assignment of an LLC Interest without the majority of the LLC Interests, the transferee shall have no rights in, nor may participate in, LLC Interest or Membership Unit is transferred or assigned without the consent of a majority of the LLC Interests, excluding the Member proposing to transfer or assign. any part thereof, will be valid without the express written consent of the Manager, and of a Consent to Transfer. No transfer or assignment of an LLC Interest, Membership Unit, or If an

SECTION 8: BOOKS AND RECORDS

- **8**.1 if different, the following LLC documents: There shall be kept at the principal office of the LLC and the registered office of the LLC, books and records of the LLC in accordance with generally accepted accounting principles. Maintenance of Books and Records. The LLC shall establish and maintain appropriate
- æ a current list of the name and business or residence address of each Member and his or her Capital Contribution and LLC Interest;
- <u></u> a current list of the name and business or residence address of each Manager, if any;
- <u>ි</u> a copy of the Certificate of Organization and this Agreement and any amendments

thereto;

- a copies of the LLC's federal, state, and local income tax or information returns, if any, for the past six fiscal years;
- ٢ copies of the financial statements of the LLC, if any, for the past six fiscal years;
- Ð waivers of notice to Members, Member votes, actions and consents; and originals or copies of all minutes, actions by written consent, consents to action, and
- 69 any other information required to be maintained by the LLC pursuant to the state of organization's LLC statute.
- 8.2 statement for the preceding fiscal year of the LLC (or portion thereof) in conformity with necessary for them to complete federal and state tax returns. generally accepted accounting principles and provide to the Members all information Annual Accounting. Within ninety days after the close of each fiscal year of the LLC, the LLC shall cause to be prepared and submitted to each Member a balance sheet and income
- ε 3 of the books, records, and reports of the LLC. the Member or his or her agent or attorney. Any Member may require a review and/or audit normal business hours any of the LLC books and records. Such right may be exercised by purposes reasonably related to the interest of that Member, to inspect and copy during Inspection and Audit Rights. Each Member has the right, upon reasonable request, for
- 8.4 shall be signed or endorsed by such person or persons and in such manner as, from time to notes, or other evidences of indebtedness, issued in the name of or payable to the LLC, time, shall be determined by the Manager. as determined by the Manager. All checks, drafts, or other orders for payment of money, Bank Accounts. All funds of the LLC shall be deposited in the LLC's name in such banks
- 8.5 Fiscal Year. The LLC's fiscal year shall end on December 31
- 8.6 purposes of the LLC and shall reflect all transactions of the LLC and be appropriate and adequate for the shall be kept on the cash (or accrual) method of accounting applied in a consistent manner Accounting Method. For financial reporting purposes, the books and records of the LLC

SECTION 9: TAXATION

- 9.1 Tax Year. The LLC's taxable year shall end on December 31
- 9.2 the majority of the Managers, if there is more than one Manager shall serve as Tax Matters Tax Matters Partner. The Manager, if there is only one, otherwise, a Manager appointed by

reports, books, records, and returns of the LLC, in the absence of fraud or gross negligence of the LLC. The determination of the Tax Matters Partner as to adjustments to the financial shall be final and binding upon the LLC and all of the Members. necessary federal and state income and information tax returns prepared and filed on behalf make all elections for federal income tax purposes. The Tax Matters Partner shall have all of the LLC, shall oversee the LLC tax affairs in the overall best interests of the LLC and Partner pursuant to Code § 6231 to represent the LLC. The Tax Matters Partner, on behalf

SECTION 10: INDEMNIFICATION

- 10.1 Definitions: Agents, Proceedings, and Expenses. For the purposes of this Agreement, fees any and all costs, fees, and expenses including but not limited to court costs and attorney's proceeding, whether civil, criminal, administrative, or investigative; and "Expenses" means agent of this LLC; "Proceeding" means any threatened, pending or completed action or "Agent" means any person who is or was a Member, Manager, Officer, employee, or other
- 10.2which the person reasonable believed to be in the best interests of this LLC or that the of itself, create a presumption that the person did not act in good faith and in a manner order, settlement, conviction, or upon a plea of nolo contendere or its equivalent shall not, Actions Other Than by the LLC. The LLC shall indemnify and hold harmless any person or person had reasonable cause to believe that his or her conduct was unlawful. believe his or her conduct was unlawful. The termination of any Proceeding by judgment, interests of this LLC, and, in the case of a criminal proceeding, had no reasonable cause to amounts actually and reasonably incurred in connection with such Proceeding, if that person acted in good faith and in a manner that person reasonably believed to be in the best was an Agent of this LLC, against expenses, judgments, fines, settlements, and other than an action by or in the right of this LLC) by reason of the fact that such person is or Agent who was or is a party, or is threatened to be made a party, to any Proceeding (other

10.3 Actions by the LLC.

- æ an ordinary prudent person in a like position would use under similar circumstances. in the best interests of this LLC, and with such care, including reasonable inquiry, as person or Agent acted in good faith, in a manner that person or Agent believed to be that person or Agent in connection with the defense or settlement of that action if that or was an Agent of this LLC, against expenses actually and reasonably incurred by of this LLC to procure a judgment in its favor by reason of the fact that the person is to be made a party, to any threatened, pending, or completed action by or in the right This LLC shall indemnify any person or Agent who was or is a party, or is threatened
- ਰ No indemnification, however, shall be made under this Section: (i) with respect to to be liable to this LLC in the performance of that person's or Agent's duty to the any claim, issue or matter as to which that person or Agent shall have been adjudged

settled or otherwise disposed of without court approval. or (iii) for expenses incurred in defending a threatened or pending action which is otherwise disposing of a threatened or pending action, with or without court approval; the expenses which the court shall determine; (ii) for amounts paid in settling or application that the person or Agent is fairly and reasonably entitled to indemnity for LLC, unless the court in which that action was brought shall determine upon

- 10.4 Successful Defense by Agent. To the extent that an Agent of this LLC has been successful on the merits in defense of any Proceeding, the agent shall be indemnified against expenses actually and reasonably incurred by the Agent in connection with the Proceeding
- 10.5 quorum 1s present. who were not parties to the proceeding at a duly held meeting of the Members at which a if authorized upon a determination by a majority vote of the LLC Interests of Members Required Approval. Any indemnification under this Section shall be made by the LLC only
- 10.6 Advance of Expenses. Expenses incurred in defending any Proceeding may be advanced by receipt of an undertaking by or on behalf of the Agent to repay the amount of the advance unless it shall be determined ultimately that the Agent is entitled to be indemnified. the LLC (subject to Manager approval) before the final disposition of the Proceeding upon
- 10.7 Other Contractual Rights. Nothing contained in this Section shall affect any right to indemnification to which Agents of this LLC or any subsidiary may be entitled by contract, by vote of the Members, as a matter of law or equity, or otherwise.
- 10.8status as such, regardless of whether the LLC would have the power to indemnify the against or incurred by the Agent in such capacity, or which might arise out of the Agent's insurance on behalf of any Agent of the LLC against any liability which might be asserted Insurance. The LLC may, upon a determination by the Members, purchase and maintain Agent against that liability
- 10.9 shall be deemed to be coextensive with the maximum afforded by the state of organization's law as so amended. indemnification beyond that set forth herein, the indemnification authorized by this Section subsequently amended to in any way that increases the scope of permissible other agents of an LLC, as in effect at the time of adoption of this Agreement, is law regarding indemnification of members, managers, directors, officers, employees, and Amendment to State of Organization's Laws. In the event that the state of organization's

SECTION 11: LLC CERTIFICATES

[intentionally omitted]

SECTION 12: TERMINATION AND DISSOLUTION

- 12.1 Dissolution. The LLC shall be dissolved upon the occurrence of any of the following events:
- æ the expiration of the period fixed in the Certificate of Organization;
- 9 the written consent of at least two-thirds of the LLC Interests;
- <u></u> of a majority (or all) of the remaining LLC Interests within ninety (90) days of the membership in the LLC, unless the business of the LLC is continued by the consent the death, withdrawal, resignation, expulsion, bankruptcy or dissolution of a Member, happening of that event. or the occurrence of any other event which terminates the Member's continued
- 12.2of the Secretary of the Commonwealth for the Commonwealth of Massachusetts. shall execute and file a Notice of Winding Up, if required, with the Corporations Division Notice of Winding Up. Upon the occurrence of any of the events specified above, the LLC
- 12.3 of Massachusetts or until a decree dissolving the LLC has been entered by a court of the Corporations Division of the Secretary of the Commonwealth for the Commonwealth Conduct of Business. Upon the occurrence of any of the events specified above, a majority competent jurisdiction. However, the LLC shall continue to exist until Articles of Dissolution have been filed with one or more of the Manager to act as liquidator and wind up all LLC business and affairs. of the members (excluding those members who caused the dissolution event) shall appoint
- 12.4 Distribution of Net Proceeds. Upon the occurrence of any of the events specified above and shall be promptly liquidated and distributed in the following order: the completion of the winding up of all LLC business and affairs, the assets of the LLC
- æ to the payment of creditors, excluding Members, in the order of priority as provided by law;
- Ξ to the payment of loans or advances made by the Members;
- <u></u> allocations of net profits and net loss. to the Members in proportion to their Capital Accounts after adjustments for all

assets and distribute the cash proceeds or distribute the assets in kind, in descending order, asset as determined in good faith by the liquidator, the liquidator may sell the noncash assets, which distribution values are to be based on the fair market value of the noncash distributed first, in descending order, to the above categories. With respect to the noncash Where the distribution consists both of cash and noncash assets, the cash shall be

to the above categories

12.5 shall cause the LLC to file Articles of Dissolution with the Corporations Division of the Termination. The LLC shall be terminated upon the distribution of all assets. The Manager actions necessary to terminate the LLC Secretary of the Commonwealth for the Commonwealth of Massachusetts or take any other

SECTION 13: AMENDMENTS

13.1Amendments by Members. This Agreement may be adopted, amended, altered, or repealed Members at which a quorum is present, with the consent of a majority of the Managers by the vote or written consent of two-thirds of the LLC Interests at a meeting of the

SECTION 14: GENERAL PROVISIONS

- 14.1 constitute a consent or waiver to or of any other breach Further, no consent or waiver, express or implied, to or of any breach or default shall enforcement of any waiver, change, modification, extension, or discharge is sought. evidenced by an instrument in writing duly executed by the party against whom provision or condition hereof and no consent provided for herein shall be effective unless changed, modified, extended, or discharged orally and no waiver of compliance with any understandings and agreements, whether written or oral, and all prior dealings of the parties with respect to the subject matter hereof. This Agreement, in whole or in part, cannot be Entire Agreement/Modification. This Agreement contains the entire understanding of the parties with respect to the subject matter of the agreement, and it supersedes all prior
- 14.2 all such rights, to have the assets of the LLC partitioned, or to file a complaint, or institute Partition. Each Member agrees that he or she has no right, and irrevocably waives any and and maintain any proceeding at law or equity to have the assets of the LLC partitioned.
- 14.3 Further Actions. Each of the Members agrees to execute, acknowledge, and deliver such agreement or document relating hereto, or entered into in connection herewith. time to time to carry out each of the provisions and the intent of this Agreement, and every additional documents, and take such further actions, as may reasonably be required from
- 14.4 Severability. If any provision of this Agreement or the application thereof to any person or not be affected thereby and shall be enforced to the greatest extent permitted by law circumstance shall be invalid or unenforceable to any extent, the remainder of this Agreement and the application of such provision to other persons or circumstances shall
- 14.5 Successor and Assigns. This Agreement shall be binding upon and inure to the benefit of the parties and their respective successors, legal representatives, and assigns. This Agreement may not be assigned by any party without the express written consent of the

other parties.

- 14.6 Notices. All notices, requests, demands, and other communications made hereunder shall sent or posted party in question on the records of the LLC, and shall be deemed given when so delivered, posted by registered or certified mail, postage prepaid to the last address appearing for the be in writing and shall be deemed duly given if delivered or sent by telex, facsimile, or
- 14.7 Attorney's Fees. In the event of any litigation, arbitration or other dispute arising as a result attorney's fees, and all other costs and expenses incurred in connection with settling or other dispute shall be entitled to, in addition to any other damages assessed, its reasonable of or by reason of this Agreement, the prevailing party in any such litigation, arbitration or resolving such dispute
- 14.9 construe the intentions of the parties. reference only and do not form a part hereof and do not in any way modify, interpret, or plural, and vice versa. The section headings of this Agreement are for convenience of shall be deemed to include the masculine, feminine, and neuter and the singular, the Construction. Throughout this Agreement, the masculine, feminine, or neuter genders
- 14.10 together shall constitute one and the same instrument. each of which shall be deemed to be an original, and all such counterparts when taken Execution and Counterparts. This Agreement may be executed in several counterparts
- 14.11 with applicable procedures and rules of said jurisdiction Commonwealth of Massachusetts and consent to the service of process in accordance Massachusetts. The parties further agree to submit to the jurisdiction of the action or proceeding shall be brought in the courts of the Commonwealth of the laws of the Commonwealth of Massachusetts. The parties hereby agree that any legal Governing Law. This agreement shall be governed by, and interpreted in accordance with

[signature page follows]

of this_ IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed as _ day of February, 2018.

RIVERRUN GARDENS, LLC

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÷.,

By:

EDWARD X. DESOUSA, Manager

EDWARD X. DESOUSA, Individually, as Member

EDWARD M. DESOUSA, Individually, as Member

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IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed as of this 67 day of February, 2018.

Bу RIVERRUN GARDENS, LLC

EDWARD X. DESOUSA, Manager //0

EDWARD X. **BESOUSA**, Individually, N 0

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as Member

as Member EDWARD M. DESOUSA, Individually,

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Attachments:	Subject:	To:	Sent:	From:	
RiverRun Gardens Certificate of Organization.pdf, RiverRun Gardens Operating Agreement.doc	RiverRun Gardens LLC	'Edward DeSousa'	Tuesday, February 06, 2018 12:07 PM	Bob Hundertmark <bob@rjhlaw.net></bob@rjhlaw.net>	

Okay –

under the licensing statute. We don't want to drag GD into that. distribution) and lending it to RRG. This should give you "basis" in RRG, and also would avoid GD being a person of influence Drawback and into RRG. I'm thinking you're way better off getting the money from GD individually (either as a loan or a talk to your CPA about that. You should also talk to him about the structure and method about getting money out of Global going to be taxed as a partnership, you're going to have to get an EIN and you're going to have to file tax returns. RiverRun Gardens, LLC has legal existence as of February 2, 2018. Since this is a Multiple Member Limited Liability Company, it You should

way, they should be reviewed and modified, which I've done to this extensively. I've also attached a proposed Operating Agreement. People think these are "boilerplate" and while they may start out that

whatever compensation you deem reasonable. Worst case supermajority is 2/3's so you and Eddie can carry the day. has the tie-breaker. There's 100,000 shares authorized, 70,000 issued, 30,000 more that you, as Manager, can issue for Essentially, you're the Manager, can do pretty much anything, you and Eddie are 50/50, if there's a deadlock, the Manager (you

ready, they'll all be signed together. Take a look, let me know what you think, when we finalize it, I'll have initial votes and an Exhibit A outlining the ownership

Robert J. Hundertmark Attorney At Law 10 Cedar Street - Suite 26 Woburn MA 01801 781-932-6611 781-932-6662 (FAX)

NOTICE / DISCLAIMER:

BEHALF OF, OR BIND A THIRD PARTY. SENDER OR ANY THIRD PARTY, OR (3) IMPLYING OR REPRESENTING THAT THE SENDER HAS ANY LEGAL AUTHORITY TO ACT ON CONSENT TO AN ELECTRONIC TRANSACTION, (2) CREATING A BINDING OFFER, ACCEPTANCE, OR CONTRACT ON BEHALF OF THE NOR ANY ATTACHMENT TO THIS EMAIL SHALL BE CONSTRUED AS (1) CONSTITUTING AN ELECTRONIC TRANSACTION OR A UNLESS THIS EMAIL EXPRESSLY & SPECIFICALLY PROVIDES OTHERWISE WITHIN THE BODY OF THIS EMAIL, NEITHER THIS EMAIL

REVIEW, COPYING, PRINTING, DISCLOSURE, DISTRIBUTION, OR OTHER USE BY ANY OTHER PERSON OR ENTITY IS STRICTLY INFORMATION AND/OR ATTORNEY WORK PRODUCT, AND IS INTENDED FOR THE SOLE USE OF THE ABOVE ADDRESSEE. ANY THIS E-MAIL AND/OR ANY ATTACHMENT TO THIS EMAIL MAY CONTAIN CONFIDENTIAL OR PRIVILEGED MATERIAL, PROHIBITED.



Commonwealth of Massachusetts Department of Revenue Christopher C. Harding, Commissioner

mass.gov/dor

CERTIFICATE OF GOOD STANDING AND/OR TAX COMPLIANCE

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The Commissioner of Revenue certifies that, as of the date of this certificate, RIVERRUN GARDENS, LLC is in compliance with its tax obligations under Chapter 62C of the Massachusetts General Laws.

This certificate doesn't certify that the taxpayer is compliant in taxes such as unemployment insurance administered by agencies other than the Department of Revenue, or taxes under any other provisions of law.

This is not a waiver of lien issued under Chapter 62C, section 52 of the Massachusetts General Laws.

Associated and the second s

If you have questions, call us at (617) 887-6367 or toll-free in Massachusetts at (800) 392-6089, Monday through Friday, 8:30 a.m. to 4:30 p.m.

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Visit mass.gov/dor to learn more about Massachusetts tax laws and DOR policies and procedures, including your Taxpayer Bill of Rights, and MassTaxConnect for easy access to your account:

- Review or update your account
- Contact us using e-message
- Sign up for e-billing to save paper
- Make payments or set up autopay

end b. Gldr

Edward W. Coyle, Jr., Chief Collections Bureau

State House, Boston, Massachusetts 02133 ာကောက်ကို စွဲ နှင့် ကိုကာသူက stasmposson fo vypsomounuog sy



Commonwealth Secretary of the William Francis Galvin

March 18, 2019

TO WHOM IT MAY CONCERN:

filed in this office by I hereby certify that a certificate of organization of a Limited Liability Company was

RIVERRUN-GARDENS, LLC

'810Z in accordance with the provisions of Massachusetts General Laws Chapter 156C on February 2,

standing with this office. certificate of cancellation or withdrawal; and that said Limited Liability Company is in good paid all fees with respect to such reports; that said Limited Liability Company has not filed a I further certify that said Limited Liability Company has filed all annual reports due and

I also certify that the names of all managers listed in the most recent filing are:

EDWARD X. DESOUSA

HUNDERTMARK ESQ. office and listed in the most recent filing are: EDWARD X. DESOUSA, ROBERT J. I further certify, the names of all persons authorized to execute documents filed with this

The names of all persons authorized to act with respect to real property listed in the most

recent filing are: EDWARD X. DESOUSA



Secretary of the Commonwealth

on the date first above written.

Ι μανε hereunto affixed the

In restimony of which,

Great Seal of the Commonwealth

Processed By:KMT

RiverRun Gardens LLC Diversity Plan Pursuant to 935 CMR 500.101(3)(c)(7)(k)

Diversity Goals

Promotion of diversity is one of RiverRun Gardens core values because we recognize the unique contributions and perspectives that people from diverse backgrounds bring to an organization. In developing and nurturing a diverse and inclusive community, we respect and engage across cultural barriers in order to foster meaningful dialogue, and participation, by people from diverse backgrounds. We face and respond thoughtfully to difficult questions. We seek to build bridges and establish relationships. Individually and collectively we critically examine and challenge our biases, assumptions, institutional structures, and worldviews. We understand and seek to mitigate inequities and grow—intellectually and personally—through meaningful diversity experiences.

RiverRun Gardens diversity efforts broaden and deepen our personal and intellectual horizons, preparing all of us to make thoughtful, responsible contributions as individuals, community members and professionals in a diverse, globally integrated world. An essential component of RiverRun Gardens commitment to excellence is our commitment to fostering an inclusive, diverse workplace. Understanding of diversity is broad-based, emphasizing the identity and experiences of groups that have been historically under-represented in higher education, and encompassing age, class, culture, (dis)ability, ethnicity, gender identity, gender expression, immigration status, national origin, race, religion and spirituality, sex and sexual identity, among others. We affirm that diverse experiences are a benefit to our company from an operational performance standpoint and to help make us better citizens of the industry and the Commonwealth.

Goals

By operation of this Diversity Plan, RiverRun Garden seeks to accomplish the following goals of promoting equity in the cannabis industry and within our company:

- 1. Increase the number of individuals employed by RiverRun that are from the following groups: a. Minorities; b. Women; c. Veterans; d. People with disabilities; and e. People of all gender identities and sexual orientations. We seek to achieve at least 25% of our staffing needs being filled by people from those identified demographic groups. Our target shall be looked at the following way: 5% women, 15% Veteran and 5% LGBTQ.
- 2. Providing access and assistance to individuals falling into the above-listed demographics to achieve their goal of entering the adult-use marijuana industry by offering educational assistance to members of the community in Newburyport and surrounding areas looking to gain the requisite skills to enter the cultivation sector of the cannabis industry. RiverRun will seek to offer a paid apprenticeship program for members of the enumerated groups in order to afford them the opportunity to obtain relevant experience in cannabis cultivation, business operations, and regulatory compliance. RiverRun is seeking entry into the cannabis industry to make a difference and we see no better opportunity than offering hands-on training to members of groups that have been historically marginalized, both in the context of cannabis prohibition and by society at large. We aim to staff 25% of our apprentices from members of the demographic categories identified herein. Our target shall be looked at the following way: 5% women 15% Veteran and 5% LGBTO

women, 15% Veteran and 5% LGBTQ.

Programs

In order to effectuate the diversity plan goals enumerated above, RiverRun Gardens shall undertake the following programmatic actions:

1. Within the confines of 935 CMR 500.105(4), we will advertise job opportunities once per quarter, including apprenticeship opportunities, on job board websites, including, but not limited to: www.WorkplaceDiversity.com;www.Diversity.com; and www.pdnrecruits.com. We will make our hiring decisions based on skills and aptitudes and will give additional weight to the candidacy of any job or apprenticeship applicant that fall within the demographic categories listed in this diversity plan.

2. Hold annual recruitment events individually or participating in diversity-focused job fairs in order to attract and hire individuals falling into the above-listed demographic categories.

3. RiverRun Gardens will seek to continue our friendly working relationships with the following cannabis industry diversity-focused networking groups to broaden the scope of the potential employee pool: Elevate Northeast and Cannabis Community Care & Research Network. We feel these organizations help to undue the harms caused by the war on cannabis and a combined workplan will better provide better workforce for RiverRun Gardens and best the potential that Elevate and C3RN provide.

It should be mentioned that as a micro-business, RiverRun Gardens will look to hire when we have the capital to pay a decent living wage. Due to this requirement, it is difficult to define when and how often RiverRun Gardens will be looking to expand its workforce.

Measurements

RiverRun Gardens' stated diversity employment goal of 25% of our staffing needs will be monitored semi-annually by tabulating the personnel records for our full staffing needs and performing the requisite mathematical calculations to determine progress toward achieving that first goal of employing members of the identified demographic categories. We will closely monitor our staffing percentage levels and will employ revised strategies if the programs laid out herein fail to produce our desired diversity plan goals.

Our 50% apprenticeship staffing from the enumerated demographic categories will similarly be measured by reference to our internal personnel records. If we fall short of that 50% goal, we will reevaluate the programs utilized to identify and hire our apprentices in order to achieve that goal.

RiverRun Gardens' Commitment to Diversity

This Diversity Plan will be reviewed on an annual basis and will be amended according to any deficiencies in achieving our diversity goals. We are excited and honored to have the opportunity to promote diversity within our company and in the cannabis industry as a whole. We are committed to meeting or exceeding each and every goal enumerated herein.

RiverRun Gardens will examine its workforce numbers each quarter to ensure we are operating at efficiency.

RIVERRUN GARDENS LLC POLICIES AND PROCEDURES FOR MAINTAINING FINANCIAL RECORDS PURSUANT TO 935 CMR 500.101(3)(C)(7)

In his capacity as Managing Partner, Edward X. DeSousa shall be responsible for maintaining RiverRun Garden LLC's (the "Company" or "RiverRun Gardens") financial records, hard copies of which shall be maintained on Company premises in locked fireproof file cabinets accessible only to the Managing Partners and other authorized personnel to be housed within a Limited Access Area within the facility. Electronic files shall be backed up daily on an internal server and/or a cloud based storage system with enterprise grade encryption protections. All financial records shall be maintained in accordance with Generally Accepted Accounting Principles ("GAAP") and will be maintained via Intuit QuickBooks software.

The Company shall ensure that all business records are maintained in such a manner as to ensure accuracy and transparency and will clearly reflect all financial transactions and the financial condition of the Company. Business records will not be accessible to non-management employees. All business and financial records shall be kept for a minimum of seven (7) years.

The Company will allow the Commission to examine Company's records and will deliver or otherwise make available Company financial records to authorized agents of the Commission upon request.

Business records shall include manual or computerized records of:

- Assets and liabilities;
- Monetary transactions, including daily reconciliation of cash-on-hand;
- Books of Accounts, which shall include journals, ledgers and supporting documents, including agreements, checks, invoices and vouchers;
- Sales records including the quantity, form and the cost of the product;
- Salary and wages paid to each employee, stipend paid to each board member, and any executive compensation, bonus, benefit, or item of value paid to any affiliated individual, including members of the nonprofit corporation, if any;
- Contracts for services performed or received;
- Purchase invoices, bills of lading, manifests, sales records, copies of bills of sale, and any supporting documents, including items and/or services purchased, from whom items were purchased, and date of purchase;
- Bank statements and canceled checks for all accounts; and
- Accounting and tax records related to the Company, and records of any theft, loss, diversion or other unaccountability.

Susan Rubin is an external accountant that is contracted with the Company to serve as bookkeeper and verify work done by Mr. DeSousa. RiverRun Gardens will also utilize the services of James L. Hickey, CPA PC, on a contract basis, for quarterly accounting for tax purposes.

No other employee or individual will be allowed to view, modify, or make any financial decisions without prior permission from either Edward M. or Edward X. DeSousa. RiverRun Gardens, will comply with all request at the municipal, state or federal level to view records.

RIVERRUN GARDENS LLC

Personnel Policies

RiverRun Gardens LLC ("RiverRun") has drafted and instituted these personnel policies to provide equal opportunity in all areas of employment, including hiring, recruitment, training and development, promotions, transfers, layoff, termination, compensation, benefits, social and recreational programs, and all other conditions and privileges of employment, in accordance with applicable federal, state, and local laws. RiverRun shall make reasonable accommodations for qualified individuals with demonstrated physical or cognitive disabilities, in accordance with all applicable laws. In accordance with 935 CMR 500.101(2)(e)(8)(h), RiverRun is providing these personnel policies, including background check policies, for its adult-use Microbusiness Marijuana Establishment that will be located in the City of Newburyport, MA.

Management is primarily responsible for seeing that equal employment opportunity policies are implemented, but all members of the staff share the responsibility for ensuring that, by their personal actions, the policies are effective and apply uniformly to everyone. Any employee, including managers, that RiverRun determines to be involved in discriminatory practices are subject to disciplinary action and may be terminated. RiverRun strives to maintain a work environment that is free from discrimination, intimidation, hostility, or other offenses that might interfere with work performance. In keeping with this desire, we will not tolerate any unlawful harassment of employees by anyone, including any manager, co-worker, vendor or clients.

In accordance with 935 CMR 500.105 (1), General Operational Requirements for Marijuana Establishments, Written Operating Procedures, as a Marijuana Establishment, RiverRun has and follows a set of detailed written operating procedures for each location. RiverRun has developed and will follow a set of such operating procedures for each facility. RiverRun's operating procedures shall include, but are not necessarily limited to the following:

(a) Security measures in compliance with 935 CMR 500.110;

(b) Employee security policies, including personal safety and crime prevention techniques;

(c) A description of the Marijuana Establishment's hours of operation and after-hours contact

information, which shall be provided to the Commission, made available to law enforcement officials upon request, and updated pursuant to 935 CMR 500.000.

(d) Storage of marijuana in compliance with 935 CMR 500.105(11);

(e) Description of the various strains of marijuana to be cultivated, processed or sold, as applicable, and the form(s) in which marijuana will be sold;

(f) Procedures to ensure accurate record-keeping, including inventory protocols in compliance with 935 CMR 500.105(8) and (9);

(g) Plans for quality control, including product testing for contaminants in compliance with 935 CMR 500.160;

(h) A staffing plan and staffing records in compliance with 935 CMR 500.105(9);

(i) Emergency procedures, including a disaster plan with procedures to be followed in case of fire or

other emergencies;

(j) Alcohol, smoke, and drug-free workplace policies;

(k) A plan describing how confidential information will be maintained;

(1) A policy for the immediate dismissal of any marijuana establishment agent who has:

1. Diverted marijuana, which shall be reported to law enforcement officials and to the Commission;

2. Engaged in unsafe practices with regard to operation of the Marijuana Establishment, which shall be reported to the Commission; or

3. Been convicted or entered a guilty plea, plea of *nolo contendere*, or admission to sufficient facts of a felony drug offense involving distribution to a minor in the Commonwealth, or a like violation of the

laws of another state, the United States or a foreign jurisdiction, or a military, territorial, or Native American tribal authority.

(m) A list of all board members and executives of a Marijuana Establishment, and members, if any, of the licensee must be made available upon request by any individual. 935 CMR 500.105(1)(m)

requirement may be fulfilled by placing this information on the Marijuana Establishment's website. (n) Policies and procedures for the handling of cash on Marijuana Establishment premises including but not limited to storage, collection frequency, and transport to financial institution(s).

(o) Policies and procedures to prevent the diversion of marijuana to individuals younger than 21 years old.

(p) Policies and procedures for energy efficiency and conservation that shall include:

1. Identification of potential energy use reduction opportunities (including but not limited to natural lighting, heat recovery ventilation and energy efficiency measures), and a plan for implementation of such opportunities;

2. Consideration of opportunities for renewable energy generation, including, where applicable, submission of building plans showing where energy generators could be placed on the site, and an explanation of why the identified opportunities were not pursued, if applicable;

3. Strategies to reduce electric demand (such as lighting schedules, active load management and energy storage); and

4. Engagement with energy efficiency programs offered pursuant to M.G.L. c. 25, § 21, or through municipal lighting plants.

In accordance with 935 CMR 500.105(2), all of RiverRun's current owners, managers and employees that are involved in the handling and sale of marijuana will successfully complete Responsible Vendor Training Program, and once designated a "responsible vendor" require all new employees involved in handling and sale of marijuana to complete this program within 90 days of hire. This program shall then be completed annually and those not selling or handling marijuana may participate voluntarily. RiverRun shall maintain records of responsible vendor training compliance, pursuant to 935 CMR 500.105(2)(b). Responsible vendor training shall include: discussion concerning marijuana effect on the human body; diversion prevention; compliance with tracking requirements; identifying acceptable forms of ID, including medical patient cards; and key state and local laws.

All employees of RiverRun will be duly registered as marijuana establishment agents and have to complete a background check in accordance with 935 CMR 500.030(1). All marijuana establishment agents will complete a training course administered by RiverRun and complete a Responsible Vendor Program in compliance with 935 CMR 500.105(2)(b). Employees will be required to receive a minimum of eight hours of on-going training annually pursuant to 935 CMR 500.105(2)(a). In accordance with 935 CMR 500.105 (9), General Operational Requirements for Marijuana Establishments, Record Keeping, RiverRun's personnel records will be available for inspection by the Commission, upon request. RiverRun's records shall be maintained in accordance with generally accepted accounting principles. Written records that are required and are subject to inspection include, but are not necessarily limited to, all records required in any section of 935 CMR 500.000, in addition to the following:

(d) The following RiverRun personnel records:

1. Job descriptions for each employee and volunteer position, as well as organizational charts consistent with the job descriptions;

2. A personnel record for each of RiverRun's marijuana establishment agents. Such records shall be maintained for at least 12 months after termination of the individual's affiliation with RiverRun and shall include, at a minimum, the following:

a. all materials submitted to the Commission pursuant to 935 CMR 500.030(2);

b. documentation of verification of references;

c. the job description or employment contract that includes duties, authority, responsibilities, qualifications, and supervision

d. documentation of all required training, including training regarding privacy and confidentiality requirements, and the signed statement of the individual indicating the date, time, and place he or she received said training and the topics discussed, including the name and title of presenters;

e. documentation of periodic performance evaluations;

f. a record of any disciplinary action taken; and

g. notice of completed responsible vendor and eight-hour related duty training.

3. A staffing plan that will demonstrate accessible business hours and safe cultivation conditions;

4. Personnel policies and procedures; and

5. All background check reports obtained in accordance with 935 CMR 500.030.

(g) Following closure of a Marijuana Establishment, all records must be kept for at least two years at the expense of the Marijuana Establishment and in a form and location acceptable to the Commission. RiverRun understands that in the event that RiverRun were to close, all records will be kept for at least two years at the expense of RiverRun.

RIVERRUN GARDENS LLC Qualifications and Training

RiverRun LLC ("RiverRun") shall, pursuant to 935 CMR 500.105(2)(a), ensure that all Microbusiness marijuana establishment agents complete training prior to preforming job functions. Training will be tailored to the role and responsibilities of the job function. Dispensary agents will be trained for one week before acting as a dispensary agent. At a minimum, staff shall receive eight hours of on-going training annually. New Microbusiness marijuana establishment agents will receive employee orientation prior to beginning work with RiverRun. Each department managed will provide orientation for dispensary agents assigned to their department. Orientation will include a summary overview of all the training modules.

In accordance with 935 CMR 500.105(2), all current owners, managers and employees of RiverRun that are involved in the handling and sale of marijuana will successfully complete Responsible Vendor Training Program, and once designated a "responsible vendor" require all new employees involved in handling and sale of marijuana to complete this program within 90 days of hire. This program shall then be completed annually and those not selling or handling marijuana may participate voluntarily. RiverRun shall maintain records of responsible vendor training compliance, pursuant to 935 CMR 500.105(2)(b). Responsible vendor training shall include: discussion concerning marijuana's effects on the human body; diversion prevention; compliance with seed-to-sale tracking requirements; identifying acceptable forms of ID demonstrating the age of majority (21+); and key state and local laws.

All of RiverRun's employees will be registered as Microbusiness marijuana establishment agents, in accordance with 935 CMR 500.030. All RiverRun employees will be duly registered as Microbusiness marijuana establishment agents and have to complete a background check in accordance with 935 CMR 500.030(1). All registered agents of RiverRun shall meet suitability standards of 935 CMR 500.800.

Training will be recorded and retained in the Microbusiness marijuana establishment agents' files. RiverRun shall retain all training records for at least one year after a Microbusiness marijuana establishment agents' termination. Microbusiness marijuana establishment agents will have continuous quality training and a minimum of 8 hours annual on-going training.

RIVERRUN GARDENS LLC Procedures for Quality Control and Testing of Product

Pursuant to 935 CMR 500.160, RiverRun Gardens LLC ("RiverRun") will not sell or market any marijuana product that is not capable of being tested by licensed Independent Testing Laboratories. RiverRun shall implement a written policy for responding to laboratory results that indicate contaminant levels that are above acceptable levels established in DPH protocols identified in 935 CMR 500.160(1) and subsequent notification to the Commission of such results. Results of any tests will be maintained by RiverRun for at least one year. All transportation of marijuana to or from testing facilities shall comply with 935 CMR 500.105(13) and any marijuana product returned to RiverRun by the testing facility will be disposed of in accordance with 935 CMR 500.105(12). RiverRun shall never sell or market adult use marijuana products that have not first been tested by an Independent Testing Laboratory and deemed to comply with the standards required under 935 CMR 500.160.

RiverRun's policies include requirements for handling of marijuana, pursuant to 935 CMR 500.105(3), including sanitary measures that include, but are not limited to: hand washing stations; sufficient space for storage of materials; removal of waste; clean floors, walls and ceilings; sanitary building fixtures; sufficient water supply and plumbing; and storage facilities that prevent contamination.

Pursuant to 935 CMR 500.105(11)(a)-(e), RiverRun shall provide adequate lighting, ventilation, temperature, humidity, space and equipment, in accordance with applicable provisions of 935 CMR 500.105 and 500.110. RiverRun will have a separate area for storage of marijuana that is outdated, damaged, deteriorated, mislabeled, or contaminated, or whose containers or packaging have been opened or breached, unless such products are destroyed. RiverRun storage areas will be kept in a clean and orderly condition, free from infestations by insects, rodents, birds and any other type of pest. The RiverRun storage areas will be maintained in accordance with the security requirements of 935 CMR 500.110.

All testing results will be maintained by RiverRun for no less than one year in accordance with 935 CMR 500.160(3).

Pursuant to 935 CMR 500.160(9), no marijuana product shall be sold or marketed for sale that has not first been tested and deemed to comply with the Independent Testing Laboratory standards.

RIVERRUN GARDENS LLC

Record Keeping Procedures

RiverRun Gardens LLC's ("RiverRun") records shall be available to the Cannabis Control Commission ("CCC") upon request pursuant to 935 CMR 500.105(9). RiverRun shall maintain records in accordance with generally accepted accounting principles. All written records required in any section of 935 CMR 500.000 are subject to inspection, in addition to written operating procedures as required by 935 CMR 500.105(1), inventory records as required by 935 CMR 500.105(8) and seed-to-sale tracking records for all marijuana products are required by 935 CMR 500.105(8)(e).

Personnel records will also be maintained, in accordance with 935 CMR 500.105(9)(d), including but not limited to, job descriptions for each employee, organizational charts, staffing plans, personnel policies and procedures and background checks obtained in accordance with 935 CMR 500.030. Personnel records will be maintained for at least 12 months after termination of the individual's affiliation with RiverRun, in accordance with 935 CMR 500.105(9)(d)(2). Additionally, business will be maintained in accordance with 935 CMR 500.105 as well as waste disposal records.

Following the closure of the Marijuana Establishment, all records will be kept for at least two years at RiverRun's sole expense and in a form and location acceptable to the Commission, pursuant to 935 CMR 500.105(9)(g).

Pursuant to 935 CMR 500.030(1)(a), all employees of RiverRun Gardens, LLC will have reached age 21 or over prior to registration as a marijuana establishment agent. In addition, and pursuant to 935 CMR 500.110(1)(a), under no circumstances will anyone under the age of 21 be allowed to enter our facility or accompany a transportation vehicle during delivery of product.

All visitors to the operating facility will be asked to present either state of federal identification, showing they are over the age of 21, with said protocol intended to positively identify any individuals seeking access to the facility. Should any visitor be under the age of 21, they will not be granted access under any circumstances.

A log of all visitors will be recorded and stored for a minimum of seven (7) years.

RiverRun Gardens only works with other licensed entities in Massachusetts which must obey the same age restriction rules.



December 6, 2019 Re: Donation Acceptance Letter from RiverRun Gardens LLC

Dear Cannabis Control Commission,

It is with great pleasure that we accept a partnership and \$5,000 annual donation from RiverRun Gardens LLC to open for adult use cannabis operations in Massachusetts. Payments for the Positive Impact Plan to C3RN will be effected upon obtaining a provisional license for operation.

Cannabis Community Care and Research Network (C3RN) is a registered public benefit corporation (B Corp) based out of Worcester, MA. C3RN hosts a network of dedicated academics, industry, healthcare providers, consumers and patients that aim to end the stigma around medical and adult use cannabis through research and education. C3RN runs multiple research studies related to the adult and population use of cannabis in Massachusetts with UMass Dartmouth. C3RN is the Principal Investigator of ongoing studies focused on medical patients, consumers, and veterans. C3RN and Holyoke Community College host a Cannabis Education Center workforce and business opportunities for those who have been disadvantaged by the drug war, are unemployed or under employed. Learn more here: www.cannacenterofexcellence.org and www.cannabiseducationcenter.org

C3RN Positive Impact Plan Activities

RiverRun Gardens LLC annual donation will be used to support two scholarships for students to a certificate workforce training and internship program offered through the Cannabis Education Center at Holyoke Community College and C3RN. Scholarships will be given to students who have been disproportionately impacted by the drug war, and/or other criteria identified by the CCC as eligible for social equity program. Certificates of completion can be provided to RiverRun Gardens LLC, the CCC, and learners.

We look forward to working with RiverRun Gardens LLC ! Thank you for your support.

Sincerely,

Marion McNabb, MPH, DrPH CEO Cannabis Community Care and Research Network (C3RN) marion@c3researchnetwork.com

RIVERRUN GARDENS LLC

POSITIVE IMPACT PLAN

Goals: Provide financial support to Cannabis Community Care and Research Network because they are an entity that offers support, education and/or job training to Massachusetts residents disproportionately impacted by the War on Drugs. The amounts of these donations will depend on the financial growth and profitability of the company. As sales and profits increase, RiverRun Gardens LLC ("RiverRun") will revisit its program donation goals to consider more generous donations as business allows.

Goal: Donate a total of \$5,000.00 annually to the organization as more particularly described below.

Program: The donation to be made to the following organization is intended to benefit its ability to develop skills for Economic Empowerment Priority Applicants and Social Equity Training Program participants through mentoring, educational and informational events with cannabis industry networking opportunities, and to provide financial support to allow them to continue educating adult-use cannabis consumers in Massachusetts:

1. Cannabis Community Care and Research Network (\$5,000.00 annual donation)

Measurement and Accountability: At the end of each year, RiverRun will conduct an analysis and create a report on the amounts and percentages of donations and other financial support that the company has given to the programs outlined above. RiverRun will continue to assess the viability and impact of financial donations made, and annually review donation goals amounts.

RiverRun acknowledges and is aware, and will adhere to, the requirements set forth in 935 CMR 500.105(4) which provides the permitted and prohibited advertising, branding, marketing, and sponsorship practices of every Marijuana Establishment.

Any actions taken, or programs instituted by RiverRun, will not violate the Commission's regulations with respect to limitations on ownership or control or other applicable state laws.

RiverRun expressly understands that the progress or success of this plan will be required to be demonstrated upon each annual license renewal period in conformity with 935 CMR 500.101(1) and (2).