



# Massachusetts Cannabis Control Commission

## Marijuana Retailer

### General Information:

License Number: MR285245  
Original Issued Date: 07/28/2025  
Issued Date: 07/28/2025  
Expiration Date: 07/28/2026

## ABOUT THE MARIJUANA ESTABLISHMENT

Business Legal Name: Responsible and Compliant Southwick LLC

Phone Number: 831-332-2620      Email Address: southwick@myhavenstores.com  
Business Address 1: 681 college hwy      Business Address 2:  
Business City: Southwick      Business State: MA      Business Zip Code: 01077  
Mailing Address 1: 681 college hwy      Mailing Address 2:  
Mailing City: Southwick      Mailing State: MA      Mailing Zip Code: 01077

## CERTIFIED DISADVANTAGED BUSINESS ENTERPRISES (DBES)

Certified Disadvantaged Business Enterprises (DBEs): Not a DBE

## PRIORITY APPLICANT

Priority Applicant: no  
Priority Applicant Type: Not a Priority Applicant  
Economic Empowerment Applicant Certification Number:  
RMD Priority Certification Number:

## RMD INFORMATION

Name of RMD:  
Department of Public Health RMD Registration Number:  
Operational and Registration Status:  
To your knowledge, is the existing RMD certificate of registration in good standing?:  
If no, describe the circumstances below:

## PERSONS WITH DIRECT OR INDIRECT AUTHORITY

### Person with Direct or Indirect Authority 1

Percentage Of Ownership: 51      Percentage Of Control: 100  
Role: Owner / Partner      Other Role:  
First Name: Mark      Last Name: Dupuis      Suffix:

Gender: Male User Defined Gender:  
What is this person's race or ethnicity?: White (German, Irish, English, Italian, Polish, French)  
Specify Race or Ethnicity:

Person with Direct or Indirect Authority 2

Percentage Of Ownership: 10 Percentage Of Control:  
Role: Owner / Partner Other Role:  
First Name: Brian Last Name: kuchachik Suffix:  
Gender: Male User Defined Gender:  
What is this person's race or ethnicity?: White (German, Irish, English, Italian, Polish, French)  
Specify Race or Ethnicity:

Person with Direct or Indirect Authority 3

Percentage Of Ownership: 20 Percentage Of Control:  
Role: Owner / Partner Other Role:  
First Name: Michael Last Name: Simonian Suffix:  
Gender: Male User Defined Gender:  
What is this person's race or ethnicity?: Decline to Answer  
Specify Race or Ethnicity:

Person with Direct or Indirect Authority 4

Percentage Of Ownership: 19 Percentage Of Control:  
Role: Owner / Partner Other Role:  
First Name: Mark Last Name: Simonian Suffix:  
Gender: Male User Defined Gender:  
What is this person's race or ethnicity?: Decline to Answer  
Specify Race or Ethnicity:

ENTITIES WITH DIRECT OR INDIRECT AUTHORITY

No records found

CLOSE ASSOCIATES AND MEMBERS

No records found

CAPITAL RESOURCES - INDIVIDUALS

No records found

CAPITAL RESOURCES - ENTITIES

Entity Contributing Capital 1

Entity Legal Name: Haven #7 LLC Entity DBA: Haven  
Email: Phone:  
greg.n@nextgenenterprises.biz 562-889-5777  
Address 1: 1 WEST MORTON AVE. Address 2:  
City: PORTERVILLE State: CA Zip Code: 93257  
Types of Capital: Debt Other Type of Capital: Total Value of Capital Provided: Percentage of Initial Capital:  
\$100336.56 16.5  
Capital Attestation: Yes

Entity Contributing Capital 2

<b>Entity Legal Name:</b> The Airport Collective Inc		<b>Entity DBA:</b>	
<b>Email:</b> greg.n@nextgenenterprises.biz	<b>Phone:</b> 562-889-5777		
<b>Address 1:</b> 2801 E. ARTESIA BLVD. UNIT # A & C		<b>Address 2:</b>	
<b>City:</b> LONG BEACH	<b>State:</b> CA	<b>Zip Code:</b> 90805	
<b>Types of Capital:</b> Debt	<b>Other Type of Capital:</b>	<b>Total Value of Capital Provided:</b> \$93828.41	<b>Percentage of Initial Capital:</b> 15.28
<b>Capital Attestation:</b> Yes			

**Entity Contributing Capital 3**

<b>Entity Legal Name:</b> Haven #1 LLC		<b>Entity DBA:</b> Haven	
<b>Email:</b> greg.n@nextgenenterprises.biz	<b>Phone:</b> 562-889-5777		
<b>Address 1:</b> 1704 E BELMONT AVE		<b>Address 2:</b>	
<b>City:</b> FRESNO	<b>State:</b> CA	<b>Zip Code:</b> 93701	
<b>Types of Capital:</b> Debt	<b>Other Type of Capital:</b>	<b>Total Value of Capital Provided:</b> \$74631.87	<b>Percentage of Initial Capital:</b> 12
<b>Capital Attestation:</b> Yes			

**Entity Contributing Capital 4**

<b>Entity Legal Name:</b> Maywood Green Solutions, LLC		<b>Entity DBA:</b>	
<b>Email:</b> greg.n@nextgenenterprises.biz	<b>Phone:</b> 562-889-5777		
<b>Address 1:</b> 3951 E. SLAUSON AVE.		<b>Address 2:</b>	
<b>City:</b> MAYWOOD	<b>State:</b> CA	<b>Zip Code:</b> 90270	
<b>Types of Capital:</b> Debt	<b>Other Type of Capital:</b>	<b>Total Value of Capital Provided:</b> \$72656.22	<b>Percentage of Initial Capital:</b> 11.94
<b>Capital Attestation:</b> Yes			

**Entity Contributing Capital 5**

<b>Entity Legal Name:</b> Pure San Bernardino, LLC		<b>Entity DBA:</b>	
<b>Email:</b> greg.n@nextgenenterprises.biz	<b>Phone:</b> 562-889-5777		
<b>Address 1:</b> 164 W. REDLANDS BLVD		<b>Address 2:</b>	
<b>City:</b> SAN BERNARDINO	<b>State:</b> CA	<b>Zip Code:</b> 92408	
<b>Types of Capital:</b> Debt	<b>Other Type of Capital:</b>	<b>Total Value of Capital Provided:</b> \$88000	<b>Percentage of Initial Capital:</b> 14.32
<b>Capital Attestation:</b> Yes			

**Entity Contributing Capital 6**

<b>Entity Legal Name:</b> Next Gen Enterprises LLC		<b>Entity DBA:</b>	
<b>Email:</b> greg.n@nextgenenterprises.biz	<b>Phone:</b> 562-889-5777		
<b>Address 1:</b> 1590 ADAMS AVE. UNIT 2737		<b>Address 2:</b>	
<b>City:</b> COSTA MESA	<b>State:</b> CA	<b>Zip Code:</b> 92628	
<b>Types of Capital:</b> Debt	<b>Other Type of Capital:</b>	<b>Total Value of Capital Provided:</b> \$61311.99	<b>Percentage of Initial Capital:</b> 10

Capital Attestation: Yes

**BUSINESS INTERESTS IN OTHER STATES OR COUNTRIES**

Business Interest in Other State 1

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark  
Owner Last Name: Simonian  
Owner Suffix:  
Entity Legal Name: Clb Collective, Inc  
Entity DBA:  
Entity Description: Retail sales  
Entity Phone: 562-889-5777  
Entity Email: greg.n@nextgenenterprises.biz  
Entity Website:  
Entity Address 1: 404 REDONDO AVE.  
Entity Address 2:  
Entity City: LONG BEACH  
Entity State: CA  
Entity Zip Code: 90814  
Entity Country: Usa  
Entity Mailing Address 1: 500 WESTOVER DR #17722  
Entity Mailing Address 2:  
Entity Mailing City: SANFORD  
Entity Mailing State: NC  
Entity Mailing Zip Code: 27330  
Entity Mailing Country: USA

Business Interest in Other State 2

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark  
Owner Last Name: Simonian  
Owner Suffix:  
Entity Legal Name: 5LBS  
Entity DBA:  
Entity Description: Cultivation  
Entity Phone: 562-889-5777  
Entity Email: greg.n@nextgenenterprises.biz  
Entity Website:  
Entity Address 1: 851 49TH AVE  
Entity Address 2:  
Entity City: OAKLAND  
Entity State: CA  
Entity Zip Code: 94601  
Entity Country: USA  
Entity Mailing Address 1: 500 WESTOVER DR #17722  
Entity Mailing Address 2:  
Entity Mailing City: SANFORD  
Entity Mailing State: NC  
Entity Mailing Zip Code: 27330  
Entity Mailing Country: USA

Business Interest in Other State 3

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark  
Owner Last Name: Simonian  
Owner Suffix:  
Entity Legal Name: Higher Logic LLC  
Entity DBA:  
Entity Description: Manufacturing type N CDPH-100004885  
Entity Phone: 562-889-5777  
Entity Email: greg.n@nextgenenterprises.biz  
Entity Website:  
Entity Address 1: 3560 CADILLAC AVE  
Entity Address 2:  
Entity City: COSTA MESA  
Entity State: CA  
Entity Zip Code: 92626  
Entity Country: Usa  
Entity Mailing Address 1: 28151 WESTFIELD DRIVE  
Entity Mailing Address 2:  
Entity Mailing City: LAGUNA NIGUEL  
Entity Mailing State: CA  
Entity Mailing Zip Code: 92677  
Entity Mailing Country: USA

Business Interest in Other State 4

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Pure San Bernardino, LLC  
**Entity Description:** Retail  
**Entity Phone:** 562-889-5777  
**Entity Email:** greg.n@nextgenenterprises.biz  
**Entity Website:**

**Entity Address 1:** 164 W. REDLANDS BLVD  
**Entity Address 2:**

**Entity City:** SAN BERNARDINO  
**Entity State:** CA  
**Entity Zip Code:** 92408  
**Entity Country:** USA

**Entity Mailing Address 1:** 4470 W. SUNSET BLVD. #90091  
**Entity Mailing Address 2:**

**Entity Mailing City:** LOS ANGELES  
**Entity Mailing State:** CA  
**Entity Mailing Zip Code:** 90027  
**Entity Mailing Country:** Usa

**Business Interest in Other State 5**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Maywood Green Solutions LLC  
**Entity Description:** Retail  
**Entity Phone:** 562-889-5777  
**Entity Email:** greg.n@nextgenenterprises.biz  
**Entity Website:**

**Entity Address 1:** 3951 E. SLAUSON AVE.  
**Entity Address 2:**

**Entity City:** MAYWOOD  
**Entity State:** CA  
**Entity Zip Code:** 90270  
**Entity Country:** USA

**Entity Mailing Address 1:** 500 WESTOVER DR. #17722  
**Entity Mailing Address 2:**

**Entity Mailing City:** SANFORD  
**Entity Mailing State:** NC  
**Entity Mailing Zip Code:** 27330  
**Entity Mailing Country:** USA

**Business Interest in Other State 6**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** HAVEN #7, LLC  
**Entity Description:** Retail  
**Entity Phone:** 562-889-5777  
**Entity Email:** greg.n@nextgenenterprises.biz  
**Entity Website:**

**Entity Address 1:** 1 WEST MORTON AVE.  
**Entity Address 2:**

**Entity City:** PORTERVILLE  
**Entity State:** CA  
**Entity Zip Code:** 93257  
**Entity Country:** USA

**Entity Mailing Address 1:** 500 WESTOVER DR #17722  
**Entity Mailing Address 2:**

**Entity Mailing City:** SANFORD  
**Entity Mailing State:** NC  
**Entity Mailing Zip Code:** 27330  
**Entity Mailing Country:** USA

**Business Interest in Other State 7**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Haven #5 LLC  
**Entity Description:** Retail  
**Entity DBA:**

Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz Entity Website:  
Entity Address 1: 8260 MONROE AVE. Entity Address 2:  
Entity City: STANTON Entity State: CA Entity Zip Code: 90680 Entity Country: USA  
Entity Mailing Address 1: 500 WESTOVER DR #17722 Entity Mailing Address 2:  
Entity Mailing City: SANFORD Entity Mailing State: NC Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 8

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark Owner Last Name: Simonian Owner Suffix:  
Entity Legal Name: 4th Street Collective, Inc. Entity DBA:  
Entity Description: Retail  
Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz Entity Website:  
Entity Address 1: 1248 LONG BEACH BLVD. Entity Address 2:  
Entity City: LONG BEACH Entity State: CA Entity Zip Code: 90813 Entity Country: USA  
Entity Mailing Address 1: 500 WESTOVER DR #17722 Entity Mailing Address 2:  
Entity Mailing City: SANFORD Entity Mailing State: NC Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 9

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark Owner Last Name: Simonian Owner Suffix:  
Entity Legal Name: The Airport Collective, Inc. Entity DBA:  
Entity Description: Retail  
Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz Entity Website:  
Entity Address 1: 2801 E. ARTESIA BLVD. UNIT # A & C Entity Address 2:  
Entity City: LONG BEACH Entity State: CA Entity Zip Code: 90805 Entity Country: USA  
Entity Mailing Address 1: 500 WESTOVER DR #17722 Entity Mailing Address 2:  
Entity Mailing City: SANFORD Entity Mailing State: NC Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 10

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark Owner Last Name: Simonian Owner Suffix:  
Entity Legal Name: Haven #8, LLC Entity DBA:  
Entity Description: Retail  
Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz Entity Website:  
Entity Address 1: 220 SOUTH A STREET Entity Address 2:  
Entity City: OXNARD Entity State: CA Entity Zip Code: 93030 Entity Country: USA

Entity Mailing Address 1: 500 WESTOVER DR #17722

Entity Mailing City: SANFORD Entity Mailing State: NC

Entity Mailing Address 2:

Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 11

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark Owner Last Name: Simonian

Owner Suffix:

Entity Legal Name: Haven #1 llc

Entity DBA:

Entity Description: Retail

Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz

Entity Website:

Entity Address 1: 1704 E BELMONT AVE

Entity Address 2:

Entity City: FRESNO Entity State: CA

Entity Zip Code: 93701 Entity Country: USA

Entity Mailing Address 1: 500 WESTOVER DR #17722

Entity Mailing Address 2:

Entity Mailing City: SANFORD Entity Mailing State: NC

Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 12

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark Owner Last Name: Simonian

Owner Suffix:

Entity Legal Name: CLB COLLECTIVE, INC.

Entity DBA:

Entity Description: Retail

Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz

Entity Website:

Entity Address 1: 404 REDONDO AVE

Entity Address 2:

Entity City: LONG BEACH Entity State: CA

Entity Zip Code: 90814 Entity Country: Usa

Entity Mailing Address 1: 500 WESTOVER DR #17722

Entity Mailing Address 2:

Entity Mailing City: SANFORD Entity Mailing State: NC

Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 13

Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner

Owner First Name: Michael & Mark Owner Last Name: Simonian

Owner Suffix:

Entity Legal Name: 2338 Anaheim Industries LLC

Entity DBA:

Entity Description: Cannabis distribution

Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz

Entity Website:

Entity Address 1: 5440 DEL AMO BLVD

Entity Address 2:

Entity City: LONG BEACH Entity State: CA

Entity Zip Code: 90808 Entity Country: USA

Entity Mailing Address 1: 500 WESTOVER DR #17722

Entity Mailing Address 2:

Entity Mailing City: SANFORD Entity Mailing State: NC

Entity Mailing Zip Code: 27330 Entity Mailing Country: USA

Business Interest in Other State 14

**Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner**

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Rlb Collective,Inc  
**Entity DBA:**

**Entity Description:** retail dispensary

**Entity Phone:** 562-889-5777  
**Entity Email:** greg.n@nextgenenterprises.biz  
**Entity Website:**

**Entity Address 1:** 3401 NORWALK BLVD  
**Entity Address 2:**

**Entity City:** LONG BEACH  
**Entity State:** CA  
**Entity Zip Code:** 90808  
**Entity Country:** usa

**Entity Mailing Address 1:** 500 WESTOVER DR #17722  
**Entity Mailing Address 2:**

**Entity Mailing City:** sanford  
**Entity Mailing State:** NC  
**Entity Mailing Zip Code:** 27330  
**Entity Mailing Country:** usa

**Business Interest in Other State 15**

**Business Interest of an Owner or the Marijuana Establishment: Business Interest of the Marijuana Establishment**

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Nlb Collective Inc  
**Entity DBA:**

**Entity Description:** retail dispensary c10-0000747-LIC ( SOLD TRANSFERRED LICENSE)

**Entity Phone:** 562-889-5777  
**Entity Email:** greg.n@nextgenenterprises.biz  
**Entity Website:**

**Entity Address 1:** 5440 DEL AMO BLVD SUITE A  
**Entity Address 2:**

**Entity City:** long beach  
**Entity State:** CA  
**Entity Zip Code:** 90808  
**Entity Country:** usa

**Entity Mailing Address 1:** 500 WESTOVER DR #17722  
**Entity Mailing Address 2:**

**Entity Mailing City:** sanford  
**Entity Mailing State:** NC  
**Entity Mailing Zip Code:** 27330  
**Entity Mailing Country:** usa

**Business Interest in Other State 16**

**Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner**

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Nlb Collective Inc  
**Entity DBA:** haven

**Entity Description:** retail dispensary C10-0001133-LIC

**Entity Phone:** 562-889-5777  
**Entity Email:** greg.n@nextgenenterprises.biz  
**Entity Website:**

**Entity Address 1:** 5440 DEL AMO BLVD SUITE A  
**Entity Address 2:**

**Entity City:** long beach  
**Entity State:** CA  
**Entity Zip Code:** 90808  
**Entity Country:** usa

**Entity Mailing Address 1:** 500 WESTOVER DR #17722  
**Entity Mailing Address 2:**

**Entity Mailing City:** sanford  
**Entity Mailing State:** NC  
**Entity Mailing Zip Code:** 27330  
**Entity Mailing Country:** usa

**Business Interest in Other State 17**

**Business Interest of an Owner or the Marijuana Establishment: Business Interest of an Owner**

**Owner First Name:** Michael & Mark  
**Owner Last Name:** Simonian  
**Owner Suffix:**

**Entity Legal Name:** Higher Logic LLC  
**Entity DBA:**

**Entity Description:** Commercial Distributor C11-0001806-LIC

**Entity Phone:** 562-889-5777

**Entity Email:**  
greg.n@nextgenenterprises.biz

**Entity Website:**

**Entity Address 1:** 3560 CADILLAC AVE

**Entity Address 2:**

**Entity City:** COSTA MESA

**Entity State:** CA

**Entity Zip Code:** 92626

**Entity Country:** usa

**Entity Mailing Address 1:** 28151 WESTFIELD DRIVE

**Entity Mailing Address 2:**

**Entity Mailing City:** LAGUNA  
NIGUEL

**Entity Mailing State:** CA

**Entity Mailing Zip Code:**  
92677

**Entity Mailing Country:**  
usa

**Business Interest in Other State 18**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner

**Owner First Name:** Michael &  
Mark

**Owner Last Name:** Simonian

**Owner Suffix:**

**Entity Legal Name:** Higher Logic LLC

**Entity DBA:**

**Entity Description:** Manufacturer - Type N CDPH-10004885

**Entity Phone:** 562-889-5777

**Entity Email:**  
greg.n@nextgenenterprises.biz

**Entity Website:**

**Entity Address 1:** 3560 CADILLAC AVE COSTA MESA, CA 92626

**Entity Address 2:**

**Entity City:** costa mesa

**Entity State:** CA

**Entity Zip Code:** 92626

**Entity Country:** usa

**Entity Mailing Address 1:** 28151 WESTFIELD DRIVE

**Entity Mailing Address 2:**

**Entity Mailing City:** LAGUNA  
NIGUEL

**Entity Mailing State:** CA

**Entity Mailing Zip Code:**  
92677

**Entity Mailing Country:** usa

**Business Interest in Other State 19**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner

**Owner First Name:** Michael &  
Mark

**Owner Last Name:** Simonian

**Owner Suffix:**

**Entity Legal Name:** Haven XX LLC

**Entity DBA:**

**Entity Description:** Commercial Retailer (CLOSED SURRENDERED)

**Entity Phone:** 562-889-5777

**Entity Email:**  
greg.n@nextgenenterprises.biz

**Entity Website:**

**Entity Address 1:** 447 WEST BAY STREET

**Entity Address 2:**

**Entity City:** costa mesa

**Entity State:** CA

**Entity Zip Code:** 92627

**Entity Country:** usa

**Entity Mailing Address 1:** 18012 COWAN, SUITE 200

**Entity Mailing Address 2:**

**Entity Mailing City:** Irvine

**Entity Mailing State:** CA

**Entity Mailing Zip Code:**  
92614

**Entity Mailing Country:**  
usa

**Business Interest in Other State 20**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner

**Owner First Name:** Mark &  
Michael

**Owner Last Name:** Simonian

**Owner Suffix:**

**Entity Legal Name:** The Garry Five LLC

**Entity DBA:**

**Entity Description:** Commercial Distributor (CLOSED SURRENDERED)

**Entity Phone:** 562-889-5777

**Entity Email:**  
greg.n@nextgenenterprises.biz

**Entity Website:**

**Entity Address 1:** 3601 W GARRY AVE SANTA ANA, CA 92704

**Entity Address 2:**

Entity City: santa ana Entity State: CA Entity Zip Code: 92704 Entity Country: USA  
 Entity Mailing Address 1: 3601 W GARRY AVE Entity Mailing Address 2:  
 Entity Mailing City: santa ana Entity Mailing State: CA Entity Mailing Zip Code: 92704 Entity Mailing Country: usa

**Business Interest in Other State 21**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner  
 Owner First Name: Michael & Mark Owner Last Name: Simonian Owner Suffix:  
 Entity Legal Name: 2130 South Yale Distro LLC Entity DBA:  
 Entity Description: Commercial Distributor C11-0001776-LIC ( SOLD TRANSFERRED LICENSE)  
 Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz Entity Website:  
 Entity Address 1: 600 W SANTA ANA BLVD, STE 114A #3044 Entity Address 2:  
 Entity City: santa ana Entity State: CA Entity Zip Code: 92701 Entity Country: usa  
 Entity Mailing Address 1: 600 W SANTA ANA BLVD, STE 114A #3044 Entity Mailing Address 2:  
 Entity Mailing City: santa ana Entity Mailing State: CA Entity Mailing Zip Code: 92701 Entity Mailing Country: usa

**Business Interest in Other State 22**

**Business Interest of an Owner or the Marijuana Establishment:** Business Interest of an Owner  
 Owner First Name: Michael & Mark Owner Last Name: Simonian Owner Suffix:  
 Entity Legal Name: 2130 South Yale Distro LLC Entity DBA:  
 Entity Description: Manufacturer -Type N CDPH-1004896 ( SOLD TRANSFERRED LICENSE)  
 Entity Phone: 562-889-5777 Entity Email: greg.n@nextgenenterprises.biz Entity Website:  
 Entity Address 1: 600 W SANTA ANA BLVD, STE 114A #3044 Entity Address 2:  
 Entity City: santa ana Entity State: CA Entity Zip Code: 92701 Entity Country: usa  
 Entity Mailing Address 1: 600 W SANTA ANA BLVD, STE 114A #3044 Entity Mailing Address 2:  
 Entity Mailing City: santa ana Entity Mailing State: CA Entity Mailing Zip Code: 92701 Entity Mailing Country: usa

**DISCLOSURE OF INDIVIDUAL INTERESTS**

No records found

**MARIJUANA ESTABLISHMENT PROPERTY DETAILS**

Establishment Address 1: 681 college hwy  
 Establishment Address 2:  
 Establishment City: Southwick Establishment Zip Code: 01077  
 Approximate square footage of the establishment: 8000 How many abutters does this property have?: 3  
 Have all property abutters been notified of the intent to open a Marijuana Establishment at this address?: Yes

**HOST COMMUNITY INFORMATION**

Host Community Documentation:

Document Category	Document Name	Type	ID	Upload Date
Executed HCA	Hca .pdf	pdf	677ed5059671eda534b0bc30	01/08/2025

Community Outreach Meeting Documentation	04.09.20_Form_COM_Attestation (1).pdf	pdf	67b13b932cd2ec2282a2967c	02/15/2025
Community Outreach Meeting Documentation	Outreach documentation . .pdf	pdf	67d1a0bc541e85345e289139	03/12/2025
Plan to Remain Compliant with Local Zoning	Plan to remain compliant with local zoning .pdf	pdf	67d35c8e541e85345e2aa5cc	03/13/2025

Total amount of financial benefits accruing to the municipality as a result of the host community agreement. If the total amount is zero, please enter zero and provide documentation explaining this number.: \$

**POSITIVE IMPACT PLAN**

Positive Impact Plan:

Document Category	Document Name	Type	ID	Upload Date
Plan for Positive Impact	Plan for Positive Impact on Areas of Disproportionate Impact (revised).pdf	pdf	67dd76eb3b8f2e45c6da7d09	03/21/2025

**ADDITIONAL INFORMATION NOTIFICATION**

Notification:

**INDIVIDUAL BACKGROUND INFORMATION**

Individual Background Information 1

Role: Owner / Partner      Other Role:

First Name: Mark      Last Name: Dupuis      Suffix:

RMD Association: Not associated with an RMD

Background Question: yes

Individual Background Information 2

Role: Owner / Partner      Other Role:

First Name: Brian      Last Name: Kuckachik      Suffix:

RMD Association: RMD Staff

Background Question: no

Individual Background Information 3

Role: Owner / Partner      Other Role:

First Name: Michael      Last Name: Simonian      Suffix:

RMD Association: Not associated with an RMD

Background Question: no

Individual Background Information 4

Role: Owner / Partner      Other Role:

First Name: Mark      Last Name: Simonian      Suffix:

RMD Association: Not associated with an RMD

Background Question: no

**ENTITY BACKGROUND CHECK INFORMATION**

No records found

## MASSACHUSETTS BUSINESS REGISTRATION

### Required Business Documentation:

Document Category	Document Name	Type	ID	Upload Date
Articles of Organization	Articles of Organization.pdf	pdf	67b025202cd2ec2282a25ced	02/15/2025
Bylaws	Responsible and Compliant Southwick Corporate Bylaws 2.pdf	pdf	67b2465d2cd2ec2282a2c9dc	02/16/2025
Department of Revenue - Certificate of Good standing	IMG_0659.jpeg	jpeg	67b4d9c42cd2ec2282a4b571	02/18/2025
DUA attestation if no employees	Department of Unemployment Assistance Statement.pdf	pdf	67d3629fdc92c5a698fc8c81	03/13/2025
Secretary of Commonwealth - Certificate of Good Standing	IMG_0856.jpeg	jpeg	67d363bc541e85345e2aa924	03/13/2025
DUA attestation if no employees	Attestation DUA .pdf	pdf	67dcb06f85fc04b7dcde7865	03/20/2025

No documents uploaded

Massachusetts Business Identification Number: 001801255

Doing-Business-As Name: Haven

DBA Registration City: Southwick

## BUSINESS PLAN

### Business Plan Documentation:

Document Category	Document Name	Type	ID	Upload Date
Plan for Liability Insurance	Certificate of Liability insurance .pdf	pdf	67b025d22cd2ec2282a25d40	02/15/2025
Business Plan	Business plan .pdf	pdf	67b0f2462cd2ec2282a283d5	02/15/2025
Operating Agreement or Articles of Incorporation	Articles of Incorporation .pdf	pdf	67b0f3ed2cd2ec2282a2859c	02/15/2025
Operating Agreement or Articles of Incorporation	operating agreement.pdf	pdf	67b235feac5cea389e81ee8e	02/16/2025
Business Plan	BUSINESS PLAN FUTURE APPPLICATION FOR MEDICAL MARIJUANA TREATMENT CENTER.pdf	pdf	67d3641b541e85345e2aa974	03/13/2025
Capitalization Table	Capitalization table .pdf	pdf	67d4cd6edc92c5a698fd8d93	03/14/2025
Proposed Timeline	Proposed timeline.pdf	pdf	67d4d0a7541e85345e2ba016	03/14/2025

## OPERATING POLICIES AND PROCEDURES

### Policies and Procedures Documentation:

Document Category	Document Name	Type	ID	Upload Date
Prevention of diversion	Diversion .pdf	pdf	67b16f39ac5cea389e81c98c	02/15/2025
Storage of marijuana	Storage.pdf	pdf	67b16f68ac5cea389e81c9c7	02/15/2025
Inventory procedures	Inventory.pdf	pdf	67b16f7aac5cea389e81c9db	02/15/2025
Record Keeping procedures	Record keeping .pdf	pdf	67b16f89ac5cea389e81c9ef	02/15/2025
Energy Compliance Plan	Energy Compliance Plan.pdf	pdf	67b17462ac5cea389e81cadf	02/16/2025

Personnel policies including background checks	Personnel policies including background checks.pdf	pdf	67b176bbac5cea389e81cb42	02/16/2025
Restricting Access to age 21 and older	Plan to Restrict Access to 21 Years of Age and Older Dispensing procedures.pdf	pdf	67b219672cd2ec2282a2bb33	02/16/2025
Qualifications and training	Qualifications and Training.pdf	pdf	67b22107ac5cea389e81e38c	02/16/2025
Maintaining of financial records	Maintaining of Financial Records.pdf	pdf	67b22116ac5cea389e81e3a3	02/16/2025
Quality control and testing	Quality Control and Testing Procedures.pdf	pdf	67b2212dac5cea389e81e3ba	02/16/2025
Transportation of marijuana	Transportation Plan.pdf	pdf	67d1d83edc92c5a698fb07a3	03/12/2025
Dispensing procedures	dispensing procedures.pdf	pdf	67d1de1a541e85345e294167	03/12/2025
Plan for obtaining marijuana or marijuana products	Plan for obtaining marijuana & marijuana products.pdf	pdf	67d48f3a541e85345e2b80f6	03/14/2025
Security plan	Security plan.pdf	pdf	67d5c8fc541e85345e2bd803	03/15/2025
Diversity plan	Diversity plan updated.pdf	pdf	67dcaf6f3b8f2e45c6da4713	03/20/2025

### MARIJUANA RETAILER SPECIFIC REQUIREMENTS

No documents uploaded

No documents uploaded

### ATTESTATIONS

I certify that no additional entities or individuals meeting the requirement set forth in 935 CMR 500.101(1)(b)(1) or 935 CMR 500.101(2)(c)(1) have been omitted by the applicant from any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.: I Agree

I understand that the regulations stated above require an applicant for licensure to list all executives, managers, persons or entities having direct or indirect authority over the management, policies, security operations or cultivation operations of the Marijuana Establishment; close associates and members of the applicant, if any; and a list of all persons or entities contributing 10% or more of the initial capital to operate the Marijuana Establishment including capital that is in the form of land or buildings.: I Agree

I certify that any entities who are required to be listed by the regulations above do not include any omitted individuals, who by themselves, would be required to be listed individually in any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.: I Agree

Notification:

I certify that any changes in ownership or control, location, or name will be made pursuant to a separate process, as required under 935 CMR 500.104(1), and none of those changes have occurred in this application.:

I certify that to the best knowledge of any of the individuals listed within this application, there are no background events that have arisen since the issuance of the establishment's final license that would raise suitability issues in accordance with 935 CMR 500.801.:

I certify that all information contained within this renewal application is complete and true.:

### ADDITIONAL INFORMATION NOTIFICATION

Notification:

### COMPLIANCE WITH POSITIVE IMPACT PLAN - PRE FEBRUARY 27, 2024

No records found

### COMPLIANCE WITH DIVERSITY PLAN

No records found

## HOURS OF OPERATION

<b>Monday From: 9:00 AM</b>	<b>Monday To: 10:00 PM</b>
<b>Tuesday From: 9:00 AM</b>	<b>Tuesday To: 10:00 PM</b>
<b>Wednesday From: 9:00 AM</b>	<b>Wednesday To: 10:00 PM</b>
<b>Thursday From: 9:00 AM</b>	<b>Thursday To: 10:00 PM</b>
<b>Friday From: 9:00 AM</b>	<b>Friday To: 10:00 PM</b>
<b>Saturday From: 9:00 AM</b>	<b>Saturday To: 10:00 PM</b>
<b>Sunday From: 9:00 AM</b>	<b>Sunday To: 10:00 PM</b>

# Community Outreach Meeting Attestation Form

## Instructions

Community Outreach Meeting(s) are a requirement of the application to become a Marijuana Establishment (ME) and Medical Marijuana Treatment Center (MTC). 935 CMR 500.101(1), 500.101(2), 501.101(1), and 501.101(2). The applicant must complete each section of this form and attach all required documents as a single PDF document before uploading it into the application. If your application is for a license that will be located at more than one (1) location, and in different municipalities, applicants must complete two (2) attestation forms – one for each municipality. Failure to complete a section will result in the application not being deemed complete. Please note that submission of information that is “misleading, incorrect, false, or fraudulent” is grounds for denial of an application for a license pursuant to 935 CMR 500.400(2) and 501.400(2).

## Attestation

I, the below indicated authorized representative of that the applicant, attest that the applicant has complied with the Community Outreach Meeting requirements of 935 CMR 500.101 and/or 935 CMR 501.101 as outlined below:

1. The Community Outreach Meeting was held on the following date(s):
2. At least one (1) meeting was held within the municipality where the ME is proposed to be located.
3. At least one (1) meeting was held after normal business hours (this requirement can be satisfied along with requirement #2 if the meeting was held within the municipality and after normal business hours).



4. A copy of the community outreach notice containing the time, place, and subject matter of the meeting, including the proposed address of the ME or MTC was published in a newspaper of general circulation in the municipality at least 14 calendar days prior to the meeting. A copy of this publication notice is labeled and attached as “Attachment A.”

a. Date of publication: 1/14/25

b. Name of publication: the reminder

5. A copy of the community outreach notice containing the time, place, and subject matter of the meeting, including the proposed address of the ME or MTC was filed with clerk of the municipality. A copy of this filed notice is labeled and attached as “Attachment B.”

a. Date notice filed: 1/10/25

6. A copy of the community outreach notice containing the time, place, and subject matter of the meeting, including the proposed address of the ME or MTC was mailed at least seven (7) calendar days prior to the community outreach meeting to abutters of the proposed address, and residents within 300 feet of the property line of the applicant’s proposed location as they appear on the most recent applicable tax list, notwithstanding that the land of the abutter or resident is located in another municipality. A copy of this mailed notice is labeled and attached as “Attachment C.” Please redact the name of any abutter or resident in this notice.

a. Date notice(s) mailed: 1/11/25

7. The applicant presented information at the Community Outreach Meeting, which at a minimum included the following:

- a. The type(s) of ME or MTC to be located at the proposed address;
- b. Information adequate to demonstrate that the location will be maintained securely;
- c. Steps to be taken by the ME or MTC to prevent diversion to minors;
- d. A plan by the ME or MTC to positively impact the community; and
- e. Information adequate to demonstrate that the location will not constitute a nuisance as defined by law.

8. Community members were permitted to ask questions and receive answers from representatives of the ME or MTC.



Name of applicant:

Responsible and Compliant Southwick LLC

Name of applicant's authorized representative:

Mark Steven Dupuis

Signature of applicant's authorized representative:

*Mark S Dupuis*



**Responsible and Compliant Southwick LLC (Haven)**  
**Notice of Community Outreach Meeting**

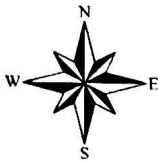
SOUTHWICK TOWN CLERK  
RCVD 2025 JAN 10 AM 10:4

Notice is hereby given that Responsible and Compliant Southwick LLC ( Haven) will Host a Community Outreach Meeting for a proposed Marijuana Establishment is scheduled for 1/31/25 at 7:00pm at 681 College Hwy,Southwick, MA 01077 United States. The proposed Medical Marijuana Treatment Center & Marijuana Retailer is anticipated to be located at 681 College Hwy Southwick, MA 01077 United States. There will be an opportunity for the public to ask questions.

Topics to be discussed at the meeting will include, but not be limited to:

1. Type of Marijuana Establishment to be located at 681 College Hwy,Southwick, MA 01077
2. Information to demonstrate the security of the facility
3. Steps to be taken by marijuana establishment to prevent diversion to minors
4. Plans by Responsible and Compliant Southwick LLC (Haven) to positively impact the community
5. Information to demonstrate the location will not constitute a nuisance
6. Southwick residents ask questions and receive answers

Interested members of the Southwick community are encouraged to ask questions and receive answers from Responsible and Compliant Southwick LLC (Haven) representatives about the proposed facility and operations.



# 681 College Highway

Town of Southwick, MA

1 inch = 140 Feet



CAI Technologies  
Precision Mapping. Geospatial Solutions.

www.cai-tech.com

January 8, 2025



Data shown on this map is provided for planning and informational purposes only. The municipality and CAI Technologies are not responsible for any use for other purposes or misuse or misrepresentation of this map.



# 300 feet Abutters List Report

Southwick, MA  
January 08, 2025

A TRUE COPY  
ATTEST  
BOARD OF ASSESSORS  
SOUTHWICK, MA

### Subject Property:

Parcel Number: 051-006-000  
CAMA Number: 051-006-000-000-0000  
Property Address: 691 COLLEGE HIGHWAY

Mailing Address: HILLSIDE DEVELOPMENT CORP  
P.O. BOX 189  
FEEDING HILLS, MA 01030

### Abutters:

Parcel Number: 040-011-000  
CAMA Number: 040-011-000-000-0000  
Property Address: 700 COLLEGE HIGHWAY

Mailing Address: [REDACTED]  
ONE WHALLEY WAY  
SOUTHWICK, MA 01077

Parcel Number: 051-004-000  
CAMA Number: 051-004-000-000-0000  
Property Address: 673 COLLEGE HIGHWAY

Mailing Address: [REDACTED]  
5401 VIRGINIA WAY  
BRENTWOOD, TN 37027

Parcel Number: 051-005-001  
CAMA Number: 051-005-001-000-0000  
Property Address: 681 R COLLEGE HIGHWAY

Mailing Address: [REDACTED]  
38 FOSTER RD  
SOUTHWICK, MA 01077

Parcel Number: 051-009-000  
CAMA Number: 051-009-000-000-0000  
Property Address: 688 COLLEGE HIGHWAY

Mailing Address: [REDACTED]  
ONE WHALLEY WAY  
SOUTHWICK, MA 01077

Parcel Number: 051-010-000  
CAMA Number: 051-010-000-000-0000  
Property Address: 686 COLLEGE HIGHWAY

Mailing Address: [REDACTED]  
ONE WHALLEY WAY  
SOUTHWICK, MA 01077



www.cai-tech.com

Data shown on this report is provided for planning and informational purposes only. The municipality and CAI Technologies are not responsible for any use for other purposes or misuse or misrepresentation of this report.

[REDACTED]  
38 FOSTER RD  
SOUTHWICK, MA 01077

[REDACTED]  
5401 VIRGINIA WAY  
BRENTWOOD, TN 37027

[REDACTED]  
ONE WHALLEY WAY  
SOUTHWICK, MA 01077

A exact-copy of the meeting  
 Notice filed with clerk  
 Was mailed to all abutters



PORTSMOUTH  
 95 CHASE RD  
 PORTSMOUTH, RI 02871-9998  
 (800)275-8777

01/11/2025 12:57 PM

Product	Qty	Unit Price	Price
---------	-----	------------	-------

First-Class Mail® Letter	1		\$0.73
--------------------------	---	--	--------

Southwick, MA 01077  
 Weight: 0 lb 0.60 oz  
 Estimated Delivery Date  
 Mon 01/13/2025

Certified Mail® \$4.85

Tracking #: 9589 0710 5270 1046 2596 40

Return Receipt \$4.10

Tracking #: 9590 9402 8707 3310 7554 24

Total \$9.68

First-Class Mail® Letter	1		\$0.73
--------------------------	---	--	--------

Brentwood, TN 37027  
 Weight: 0 lb 0.60 oz  
 Estimated Delivery Date  
 Wed 01/15/2025

Certified Mail® \$4.85

Tracking #: 9589 0710 5270 1046 2596 64

Return Receipt \$4.10

Tracking #: 9590 9402 8707 3310 7553 87

Total \$9.68

First-Class Mail® Letter	1		\$0.73
--------------------------	---	--	--------

Southwick, MA 01077  
 Weight: 0 lb 0.60 oz  
 Estimated Delivery Date  
 Mon 01/13/2025

Certified Mail® \$4.85

Tracking #: 9589 0710 5270 1046 2596 71

Return Receipt \$4.10

Tracking #: 9590 9402 8707 3310 7553 94

Total \$9.68

Grand Total: \$29.04

Cash \$40.00  
 Change -\$10.96

Text your tracking number to 28777 (2USPS) to get the latest status. Standard Message and Data rates may apply. You may also visit [www.usps.com](http://www.usps.com) USPS Tracking or call 1-800-222-1811.

Preview your Mail  
 Track your Packages  
 Sign up for FREE 0

**U.S. Postal Service™ CERTIFIED MAIL® RECEIPT**  
 Domestic Mail Only

For delivery information, visit our website at [www.usps.com](http://www.usps.com)

Southwick, MA 01077

Certified Mail Fee \$4.85

Extra Services & Fees (check box, add fee as appropriate):  
 Return Receipt (hardcopy) \$1.00  
 Return Receipt (electronic) \$1.00  
 Certified Mail Restricted Delivery \$0.00  
 Adult Signature Required \$0.00  
 Adult Signature Restricted Delivery \$0.00

Postage \$0.73

Total Postage and Fees \$9.68

Sent to [Redacted]

Street and Apt. No., or PO Box No. 38 Foster RD  
 City, State, ZIP+4® Southwick, MA 01077

PS Form 3800, January 2023 PSN 7530-02-000-9047 See Reverse for Instructions

0871 28  
 PORTSMOUTH, RI 02871-9922  
 JAN 11 2025  
 01/11/2025

**U.S. Postal Service™ CERTIFIED MAIL® RECEIPT**  
 Domestic Mail Only

For delivery information, visit our website at [www.usps.com](http://www.usps.com)

Southwick, MA 01077

Certified Mail Fee \$4.85

Extra Services & Fees (check box, add fee as appropriate):  
 Return Receipt (hardcopy) \$1.00  
 Return Receipt (electronic) \$1.00  
 Certified Mail Restricted Delivery \$1.00  
 Adult Signature Required \$0.00  
 Adult Signature Restricted Delivery \$0.00

Postage \$0.73

Total Postage and Fees \$9.68

Sent to [Redacted]

Street and Apt. No., or PO Box No. 5401 Virginia Way  
 City, State, ZIP+4® Brentwood, TN 37027

PS Form 3800, January 2023 PSN 7530-02-000-9047 See Reverse for Instructions

0871 28  
 PORTSMOUTH, RI 02871-9922  
 JAN 11 2025  
 01/11/2025

**U.S. Postal Service™ CERTIFIED MAIL® RECEIPT**  
 Domestic Mail Only

For delivery information, visit our website at [www.usps.com](http://www.usps.com)

Southwick, MA 01077

Certified Mail Fee \$4.85

Extra Services & Fees (check box, add fee as appropriate):  
 Return Receipt (hardcopy) \$1.00  
 Return Receipt (electronic) \$1.00  
 Certified Mail Restricted Delivery \$0.00  
 Adult Signature Required \$0.00  
 Adult Signature Restricted Delivery \$0.00

Postage \$0.73

Total Postage and Fees \$9.68

Sent to [Redacted]

Street and Apt. No., or PO Box No. One Whalley Way  
 City, State, ZIP+4® Southwick, MA 01077

PS Form 3800, January 2023 PSN 7530-02-000-9047 See Reverse for Instructions

0871 28  
 PORTSMOUTH, RI 02871-9922  
 JAN 11 2025  
 01/11/2025

(January 14, 2025)

**Responsible and Compliant Southwick LLC**  
**(Haven)**  
**Notice of Community Outreach Meeting**

Notice is hereby given that Responsible and Compliant Southwick LLC (Haven) will Host a Community Outreach Meeting for a proposed Marijuana Establishment is scheduled for **1/31/25 at 7:00pm** at 681 College Hwy, Southwick, MA 01077 United States. The proposed Medical Marijuana Treatment Center & Marijuana Retailer is anticipated to be located at 681 College Hwy Southwick, MA 01077 United States. There will be an opportunity for the public to ask questions.

**Topics to be discussed at the meeting will include, but not be limited to:**

1. Type of Marijuana Establishment to be located at 681 College Hwy, Southwick, MA 01077
2. Information to demonstrate the security of the facility
3. Steps to be taken by marijuana establishment to prevent diversion to minors
4. Plans by Responsible and Compliant Southwick LLC (Haven) to positively impact the community
5. Information to demonstrate the location will not constitute a nuisance
6. Southwick residents ask questions and receive answers

Interested members of the Southwick community are encouraged to ask questions and receive answers from Responsible and Compliant Southwick LLC (Haven) representatives about the proposed facility and operations.

## Questions

Q: Whats the normal or typical customer flow per day. Are there any days that are more busy then other?

A: As someone who doesn't own an open dispensary in MA I'm not positive on numbers. From some owners I've spoken to some see 100-200 per day and others see 800-1200 per day. I don't see this store doing more than 400-500 per day. Thursday, Friday, Saturday are typically busier days. Monday, Tuesday, Wed. Are typically slower.

Q: so whoever comes comes? I'm interested in the impact on the intersection.

A: I do not seeing the flow being any different from the Family Dollar that was in the building prior to us renting.

Q: will you be having a call ahead order system for pickups?

A: yes we will be having that. Preorder online and pickup.

Q: how quickly will you be opening

A: as you know the CCC works at their discretion, we are hoping to open as soon as possible. There is no exact answer for this, I would say 6 months minimum.

Q: do you have an agreement with the town yet

A: Yes we already have a host community agreement.

Q: so it's just the planning board and CCC?

A: yes

## **Plan to Remain Compliant with Local Zoning**

zoning for the location is by right and will allow for a retail cannabis establishment. All plans will be submitted for the renovation of the existing building by a licensed Architect and Engineer. Haven will apply for a special permit immediately upon receiving their provisional A special permit will be approved by the southwick select board for a Retail Cannabis Establishment. Special permits in southwick are valid for a period not exceeding 2 years and will be renewed accordingly.

The city planner will review the proposed renovation drawings and has approved with comments to be incorporated into the plans. The City Engineer has will review the proposed renovation drawings and has approved with comments to be incorporated into the plans. A building permit application will be submitted to the Building Department for approval. The Building Department, Fire Department, Health Department, City Engineer, Planning Department, Electrical Inspector, and Plumbing Inspector will inspect the final renovation of the existing building before a Certificate of Occupancy will be granted.

**The town of southwick will NOT allow for a special permit application until a provisional license has been issued by the CCC.**

----- Forwarded message -----

**From:** Jon Goddard <[jgoddard@southwickma.gov](mailto:jgoddard@southwickma.gov)>

**Date:** Tue, Aug 13, 2024, 12:26 PM

**Subject:** Marijuana Retailer - Planning Board Interaction

**To:** <[bkuchachik1@gmail.com](mailto:bkuchachik1@gmail.com)>

Good afternoon, Brian,

**Congratulations to you and your team on achieving Select Board approval as one of the two MJ retailers to be sited in town. I quickly reviewed the December bylaw and see that Planning Board applications should be submitted after the HCA has been approved, after getting a provisional license for the facility from the state, and after having completed the community outreach meeting.**

[https://www.southwickma.org/sites/g/files/vyhlif1241/f/uploads/2023.12.05\\_doings.pdf](https://www.southwickma.org/sites/g/files/vyhlif1241/f/uploads/2023.12.05_doings.pdf)

# Plan for Positive Impact on Areas of Disproportionate Impact

Responsible and Compliant Southwick LLC (“Haven”) is dedicated to serving and supporting areas of disproportionate impact, which the Cannabis Control Commission has identified as the following:

1. Past or present residents of the geographic “areas of disproportionate impact,” which have been defined by the Commission and identified in its Guidance for Identifying Areas of Disproportionate Impact;
2. Commission-designated Economic Empowerment Priority applicants;
3. Commission-designated Social Equity Program participants;
4. Massachusetts residents who have past drug convictions; and
5. Massachusetts residents with parents or spouses who have drug convictions are classified as areas of disproportionate impact.

To support such populations, Haven has created the following Plan to Positively Impact Areas of Disproportionate Impact (the “Plan”) and has identified and created numerous goals and priorities.

## Goals

Haven seeks to:

1. Reduce barriers to entry in the commercial adult-use cannabis industry; and
2. Provide business assets (time, organization skills, finances) towards endeavors that will have a positive impact towards the promotion of sustainable, socially, and economically reparative practices in the cannabis industry in Massachusetts.

## Programs

Our commitment is an essential part of the company’s ethos. Specifically, Haven will:

- 1) Identify and employ cannabis industry for individuals most impacted by the War on Drugs, including disproportionate arrest and incarceration as the result of marijuana prohibition.

**Haven’s goal is to hire a minimum 10% of their staff consisting of CCC registered SEA participants and or Economic Empowerment Priority applicants from the areas of Holyoke and West Springfield; and**

## **2) Donate to the MA CCC Social Equity Trust Fund**

These initiatives are further outlined in the plan below.

### **CCC SEA & Economic Empowerment Priority Participant Employees**

Haven will develop a SEA & Economic Empowerment Priority applicant outreach program by reaching out to the CCC for a identifying or referring SEA & Economic Empowerment Priority applicants, holding job fairs, Advertising in the local news paper, indeed.com, and other cannabis business job sites specifically targeting Haven's criteria for employment of SEA & Economic Empowerment Priority applicant participants. This initiative will be managed by the Human Resources Director, and Dispensary Manager.

Haven will initially measure its progress through the successful development of a SEA & Economic Empowerment Priority applicant outreach program. Based on the specifics of that program, **Haven's goal is to hire 10% of their staff from day 1 of sales.** All employees will receive significant training to reduce barriers to entry to the cannabis industry.

If Haven does not meet its goals, it must exceed its above-described hiring goals the following year by the number it missed the year before.

### **MA CCC Social Equity Trust Fund**

Haven's Majority owner Mark S. Dupuis is a registered Social Equity Applicant. Mark and Haven understand the barriers and struggle that exists for SEA's to enter the Legal Cannabis industry. Haven will Donate a minimum of \$5000 annually to the state's Social Equity Trust fund. Starting annually 364 days after Commencing operations and on an annual basis thereafter.

### **Plan Administration**

The Community Engagement Officer will administer Haven's Plan to Positively Impact Areas of Disproportionate Impact ("Plan"). The Community Engagement Officer will be responsible for developing specific initiatives, creating partnerships and achieving measurable outcomes to ensure that Haven meets the Plan's goals. Haven will audit the plan annually upon license renewal and will disclose and track measurement metrics. Metrics tracked will include the following:

1. Number of employees hired as part of Haven's SEA & Economic Empowerment Priority applicant outreach program The applicant will count the number of employees hired as part of this partnership and compare to its goal number of 10%;
2. Funds donated by Haven to the MA CCC Social Equity Trust Fund.

## Disclosures

Haven will adhere to the requirements set forth in 935 CMR 500.105(4) which provides the permitted and prohibited advertising, branding, marketing, and sponsorship practices of every Marijuana Establishment.

Any actions taken, or programs instituted, by Haven will not violate the Commission's regulations with respect to limitations on ownership or control or other applicable state laws.

As identified above, Haven will donate to the MA CCC Social Equity Trust Fund and acknowledges that the MA CCC Social Equity Trust Fund has been contacted and will receive the donation described herein.

# The Commonwealth of Massachusetts, William Francis Galvin Corporations Division

One Ashburton Place - Floor 17, Boston MA 02108-1512 | Phone: 617-727-9640

## Certificate of Organization

(General Laws, Chapter 156C, Section 12)

Filing Fee: \$500.00

Identification Number: 001801255 (number will be assigned)

1. The exact name of the limited liability company is:

RESPONSIBLE AND COMPLIANT SOUTHWICK LLC

2. The address in the Commonwealth where the records will be maintained:

Number and street: 1161 E. MOUNTAIN RD

Address 2:

City or town: WESTFIELD State: MA Zip code: 01085

Country: UNITED STATES

3. The general character of business (if the limited liability company is organized to render professional service, this form must be filed by fax, mail or in person):

THIS WILL BE A LICENSE HOLDER OF LICENSED RETAIL CANNABIS STOREFRONT DISPENSARY IN SOUTHWICK, MA.

4. The latest date of dissolution, if specified: (mm/dd/yyyy)

5. The name and address of the Resident Agent:

Agent name: MARK DUPUIS

Number and street: 1161 E. MOUNTAIN RD

Address 2:

City or town: WESTFIELD State: MA Zip code: 01085

I MARK DUPUIS,

resident agent of the above limited liability company, consent to my appointment as the resident agent of the above limited liability company pursuant to G. L. Chapter 156C Section 12.

6. The name and business address of each manager, if any:

USA

7. The name and business address of the person(s) in addition to the manager(s), authorized to execute documents to be filed with the Corporations Division, and at least one person shall be named if there are no managers.

Title	Name	Address
SOC SIGNATORY	MARK DUPUIS	1161 E. MOUNTAIN RD WESTFIELD, MA 01085 USA

8. The name and business address of the person(s) authorized to execute, acknowledge, deliver and record any recordable instrument purporting to affect an interest in real property:

Title	Name	Address
REAL PROPERTY	MARK SIMONIAN	1161 E. MOUNTAIN RD WESTFIELD, MA 01085 USA

9. Additional matters:

10. This certificate is effective at the time and on the date approved by the Division, unless a later effective date not more than ninety (90) days from the date of filing is specified:

Later Effective Date (mm/dd/yyyy):                      Time (HH:MM)

SIGNED UNDER THE PENALTIES OF PERJURY, this 23 Day of May, 2024,

MARK DUPUIS

, Signature of Authorized Signatory.

# CORPORATE BYLAWS OF RESPONSIBLE AND COMPLIANT SOUTHWICK LLC

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## ARTICLE 1. CORPORATE AUTHORITY

1.1 Incorporation. Responsible and Compliant Southwick LLC (the “Corporation”) is a duly organized corporation authorized to do business in the Commonwealth of Massachusetts by the filing of the Articles of Incorporation on May 23, 2024.

1.2 State Law. The Corporation is organized under the Massachusetts Statutes and except as otherwise provided herein, the Statutes shall apply to the governance of the Corporation. The laws, statutes, regulations and rules to which the Corporation is subject shall be referred to herein as “Applicable Law.”

1.3 Corporate Purpose. The purpose of the Corporation is any and all lawful business. Such lawful business includes but is not limited to: Legal Massachusetts Recreational and Medical Cannabis Businesses

## ARTICLE 2. OFFICES AND RECORDS

2.1 Registered Office and Registered Agent. The principal office and the registered agent of the Corporation shall be as stated in the Articles of Incorporation of the Corporation, as amended from time to time by the Board of Directors and on file in the appropriate public offices of the Commonwealth of Massachusetts as provided by law.

2.2 Other Offices. The Corporation may also have and maintain an office or principal place of business at such place as may be fixed by the Board of Directors of the Corporation (also, the “Board”), and may also have offices at such other places, both within and without the Commonwealth of Massachusetts, as the Board may from time to time determine or the business of the Corporation may require.

2.3 Books, Accounts and Records, and Inspection Rights. The books, accounts, and records of the Corporation, except as may be otherwise required by the laws of the Commonwealth of Massachusetts, may be kept outside of the Commonwealth of Massachusetts, at such place(s) as the Board may from time to time determine. Except as otherwise provided by law, the Board will determine whether, to what extent, and the conditions upon which the books, accounts and records of the Corporation will be open to the inspection of the stockholders of the Corporation.

2.4 Corporate Seal. The Board may, but shall not be required to, adopt a corporate seal. The corporate seal shall consist of a die bearing the name of the Corporation and the inscription,

“Corporate Seal Massachusetts.” Said seal may be used by causing it or a facsimile thereof to be impressed or affixed or reproduced or otherwise.

### **ARTICLE 3. STOCKHOLDERS’ MEETINGS**

3.1 Place of Meetings. Meetings of the stockholders may be held at such place, either within or without the Commonwealth of Massachusetts, as may be determined from time to time by the Board. The Board may, in its sole discretion, determine that the meeting shall not be held at any place, but may instead be held solely by means of remote communication as provided by the Applicable Law.

3.2 Annual Meeting. The annual meeting of the stockholders of the Corporation, for the purpose of election of directors and for such other business as may lawfully come before it, shall be held on such date and at such time as may be designated from time to time by the Board. At an annual meeting of the stockholders, only such business shall be conducted as shall have been properly brought before the meeting.

3.3 Special Meetings.

(a) Special meetings of the stockholders of the Corporation may be called, for any purpose or purposes, by (i) the Chairman of the Board, (ii) the Chief Executive Officer, (iii) the President, (iv) the Board pursuant to a resolution adopted by directors representing a quorum of the Board, or (v) by the holders of shares representing at least fifty (50) percent of the total shares of the Corporation eligible to vote, and shall be held at such place, on such date, and at such time as the Board shall fix.

(b) If a special meeting is properly called by any person or persons other than the Board, the request shall be in writing, specifying the general nature of the business proposed to be transacted, and shall be delivered personally or sent by certified or registered mail, return receipt requested, or by telegraphic or other facsimile transmission to the Chairman of the Board, the Chief Executive Officer, or the Secretary. No business may be transacted at such special meeting otherwise than specified in such notice.

3.4 Notice of Meetings. Whenever shareholders are required or permitted to take any action at a meeting, a written notice (including by email) of the meeting shall be provided to each shareholder of record entitled to vote at or entitled to notice of the meeting, which shall state the place, date, and hour of the meeting, as well as the purpose or purposes for which the meeting is called. Unless otherwise provided by law, written notice of any meeting shall be given not less than ten (10) nor more than sixty (60) days before the date of the meeting to each shareholder entitled to vote at such meeting.

3.5 Quorum. Shareholders may take action on a matter at a meeting only if a quorum exists with respect to that matter. Except as otherwise provided by law, fifty (50) percent of the outstanding shares of the Corporation entitled to vote, represented in person or by proxy, shall constitute a

quorum at a meeting of shareholders. Once a share is represented for a purpose at a meeting (other than solely to object to the holding of the meeting), it is deemed present for quorum purposes for the remainder of the meeting and the shareholders present at a duly organized meeting may continue to transact business until adjournment, notwithstanding the withdrawal of sufficient shareholders to leave less than a quorum. The holders of a majority of the outstanding shares represented at a meeting, whether or not a quorum is present, may adjourn the meeting from time to time.

### 3.6 Voting Rights.

(a) Each shareholder entitled to vote at a meeting of shareholders or to express consent or dissent to corporate action in writing without a meeting may authorize another person or persons to vote for him or her by proxy, but no such proxy shall be voted or acted upon after one (1) year from its date unless the proxy expressly provides for a longer period. A duly executed proxy shall be irrevocable only if it states that it is irrevocable and if, and only as long as, it is coupled with an interest sufficient in law to support an irrevocable power.

(b) If a quorum exists, action on a matter (other than the election of directors) is approved if the votes cast favoring the action exceed the votes cast opposing the action. Directors shall be elected by a plurality of the votes cast by the shares entitled to vote in the election (provided a quorum exists). Unless otherwise provided by law or in the Corporation's Articles of Incorporation, and subject to other provisions of these Bylaws, each shareholder shall be entitled to one (1) vote on each matter, in person or by proxy, for each share of the Corporation's capital stock that has voting power and that is held by such shareholder. Voting need not be by written ballot.

3.7 List of Stockholders. The officer of the Corporation who has charge of the stock ledger of the Corporation shall prepare and make, at least ten (10) days before any meeting of shareholders, a complete list of the shareholders entitled to vote at the meeting, arranged alphabetically, and showing the address of each shareholder and the number of shares held by each shareholder. The list shall be open to the examination of any shareholder for any purpose germane to the meeting, during ordinary business hours, for a period of at least ten (10) days before the meeting, either at a place in the city where the meeting is to be held, which place must be specified in the notice of the meeting, or at a place in the city of the Corporation's registered office in Massachusetts. The list shall also be produced and kept available at the time and place of the meeting, for the entire duration of the meeting, and may be inspected by any shareholder present at the meeting.

### 3.8 Consent in Lieu of a Meeting.

(a) Any action required to be taken or which may be taken at any meeting of shareholders may be taken without a meeting, without prior notice and without a vote, if a consent in writing, setting forth the action so taken, shall be signed by the holders of outstanding shares having not less than the minimum number of votes that would be necessary to take such action at a meeting at which all shareholders entitled to vote were present and voted. The action must be

evidenced by one or more written consents, describing the action taken, signed and dated by the shareholders entitled to take action without a meeting, and delivered to the Corporation at its registered office or to the officer having charge of the Corporation's minute book.

(b) No consent shall be effective to take the corporate action referred to in the consent unless the number of consents required to take action are delivered to the Corporation or to the officer having charge of its minute book within sixty (60) days of the delivery of the earliest-dated consent.

(c) Prompt notice of the taking of the corporate action without a meeting by less than unanimous written consent shall be given to those stockholders who have not consented in writing or by electronic transmission and who, if the action had been taken at a meeting, would have been entitled to notice of the meeting if the record date for such meeting had been the date that written consents signed by a sufficient number of stockholders to take action were delivered to the Corporation as provided in the Applicable Law.

3.9 Conference Call. One or more shareholders may participate in a meeting of shareholders by means of conference telephone, videoconferencing, or similar communications equipment by means of which all persons participating in the meeting can hear each other. Participation in this manner shall constitute presence in person at such meeting.

#### **ARTICLE 4. DIRECTORS**

4.1 Powers. The business and affairs of the Corporation shall be managed by or under the direction of the Board of Directors, which may exercise all such powers of the Corporation and do all lawful acts and things, subject to any limitations set forth in these Bylaws or the Articles of Incorporation for the corporation.

4.2 Number and Term of Office. The number of directors shall be set at one (1). Each director shall be at least eighteen (18) years of age. The directors need not be residents of the state of incorporation. The directors shall be elected by the shareholders at the annual meeting of shareholders by the vote of shareholders holding of record in the aggregate at least a plurality of the shares of stock of the Corporation present in person or by proxy and entitled to vote at the annual meeting of shareholders. Each director shall be elected for a term until his or her successor shall be elected and shall qualify or until his or her earlier resignation or removal.

4.3 Vacancies. Except as otherwise provided by law, any vacancy in the Board of Directors occurring by reason of an increase in the authorized number of directors or by reason of the death, withdrawal, removal, disqualification, inability to act, or resignation of an acting director shall be filled by the majority of directors then in office and notice of a shareholder meeting shall be provided to the shareholders for the purpose of electing a director to permanently fill such vacancy. Any director may resign at any time by giving written notice to the Board or the Secretary.

4.4 Resignation. Any director may resign at any time by delivering his or her notice in writing or by electronic transmission to the Secretary, such resignation to specify whether it will be effective at a particular time, upon receipt by the Secretary or at the pleasure of the Board. If no such specification is made, it shall be deemed effective at the pleasure of the Board.

4.5 Removal. Subject to any limitations imposed by Applicable Law, any director may be removed from office at any time (i) with cause by the affirmative vote of the holders of one hundred (100) percent of the voting power of all then-outstanding shares of capital stock of the Corporation entitled to vote.

4.6 Meetings. Meetings of the Board of Directors may be called by any director or the President on five (5) days' notice to each director, either personally or by telephone, express delivery service, email, or facsimile transmission, and on ten (10) days' notice by mail (effective upon deposit of such notice in the mail). The notice shall specify the purpose of such meeting.

4.7 Quorum and Voting. One hundred (100) percent of the total number of authorized directors shall constitute a quorum for transaction of business. The act of a majority of directors present at any meeting at which a quorum is present shall be the act of the Board of Directors, except as provided by law, the Articles of Incorporation, or these Bylaws. Each director present shall have one vote, irrespective of the number of shares of stock, if any, he or she may hold.

4.8 Action Without a Meeting. Any action required or permitted to be taken at any meeting of the Board of Directors or of any committee thereof may be taken without a meeting of all members of the Board or committee, as the case may be, with the written consent of a quorum of the Directors, such writing or writings to be filed with the minutes or proceedings of the Board or committee.

4.9 Fees and Compensation. Directors shall be entitled to such compensation for their services as may be approved by the Board, including, if so approved, by resolution of the Board, a fixed sum and expenses of attendance, if any, for attendance at each regular or special meeting of the Board and at any meeting of a committee of the Board. Nothing herein contained shall be construed to preclude any director from serving the Corporation in any other capacity as an officer, agent, employee, or otherwise and receiving compensation therefor.

4.10 Conference Call. One or more directors may participate in meetings of the Board or a committee of the Board by any communication, including videoconference, by means of which all participating directors can simultaneously hear each other during the meeting. Participation in this manner shall constitute presence in person at such meeting.

4.11 Committees. The Board of Directors, by resolution, may create one or more committees, each consisting of one or more directors. Each such committee shall serve at the pleasure of the Board. All provisions under the Statutes and these Bylaws relating to meetings, action without meetings, notice, and waiver of notice, quorum, and voting requirements of the Board of Directors shall apply to such committees and their members.

4.12 Organization. At every meeting of the Board, the Chairman of the Board, or, if a Chairman has not been appointed or is absent, the President (if a director) shall preside over the meeting. The Secretary shall act as secretary of the meeting.

## **ARTICLE 5. OFFICERS**

5.1 Officers. The officers of the Corporation shall include the following: (a) the Chief Executive Officer and/or the President; (b) the Secretary and (c) the Treasurer. The Board may assign such additional titles to one or more of the officers as it shall deem appropriate. Any one person may hold any number of offices of the Corporation at any one time unless specifically prohibited therefrom by law. The salaries and other compensation of the officers of the Corporation shall be fixed by or in the manner designated by the Board.

### 5.2 Tenure and Duties of Officers.

(a) Subject to any employment contracts that may be in place, all officers shall hold office at the pleasure of the Board and until their successors shall have been duly elected and qualified, unless sooner removed.

(b) The Chief Executive Officer and/or the President shall have overall responsibility and authority for management and operations of the Corporation, shall preside at all meetings of the Board of Directors and shareholders, and shall ensure that all orders and resolutions of the Board of Directors and shareholders are implemented. The President shall have the authority to create any entity, either as a wholly-owned subsidiary or with owners additional to the Corporation, as the President may deem appropriate to accomplish any legitimate objective of the Corporation. The President shall be an ex-officio member of all committees and shall have the general powers and duties of management and supervision usually vested in the office of president of a corporation.

(c) The Secretary shall attend all meetings of the Board and all meetings of the shareholders and shall act as clerk thereof, and record all the votes of the Corporation and the minutes of all its transactions in a book to be kept for that purpose, and shall perform like duties for all committees of the Board of Directors when required. The Secretary shall give, or cause to be given, notice of all meetings of the shareholders and special meetings of the Board of Directors, and shall perform such other duties as may be prescribed by the Board of Directors or President, and under whose supervision the Secretary shall be. The Secretary shall maintain the records, minutes, and seal of the Corporation and may attest any instruments signed by any other officer of the Corporation.

(d) The Treasurer shall be the chief financial officer of the Corporation, shall have responsibility for the custody of the corporate funds and securities, shall keep full and accurate records and accounts of receipts and disbursements in books belonging to the Corporation, and shall keep the monies of the Corporation in a separate account in the name

of the Corporation. The Treasurer shall provide to the President and directors, at the regular meetings of the Board, or whenever requested by the Board, an account of all financial transactions and of the financial condition of the Corporation.

5.3 Execution of Instruments. All contracts, checks, drafts or demands for money and notes and other instruments or rights of any nature of the Corporation shall be signed by the President and/or such other officer or officers as the Board of Directors may from time to time designate.

## **ARTICLE 6. SHARES OF STOCK**

6.1 Stock Certificates. The shares of the Corporation may but is not required to be, in the discretion of the Board of Directors, represented by certificates. The stock certificates of the Corporation, if any, shall be numbered and registered in the share ledger and transfer books of the Corporation as they are issued. In the absence of certificates, the share ownership in the Corporation shall be registered in the share ledger and transfer books of the Corporation.

6.2 Lost Certificates. A new certificate or certificates may be issued in place of any certificate or certificates theretofore issued by the Corporation alleged to have been lost, stolen, or destroyed, upon the making of an affidavit of that fact by the person claiming the certificate of stock to be lost, stolen, or destroyed.

6.3 Transfer. Transfers of shares shall be made on the books of the Corporation upon surrender and cancellation of the certificates therefore, if any, endorsed by the person named in the certificate or by his or her legal representative. No transfer shall be made which is inconsistent with any provision of law, the Articles of Incorporation for the Corporation, these Bylaws or, if one exists, a Shareholder Agreement or other agreement which restricts transfers of the Corporation's stock.

6.4 Fixing Record Dates. In order that the Corporation may determine the stockholders entitled to notice of or to vote at any meeting of stockholders or any adjournment thereof, the Board may fix, in advance, a record date, which record date shall not precede the date upon which the resolution fixing the record date is adopted by the Board, and which record date shall, subject to Applicable Law, not be more than 60 nor less than 10 days before the date of such meeting. If no record date is fixed by the Board, the record date for determining stockholders entitled to notice of or to vote at a meeting of stockholders shall be at the close of business on the day immediately preceding the day on which notice is given, or if notice is waived, at the close of business on the day immediately preceding the day on which the meeting is held. A determination of stockholders of record entitled to notice of or to vote at a meeting of stockholders shall apply to any adjournment of the meeting; provided, however, that the Board may fix a new record date for the adjourned meeting.

## **ARTICLE 7. DIVIDENDS**

7.1 Declaration of Dividends. Dividends upon the capital stock of the Corporation, subject to the provisions of the Certificate and Applicable Law, if any, may be declared by the Board. Dividends may be paid in cash, in property, or in shares of the capital stock, subject to the provisions of the Certificate and Applicable Law.

7.2 Dividend Reserve. There may be set aside out of any funds of the Corporation available for dividends such sum or sums as the Board from time to time, in their absolute discretion, think proper as a reserve or reserves for any purpose as the Board determines is in the interests of the Corporation.

## **ARTICLE 8. FISCAL YEAR**

8.1 Fiscal Year. The fiscal year of the Corporation shall be the calendar year.

## **ARTICLE 9. INDEMNIFICATION AND INSURANCE**

9.1 Indemnification.

(a) The Corporation shall have the power to indemnify its directors, officers, employees, and other agents. The Board shall have the power to delegate the determination of whether indemnification shall be given to any such person (except executive officers) to such officers or other persons as the Board shall determine.

(b) The Corporation may purchase and maintain insurance in a reasonable amount on behalf of any person who is or was a director, officer, agent or employee of the Corporation against liability asserted against or incurred by such person in such capacity or arising from such person's status as such. Additionally, the Corporation may purchase life insurance on the life of any shareholder which may, in the discretion of the Corporation or subject to any agreement entered into with such shareholder or his/her estate, be used in connection with the repurchase of such shareholder's shares upon his/her death.

## **ARTICLE 10. NOTICES**

10.1 Notices.

(a) Whenever written notice is required to be given to any person, it may be given to such person, either personally or by sending a copy thereof through the United States mail, or by email, or facsimile, charges prepaid, to his or her address appearing in the books of the Corporation, or supplied by him or her to the Corporation for the purpose of notice. If the notice is sent by mail it shall be deemed to have been given to the person entitled thereto when deposited in the United States mail. If the notice is sent by email or facsimile, it shall be deemed to have been given at the date and time shown on a written confirmation of the

transmission of such facsimile communication. If such notice is related to a shareholder meeting, the notice shall specify the place, day, time of the meeting and the purpose of and general nature of the business to be transacted at such meeting.

(b) Whenever any written notice is required by law, or by the Articles of Incorporation or by these Bylaws, a waiver thereof in writing, signed by the person or persons entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice. Attendance of a person, either in person or by proxy, at any meeting shall constitute a waiver of notice of such meeting, except where a person attends a meeting for the express purpose of objecting to the transaction of any business because the meeting was not lawfully convened or called.

## **ARTICLE 11. AMENDMENTS**

11.1 Amendments. The Board is expressly empowered to adopt, amend, or repeal these Bylaws (or any provision hereof). The stockholders shall also have power to adopt, amend, or repeal these Bylaws (or any provision hereof).

## **ARTICLE 12. MISCELLANEOUS**

12.1 Annual Report. The Board shall cause an annual report to be sent to each stockholder of the Corporation not later than sixty (60) days after the close of the Corporation's fiscal year. Such report shall include a balance sheet as of the end of such fiscal year and an income statement and statement of changes in financial position for such fiscal year, accompanied by any report thereon of independent accountants or, if there is no such report, the certificate of an authorized officer of the Corporation that such statements were prepared without audit from the books and records of the Corporation.

12.2 Forum. Unless the Corporation consents in writing to the selection of an alternative forum, the courts of the Commonwealth of Massachusetts shall be the sole and exclusive forum for (a) any derivative action or proceeding brought on behalf of the Corporation, (b) any action asserting a claim of breach of a fiduciary duty owed by any director, officer, or other employee of the Corporation to the Corporation or the Corporation's stockholders, (c) any action asserting a claim against the Corporation or any director or officer or other employee of the Corporation arising pursuant to any provision of the Applicable Law, the Certificate, or these Bylaws, or (d) any action asserting a claim against the Corporation or any director or officer or other employee of the Corporation governed by the internal affairs doctrine.

12.3 Interpretation. In interpreting these Bylaws, except where the context otherwise requires, (a) "including" or "include" does not denote or imply any limitation, (b) "or" has the inclusive meaning "and/or," (c) the singular includes the plural, and vice versa, and each gender includes each other gender, (d) captions or headings are only for reference and are not to be considered in interpreting these Bylaws, (e) "Section" refers to a section of these Bylaws, unless otherwise

stated in these Bylaws, and (f) “day” refers to a calendar day unless expressly identified as a business day.

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**CERTIFICATE**

The undersigned Manager / Director of Responsible and Compliant Southwick LLC, a Massachusetts corporation, hereby certifies that the foregoing Bylaws are the original Bylaws of the Corporation adopted by the initial director of the Corporation.

Dated: February 14, 2025



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Name: Mark Steven Dupuis  
Title: Manager / Director

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Commonwealth of Massachusetts  
Department of Revenue  
Geoffrey E. Snyder, Commissioner  
[mass.gov/dor](http://mass.gov/dor)

Letter ID: L0781044640  
Notice Date: February 18, 2025  
Case ID: 0-002-775-474



## CERTIFICATE OF GOOD STANDING AND/OR TAX COMPLIANCE



RESPONSIBLE AND COMPLIANT SOUTHWI  
681 COLLEGE HWY  
SOUTHWICK MA 01077-9260

### ***Why did I receive this notice?***

The Commissioner of Revenue certifies that, as of the date of this certificate, RESPONSIBLE AND COMPLIANT SOUTHWICK LLC dba:HAVEN is in compliance with its tax obligations under Chapter 62C of the Massachusetts General Laws.

This certificate doesn't certify that the taxpayer is compliant in taxes such as unemployment insurance administered by agencies other than the Department of Revenue, or taxes under any other provisions of law.

**This is not a waiver of lien issued under Chapter 62C, section 52 of the Massachusetts General Laws.**

### ***What if I have questions?***

If you have questions, call us at (617) 887-6400, Monday through Friday, 9:00 a.m. to 4:00 p.m.

### ***Visit us online!***

Visit [mass.gov/dor](http://mass.gov/dor) to learn more about Massachusetts tax laws and DOR policies and procedures, including your Taxpayer Bill of Rights, and MassTaxConnect for easy access to your account:

- Review or update your account
- Contact us using e-message
- Sign up for e-billing to save paper
- Make payments or set up autopay

Edward W. Coyle, Jr., Chief  
Collections Bureau



William Francis Galvin  
Secretary of the  
Commonwealth

*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

March 11, 2025

TO WHOM IT MAY CONCERN:

I hereby certify that a certificate of organization of a Limited Liability Company was filed in this office by

**RESPONSIBLE AND COMPLIANT SOUTHWICK LLC**

in accordance with the provisions of Massachusetts General Laws Chapter 156C on **May 23, 2024.**

I further certify that said Limited Liability Company has filed all annual reports due and paid all fees with respect to such reports; that said Limited Liability Company has not filed a certificate of cancellation; that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156C, § 70 for said Limited Liability Company's dissolution; and that said Limited Liability Company is in good standing with this office.

I also certify that the names of all managers listed in the most recent filing are: **MARK DUPUIS**

I further certify, the names of all persons authorized to execute documents filed with this office and listed in the most recent filing are: **MARK DUPUIS**

The names of all persons authorized to act with respect to real property listed in the most recent filing are: **MARK SIMONIAN**



In testimony of which,  
I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

Processed By:JD



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
12/30/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).


PRODUCER	Simply Business 1 Beacon Street 15th Floor Boston, MA 02108	CONTACT NAME:	Simply Business	
		PHONE (A/C, No, Ext):	(844) 654-7272	FAX (A/C, No):
		E-MAIL ADDRESS:	contactus@simplybusiness.com	
		INSURER(S) AFFORDING COVERAGE		NAIC #
		INSURER A:		Hiscox Insurance Company Inc
		INSURER B:		
		INSURER C:		
		INSURER D:		
		INSURER E:		
		INSURER F:		

<b>COVERAGES</b>	<b>CERTIFICATE NUMBER:</b>	<b>REVISION NUMBER:</b>
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THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	X		HIUS4800879XB1	12/27/2024	12/27/2025	EACH OCCURRENCE \$2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$100,000 MED EXP (Any one person) \$5,000 PERSONAL & ADV INJURY \$2,000,000 GENERAL AGGREGATE \$2,000,000 PRODUCTS - COMP/OP AGG Equal to Gen. Agg.
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED RETENTION						EACH OCCURRENCE AGGREGATE
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE <input type="checkbox"/> Y <input type="checkbox"/> N OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> N/A (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT
	<b>PROFESSIONAL LIABILITY</b>						EACH CLAIM AGGREGATE

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
BUSINESS PERSONAL PROPERTY: \$10,000  
Certificate holder is included as an additional insured on the General Liability policy per written contract.

<b>CERTIFICATE HOLDER</b>	<b>CANCELLATION</b>
Hillside Development Corporation, P.O. Box 189, Feeding Hills, MA 01030	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 

### 3.i BUSINESS PLAN AND FIVE YEAR FINANCIAL FORECAST

#### Business Plan

As stated above, Responsible and Compliant Southwick LLC (dba Haven) proposes to operate a Colocated Retail Marijuana Establishment and Medical Marijuana Treatment Center retail facility at 681 College Highway, Southwick MA 01077. Haven will carry products from a variety of local vendors, including the local vendors we have already identified in Section 4.

#### Five Year Financial Forecast (Pro Forma)

##### *Assumptions for Five-Year Pro Forma*

Haven's Five Year Pro Forma accounts for the costs of the property we have secured for this project (please find evidence of site control in Section 2.f), and is based on a hybrid model that includes the run rate and stabilization that we experienced with our currently operational locations, although primarily based on the initial growth from one of our Long Beach locations, Haven - Los Alamitos, which is currently our most profitable location. We have conservatively increased our projections from our experience at the Los Alamitos location, as Southwick has a significantly more favorable demographic composition, cost of living, average income, and amount of competition based on licenses issued as Los Alamitos and our other operational stores.

As explained above in Section 3.a, Haven utilized real data from the CCC, as well as relevant experience from our ownership team, to inform our timeline, providing a basis for accurate financial forecasts. Haven will work diligently with the Town's Planning department for tenant improvements. Once the site has been certified for occupancy, passed final CCC inspections, and received final State and Town approvals, we will immediately begin our 1-month training and on-boarding program for our new staff. Two weeks prior to opening, we will get 14-28 days of supply (depending on product category) of an array of various marijuana products in the store from licensed vendors, several of which we have already begun discussions with, as discussed in Section 4. Haven expects sales to start around \$4,800 per day pre-tax. Haven has derived these numbers from real data from nearby operational dispensaries as well as similarly situated dispensaries throughout the Commonwealth. There are numerous market indicators to account for when modeling sales revenue for Haven Southwick, including, but not limited to: density of dispensaries in neighboring cities, neighboring cities' median household income, distance to major boulevards/highways and traffic counts, tourist routes, parking, ease of access, etc. However, we have found that some of the best indicators are ease of access for over 21-year-old consumers and median household income. Utilizing data from the US Census Bureau, Haven has estimated that in the City of Long Beach, CA, the number of 'operating' brick-and-

mortar retail cannabis stores per resident is approximately 1 for every 11,360 adults, with a median income around \$71,150 per year. While in Southwick, Haven would initially see 1 cannabis retail storefront for about 3,800 adults, the median household income is higher, at around \$106,779 per year, and data from operational nearby stores shows higher average basket sizes than at our California stores due to usual Massachusetts pricing. Additionally, the region attracts significant traffic through the area, including out-of-state traffic from Connecticut, as well as traffic from seasonal lake tourism. Our month over month growth at our Los Alamitos location is approximately 10%, and therefore we have set the month over month growth for our Southwick store at 10%. Based on conversations with area operators, as well as our experience operating multiple retail cannabis stores for multiple years, in light of Southwick’s market conditions, demographic analysis, and ratio of stores, we expect that growth to last approximately 19 months. Following the first

19 months, we expect our month over month growth to be approximately 1.02% per month for the next two years, based on our operational store revenue increases yet accounting for the more favorable market conditions in Southwick.

Other various assumptions in the Pro Forma: 10.75% State excise tax; 6.25% State Sales tax; 3% Town Excise Tax; Annual State licensing fees of \$5,000 and Town licensing fees of \$50; Cannabis products ‘actual’ markup from wholesale at 250%; Initial advertising at \$8K per month for the first four months, and \$10K per month thereafter; Initial inventory of \$41,829, with cost of goods sold (“COGS”) of 29%; and initial office expenses including display holders is \$2.8K stabilizing on month 1 of operations at \$326/ month. Please note that Haven has accounted for the expenses of the bond required by 935 CMR 500.101(1)(a)(5), 500.105(16) and bond required by §185-35.2(l) of the Town’s marijuana bylaw in the “Miscellaneous” line item.

**Five-Year Pro Forma**

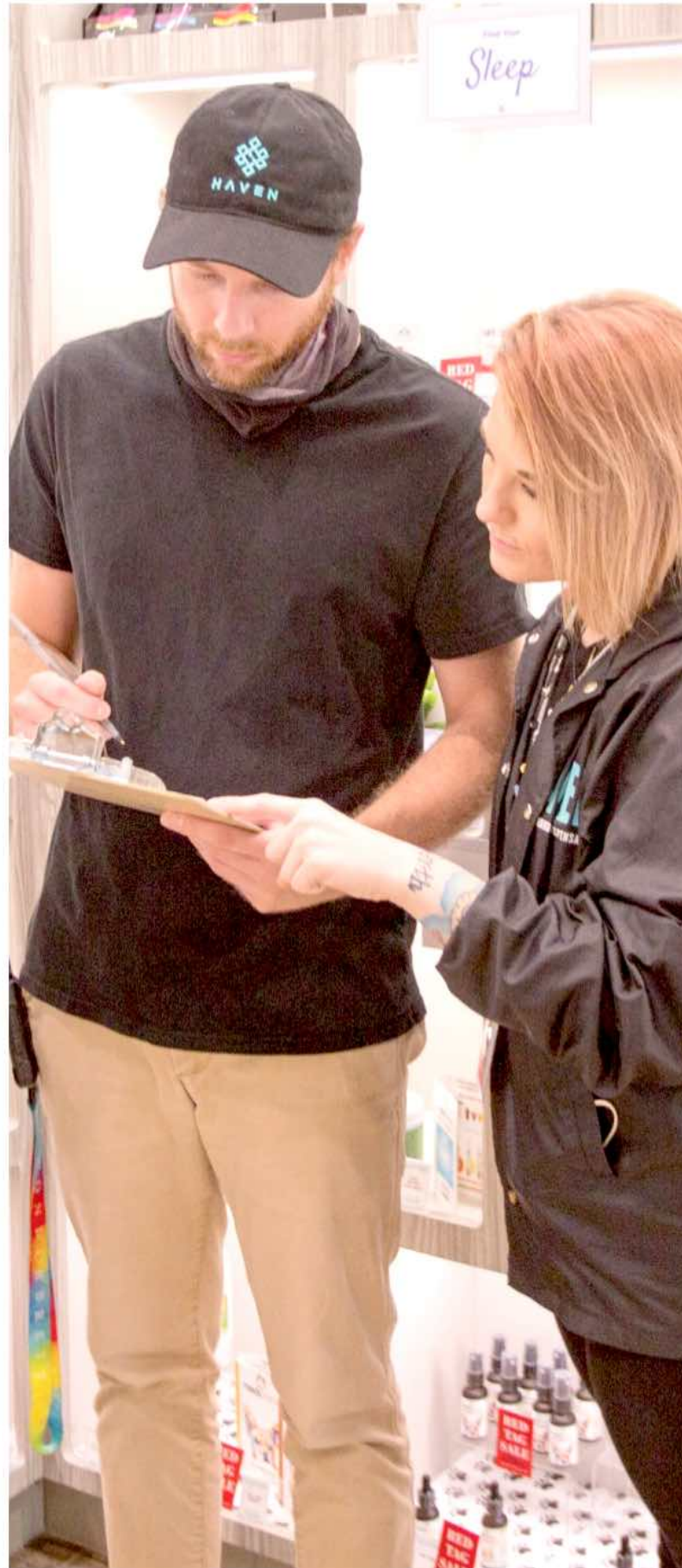
Revenue:	Year 1	Year 2	Year 3	Year 4	Year 5
Gross Receipts (Retail)	1,299,000	6,378,077	11,884,898	13,424,059	15,162,549
Township Local Excise Tax (3%)	(25,415)	(124,788)	(232,531)	(262,645)	(296,659)
Marijuana Excise Tax (10.75%)	(91,071)	(447,159)	(833,235)	(941,143)	(1,063,027)
State Sales Tax (6.25%)	(52,948)	(259,976)	(484,439)	(547,176)	(618,039)
<b>Medical Use Net Revenue</b>	282,391	1,386,538	2,583,674	2,918,274	3,296,206
<b>Adult Use Net Revenue (Total Taxable Sales)</b>	847,174	4,159,615	7,751,021	8,754,821	9,888,619
<b>Cost of Sales:</b>					
Cost of Goods (Retail)	322,733	1,584,615	2,952,770	3,335,170	3,767,093
<b>Total Cost of Sales</b>	322,733	1,584,615	2,952,770	3,335,170	3,767,093
<b>Gross Profit</b>	806,832	3,961,538	7,381,924	8,337,925	9,417,732
<b>Operating Expenses:</b>					
Community Investment Fund	6,495	31,890	59,424	67,120	75,813
Personnel Expense	394,905	1,010,564	1,923,475	1,994,584	2,001,339
Marketing, Advertising and Promotions	52,000	120,000	120,000	120,000	120,000
General Liability Insurance Expense	2,700	5,400	5,400	5,400	5,400
Office Expenses and Supplies	4,456	3,912	3,912	3,912	3,912
Utilities	7,410	9,420	9,420	9,420	9,420
Repairs and Maintenance	3,300	6,600	6,600	6,600	6,600
Travel and Entertainment	4,998	9,996	9,996	9,996	9,996
Rent/Mortgage and Property Taxes	120,000	120,000	120,000	120,000	120,000
Legal/Accounting/Consulting	4,200	8,400	8,400	8,400	8,400
Alarm Monitoring	840	1,440	1,440	1,440	1,440
Internet/Phone	1,540	2,640	2,640	2,640	2,640
Miscellaneous	12,600	21,600	21,600	21,600	21,600
Empyrean Armored Car Cash Pick Up	8,162	13,992	13,992	13,992	13,992
Dues and Subscriptions	12,250	21,000	21,000	21,000	21,000
Town and State Application and Licensing Fees	7,300	6,550	6,550	6,550	6,550
Construction and Tenant Improvements	417,620	-	-	-	-
<b>Total Operating Expenses</b>	<b>1,060,776</b>	<b>1,393,404</b>	<b>2,333,849</b>	<b>2,406,104</b>	<b>2,421,551</b>
<b>Net Income (Loss)</b>	<b>(253,944)</b>	<b>2,568,134</b>	<b>5,048,075</b>	<b>3,013,547</b>	<b>3,699,974</b>

### Assumptions for Compensation of Employees

Haven’s personnel expense directly correlates to the expected revenue increase over time for this proposed location in the Town of Southwick. Haven is committed to providing a living wage for all staff; therefore, we have started our staff compensation at \$22.00 per hour. Our General Manager’s salary will start at \$80,000 per year, and we have budgeted for an annual 6% raise in the General Manager’s salary, as well as bonuses of 20-25% for all managers, paid out monthly. We expect that the personnel required to maintain the level of guest experience that our guests and patients deserve and expect will result in a head count increase in months 18 and 25 of operations, corresponding to when our projected monthly revenue hits \$400K and \$800K, respectively. We have found that limiting employees to 6 hour shifts has resulted in alert and attentive employees and thus improves overall guest experience. Additionally, this schedule reduces the occurrence of overtime, while allowing employees to work over 32 hours to maintain full-time benefits. Payroll Taxes are set at 5% of total wages, and worker’s compensation is set at \$0.73 for every \$100 of payroll. We will always have, at a minimum, one employee from our inventory staff, one from guest experience, and one Receptionist working during normal business operating hours.

We have dedicated 15% of our Labor Budget to Paid Time Off, Sick Leave, Paid Volunteer Time, Health Benefits, and our Continuing Education reimbursement/stipend. Continuing Education costs are projected based on the amount of reimbursements and stipends we expect staff to request, based on our existing operations.

Haven will begin operations with 12 employees, and increase the number of employees to 21 at month 18 and again to 36 at month 25. Below, please find a detailed chart depicting the number of employees upon opening, at month 18, and at month 25, as well as employee wages. For information regarding Haven’s commitment to a living wage and generous employee benefits packages, please refer to Section 4.c.



**Compensation of Employees**

Employees	Hourly Rate	# of Hours	Salary per Year	Starting Month	Head Count
General Manager	\$36		\$80,000	1	1.0
Guest Experience Manager	\$26	172		1	1.0
Guest Experience Lead	\$24	172		1	1.0
Guest Experience Lead	\$24	172		18	1.0
Guest Experience Lead	\$24	172		25	3.0
Guest Experience Specialist	\$22	155		1	2.0
Guest Experience Specialist	\$22	155		18	3.0
Guest Experience Specialist	\$22	155		25	5.0
Inventory Manager	\$26	172		1	1.0
Inventory Lead	\$24	172		1	1.0
Inventory Lead	\$24	172		18	2.0
Inventory Specialist	\$22	155		1	2.0
Inventory Specialist	\$22	155		18	3.0
Inventory Specialist	\$22	155		25	5.0
Front Desk/Admin	\$22	155		1	3.0
Front Desk/Admin	\$22	155		25	2.0
	<b>Month</b>	<b># of Employees</b>			
Total Employees	1	12.0			
Total Employees	18	21.0			
Total Employees	25	36.0			

Employees	Year 1	Year 2	Year 3	Year 4	Year 5
General Manager	\$ 43,333	\$ 82,800	\$ 87,600	\$ 92,400	\$ 97,200
Guest Experience Manager	\$ 29,068	\$ 53,664	\$ 53,664	\$ 53,664	\$ 53,664
Guest Experience Lead	\$ 26,832	\$ 49,536	\$ 49,536	\$ 49,536	\$ 49,536
Guest Experience Lead	\$ -	\$ 28,896	\$ 49,536	\$ 49,536	\$ 49,536
Guest Experience Lead	\$ -	\$ -	\$ 136,224	\$ 148,608	\$ 148,608
Guest Experience Specialist	\$ 44,330	\$ 81,840	\$ 81,840	\$ 81,840	\$ 81,840
Guest Experience Specialist	\$ -	\$ 71,610	\$ 122,760	\$ 122,760	\$ 122,760
Guest Experience Specialist	\$ -	\$ -	\$ 187,550	\$ 204,600	\$ 204,600
Inventory Manager	\$ 29,068	\$ 53,664	\$ 53,664	\$ 53,664	\$ 53,664
Inventory Lead	\$ 26,832	\$ 49,536	\$ 49,536	\$ 49,536	\$ 49,536
Inventory Lead	\$ -	\$ 57,792	\$ 99,072	\$ 99,072	\$ 99,072
Inventory Specialist	\$ 44,330	\$ 81,840	\$ 81,840	\$ 81,840	\$ 81,840
Inventory Specialist	\$ -	\$ 71,610	\$ 122,760	\$ 122,760	\$ 122,760
Inventory Specialist	\$ -	\$ -	\$ 187,550	\$ 204,600	\$ 204,600
Front Desk/Admin	\$ 66,495	\$ 122,760	\$ 122,760	\$ 122,760	\$ 122,760
Front Desk/Admin	\$ -	\$ -	\$ 75,020	\$ 81,840	\$ 81,840
<b>Wages</b>	\$ 310,288	\$ 805,548	\$ 1,560,912	\$ 1,619,016	\$ 1,623,816
<b>Management Bonuses</b>	\$ 20,294	\$ 38,026	\$ 38,986	\$ 39,946	\$ 40,906
<b>Payroll Taxes</b>	\$ 15,514	\$ 40,277	\$ 78,046	\$ 80,951	\$ 81,191
<b>Worker's Comp</b>	\$ 2,265	\$ 5,881	\$ 11,395	\$ 11,819	\$ 11,854
<b>PTO+Sick+Volunteer+Continuing Ed+Health</b>	\$ 46,543	\$ 120,832	\$ 234,137	\$ 242,852	\$ 243,572
<b>Total Payroll &amp; Benefits</b>	\$ 394,905	\$ 1,010,564	\$ 1,923,475	\$ 1,994,584	\$ 2,001,339

### Startup Costs

Startup Budget (Goes until first month of operations)	Cost
Community Investment Fund	\$ 3,907
Employee Training	\$ 30,377
Employee ME Agent Card	\$ 1,380
Marketing, Advertising and Promotions	\$ 8,000
General Liability Insurance Expense	\$ 900
Office Expenses and Supplies	\$ 2,826
Utilities	\$ 2,700
Property Lease Expense	\$ 60,000
Legal/Accounting/Consulting	\$ 1,400
Alarm Monitoring	\$ 240
Internet/Phone	\$ 440
Miscellaneous Contingency Costs	\$ 9,000
Dues and Subscriptions	\$ 8,750
Town and State Application and Licensing Fees	\$ 50
Construction, Security Equipment and Tenant Improvements	\$ 417,620
Initial Cannabis Products Inventory	\$ 41,829
<b>TOTAL STARTUP BUDGET EXPENSE</b>	<b>\$ 589,419</b>

**BUSINESS PLAN**  
**FUTURE APPLICATION FOR MEDICAL MARIJUANA TREATMENT**  
**CENTER**

Responsible and Compliant Southwick LLC ( DBA HAVEN) has received a HCA from the town of Southwick which includes both Marijuana Retailer and Medical Marijuana Treatment Center.

Haven will be Applying for a Medical Marijuana Treatment Center license soon after commencing retail operations.

*Mark S. Dupuis*

### Recordkeeping

Haven will value records as a means to improve operations, manage finances, assist with internal and external audits, and provide the CCC, local officials, or local law enforcement with information. Haven will maintain accurate books and records in an electronic format, detailing all of the revenues and expenses of the business, and all of its assets and liabilities. Haven plans to store records within the Manager's Office. Records will be secured and backed up daily to prevent tampering, theft, or destruction of records. Records will have safeguards against unauthorized erasures and changes in data after the information has been entered and verified. All physical documents, such as transaction records, inventory records, security records, audit records, business records, and financial records will be stored electronically in redundant and geographically dispersed tier-rated data centers to provide the maximum level of security and compliance with all state and federal document storage and confidentiality rules.

For the storage and retrieval of information, Haven will use a system that:

- Guarantees the confidentiality of the information contained therein.
- Is capable of providing safeguards against erasures and unauthorized changes in data after the information has been entered and verified by the facility.
- Is capable of being reconstructed in the event of a computer malfunction or accident resulting in the destruction of the data bank.

All physical documents, such as transaction records, inventory records, security records, audit records, business records, financial records, and any other required under will be encrypted electronically to provide the maximum level of security and compliance with all state and federal document storage and confidentiality rules.

In accordance with 935 CMR 500.105(9) and 935 CMR 501.105(9), Haven records will be maintained electronically and be available for inspection by the CCC upon request. Maintained records include, but are not limited to, the following, as listed under 935 CMR 500.105(9)(a-g) and 935 CMR 501.105(9)(a-g):

- Written operating procedures as required by 935 CMR 500.105(1);
- Inventory Records as required by 935 CMR 500.105(8);
- Seed-to-sale Tracking Records for all Marijuana Products as required by 935 CMR 500.105(8);
- Personnel records in compliance with 935 CMR 500.105(9)(d):
  - A staffing plan that will demonstrate accessible business hours;
  - Personnel policies and procedures;
  - All background check reports obtained in accordance with M.G.L. c. 6 §172, 935 CMR 500.029, 935 CMR 500.030, and 803 CMR 2.00: Criminal Offender Record Information (CORI), per 935 CMR 500.105(9)(d)(5);
- Business records, which will include manual or computerized records of: Assets and liabilities; Monetary transactions; Books of accounts, which will include journals, ledgers, and supporting documents, agreements, checks, invoices, and vouchers; Sales records including the quantity, form, and cost of marijuana products; and Salary and wages paid to each agent, or stipend, executive compensation, bonus, benefit, or item of value paid to any persons having direct or indirect control over Haven.
- Waste disposal records as required under 935 CMR 500.105(12); and
- Following the closure of the facility, all records will be kept for at least two (2) years at the expense of Haven and in a form and location acceptable to the CCC.

## Environmental Sustainability

### Applicable Law & Guidance Documents

State: CMR, Title 935: §§500.105(1)(q), (15); CCC Energy and Environmental Compiled Guidance.

Local: Southwick 2040 Master Plan.

### Protecting Our Environment

Nestled in the heart of the Pioneer Valley, the Town of Southwick offers a picturesque blend of natural beauty and community charm. The Town's unique geographic landscape, characterized by its scenic lakes and rolling hills, underscores the importance of balancing business growth with environmental stewardship. Haven's primary objectives are conservation of natural resources and the reduction of greenhouse gas ("GHG") emissions within the Town of Southwick, Hampden County, and the State of Massachusetts, preserving the local community.

The Town leads by example when it comes to sustainability - Southwick earned its Green Community certification in 2023, and has focused not only on reducing municipal energy use, but also on leading sustainability efforts in the community. As such, the Town is conscious of new businesses and the impact new businesses have on the environment, the surrounding communities, conservation, development and use of natural resources, and the open space areas that are important for residents' views and recreation. Haven looks forward to joining local efforts to protect the environment, and remains committed to looking for new and innovative ideas to reduce GHG emissions and to operate in a way that protects our environment and addresses community concerns.

### Environmental Impact On The Town

Pursuant to the current Massachusetts marijuana regulations, all marijuana businesses are required to develop and implement policies and procedures for energy

efficiency and conservation as part of the operating plan and license application. Alongside the State's stance on protecting the environment, Southwick has outlined goals, policies, and actions to reduce local community greenhouse gas ("GHG") emissions in its 2040 Master Plan. While Haven implements sustainability measures at all our locations, we have tailored our business practices to adhere to the following GHG reduction strategies, policies, and procedures set forth by 935 CMR 500.105(1) (a) & (15) and the Southwick 2040 Master Plan:

- Energy use reduction;
- Renewable energy generation;
- Electric demand reduction; and
- Engagement with energy efficiency programs offered pursuant to M.G.L. c. 25, § 21, or through municipal lighting plants.

Haven will work with the Town and the CCC to design and implement this plan. We will align our business model and best practices to implement a "green" approach to operating our company successfully.

Strategy 1 under the Climate Change and Sustainability chapter of the Southwick 2040 Master Plan includes educating the Town's community regarding sustainability and climate change, to empower residents and businesses to each do their part. While Haven has provided the below plan related to environmentally-conscious building upgrades and business practices, Haven looks forward to learning more about the Town's sustainability and climate change resiliency programming, and if needed, may adjust our plans accordingly to participate.

### Energy Use Reduction

In its discussion of sustainability measures, the Southwick 2040 Master Plan sets an overarching goal of reducing energy consumption by residents and businesses, emphasizing the importance of building energy efficiency, which not only reduces energy use and associated costs, but also creates local jobs.

While planning our facility design, as well as any subsequent facility upgrades, renovations, or expansions, Haven will work closely with our design team and contractors (which include local contractors with experience in "green" buildings and renovations) to identify energy use reduction opportunities in our facility design. Haven will adhere to all applicable Town and State GHG standards when making any tenant improvements or subsequent improvements to increase energy efficiency

in our building and equipment. Although Haven will be occupying an existing building, Haven will seek to install efficient appliances and equipment whenever possible, thereby improving the efficiency of our operations.

### Use of Energy Efficient Lighting and Equipment

Haven will work with local utility providers to find the most efficient means of providing electricity to our facility. All light bulbs in our facility will be energy efficient LED lights and we will utilize daylighting to reduce energy use. Equipment (such as computers, refrigerators, kitchen related equipment, registers, POS equipment) will all include the most current energy efficient technology. Haven will install automatic bathroom lighting, faucets, and hand dryers. All office space will have automatic energy efficient lighting.

### Climate Control System

Climate control systems account for a large percentage of the total energy consumed in a cannabis business. In designing our climate control system, we will consult with a mechanical engineer to devise the most energy efficient system possible taking into account 1) indoor air quality; 2) odor control; 3) energy consumption; 4) greenhouse gas emissions; 5) regulatory compliance; and 6) climate. Should carbon emissions be a concern, Haven will employ additional procedures to offset any carbon emission.

### Efficient Heating and Cooling

Haven will use a closed room environment to minimize our electricity costs. A closed room environment does not exchange air with the outside of the building and limits the electricity associated with the cooling of external air. In addition to the cost and energy-savings, closed room environments present the added benefit of decreased odor presence surrounding the premises. We will regularly maintain Haven's climate control and HVAC systems to ensure continuously efficient operations and to reduce energy consumption and energy costs. Maintenance will include periodic efficiency checks, filter replacements, and evaluations of system upgrades. Haven's facility will be sufficiently insulated to reduce heating or cooling losses. We will also install an energy-efficient or tankless water heater and insulate the first three feet of heated water exiting the water heater.

### Management Systems and Energy Audits

In order to identify energy savings opportunities, Haven will seek to conduct energy audits through regional energy savings programs. Our facility managers will consider installing a Building Management System (BMS) or Energy Management System (EMS). The U.S. Department of Energy has developed a suite of

Specification and Procurement Support Materials to help managers identify the right fit for their facility. Haven will utilize Xcel's Energy Analysis Program to identify financial incentive programs and to perform a comprehensive energy audit or engineering assistance study (EAS). For example, we can install sub-meters, such as e-mon and Power TakeOff, inside the building to collect power usage data.

### Maintenance of Energy-Efficient Equipment

Haven will regularly service all equipment to ensure continued efficiency and effective operations. Should any equipment fail, Haven will leverage our extensive connection to local contractors and vendors with "green" experience to select, install, and maintain a suitable replacement.

### Use of Safe & Efficient Building Materials

We will enhance our building's exterior with safe and efficient building materials such as Hanson eco-friendly concrete and natural stone. We will source eco-friendly materials for our interior to the fullest extent possible, using ceramic floor tiles and fixtures made of sustainable materials, such as recycled glass and plastic and reclaimed wood.

### Maximize the Cooling of Buildings through Strategic Tree Planting and Shading to Reduce Building Electricity Demands

Planting trees and other landscaping shades building exteriors, thereby reducing building electricity demands by reducing the need for air conditioning. As part of our tenant improvements, Haven will consider strategically upgrading the building landscaping with drought-resistant, native greenery which shades our building.

### Renewable Energy Generation

#### Use of Solar Power

Haven will consider opportunities for renewable energy generation, which could include working with local solar companies to install solar photovoltaic systems on the building to provide us with renewable, efficient, 100% green energy. Haven's ownership team will work with our design and construction team to assess financial feasibility and suitability of the property for solar photovoltaic systems, and to examine alternatives in the event such systems are not feasible.

#### Electric Demand Reduction

Haven will consider and work with our design and construction team to assess feasibility of strategies to reduce electric demand, which may include:

- Installation of energy efficient lighting fixtures;
- Installation of automatic sensors to control facility lighting, to prevent electricity waste when rooms are unoccupied;
- Facility design to maximize natural light, allowing Haven to reduce usage of facility lighting during daytime hours.

In order to monitor energy demand, Haven may install sub-meters, such as e-mon and Power TakeOff, inside the building to collect power usage data. We may also compare electric usage information from our utility bills with our operating hours, access control records for activity in the facility during relevant periods, and records of facility maintenance and/or installation of new equipment, to determine how to further minimize electricity utilized in our operations.

### Energy Efficiency Programs

Haven will seek to participate in energy efficiency programs, such as those offered through Mass Save, both in buildout and after completion. We will coordinate with our architectural and construction partners to assess whether rebates, financial incentives, or other aspects of such programs can be incorporated into our plans for buildout, future renovations, and regular operations.

While the Town is a leader in sustainability, the community must do its part to meaningfully reduce local emissions. The Southwick 2040 Master Plan sets forth strategies regarding educational programs, workshops, and other outreach to inform and empower residents and businesses regarding measures such as alternative energy, participation in incentive programs, and other climate-related topics. Haven is extremely interested in learning more about these Town efforts and participating in such programs, and looks forward to adjusting our plans accordingly.

### Water Conservation

The Southwick 2040 Master Plan sets the goal of reducing water consumption by residents, businesses, and local government. Haven will seek to implement the following water efficiency and conservation measures in our property and throughout our operations.

### "Green" Growing Practices

Haven will source its products from growers and manufacturers who follow environmentally friendly business practices, as in our other retail locations. When selecting products, Haven will follow a careful vetting process, reviewing each supplier's processes to ensure

they are pesticide free and eco-friendly. For example, Haven will seek out cultivators that utilize the collection of rainwater, living soil, and chemical free pest control.

### Water Conservation

Haven's water conservation and reuse efforts may include installing and implementing water efficiency upgrades to our building. As part of Haven's building improvements, we may upgrade all landscaping to a water-wise design, utilizing drought-resistant greenery and watering techniques. Haven will retrofit our retail location with automatic faucets, set with a timing mechanism to control the flow of water, in all restrooms and break rooms.

### Solid Waste Reduction and Recycling

The Southwick 2040 Master Plan includes strategies to encourage businesses to expand recycling efforts. Throughout buildout and all future operations in the Town, Haven will do our part to be good stewards of the environment, including participating in recycling education efforts to increase sustainability in the community, as well as supporting local green businesses.

### Waste Disposal Plan

As outlined in our Waste Management Plan earlier in this section, team members will fully comply with all waste disposal regulations under all applicable federal, state, and local law, including requirements for disposal of marijuana waste under 935 CMR 500.105(12). Marijuana waste will be disposed of in accordance with all CCC requirements, and will be stored until the time of disposal within a dedicated, secured waste receptacle within a limited-access area of our facility, only accessible to our staff and team members. All waste disposal will be tracked accordingly.

### Zero Waste Plan

Haven will reduce waste throughout the facility by recycling and reusing whenever possible. Haven will strive to recycle or compost all waste generated by our business. We will work with the Town to eliminate plastic bags and to utilize local recycling companies to develop economic growth and create jobs. Packaging from inbound materials, waste generated during operations and team member waste will be the main sources of recyclable materials. Haven will place recycling bins in the team member break areas, office areas, and where inventory is sorted to ensure that all recyclable waste is identified and disposed of properly.

Haven will also greatly reduce the amount of landfill generated within the facility by composting waste, helping to produce quality compost that can be used

to inoculate soil with microbial life. Team members will make every effort possible to identify recyclable and compostable materials, separate them from other waste, and place them in appropriate containers to be picked up by our recycling service provider. In addition to recycling, Haven team members will reuse everything possible.

### Use of Recyclable Materials/ Closed Loop Recycling

Haven has successfully implemented a recycled packaging program at its operational locations, utilizing recycled packaging and bags at our California stores. Haven will work with local compliance counsel to determine if a similar program could work under CCC rules.

### Local Sourcing

Haven knows that supporting local businesses is one of the most effective ways to support the local economy and positively impact the community.

Our ownership team has intimate knowledge of the local business community; owners Brian Kuchachik and Mark Dupuis are longtime Westfield residents; additionally, Brian spent part of his childhood living in Southwick, his father, Mark Kuchachik, is a longtime Southwick resident and has owned a successful business in Town for the last 30+ years, and Brian has worked on several major projects in Town, such as Depot Square, throughout his career.

Haven will leverage the connections of our ownership team to form meaningful relationships with local businesses, and to source goods and services from businesses located in or near Southwick whenever possible. While Haven looks forward to meeting even more of the local business community, we have already identified and spoken with the following area businesses, who have expressed interest in serving our future Southwick store:

- **International Building Supply** can supply materials needed for our buildout and any future facility renovations.
- **Mark's Property Services** can provide property maintenance services for our store, and is owned and operated by Mark Kuchachik, the father of Haven owner Brian Kuchachik.
- **Mark's Landscape Supply** can provide all landscaping services, and is owned and operated by Mark Kuchachik, the father of Haven owner Brian Kuchachik.
- **Southwick Electric** can perform the electrical work necessary for our buildout, as well as perform any future electrical work at the facility.

- **413 Alarms** can install the alarm system equipment for our Security Plan, which can be found in Section 3.c.i of this RFI.
- **Image Printhouse LLC** can print T-shirts for employee uniforms, as well as any other necessary store clothing needs.
- **Latka Printing and Marketing** in nearby Westfield can provide labels and marketing materials for our future Southwick store.

In addition, Haven will seek to source store inventory from local licensed Marijuana Establishments. We have identified and discussed potential vendor relationships with MA Craft Cultivators, a licensed cultivator located in Colrain, MA, as well as Riverside, a licensed manufacturer in Holyoke MA. Further, Bellefleurmass, a Blandford MA cultivation business owned by Brian Kuchachik and currently undergoing State licensure, can be utilized as a future vendor for the Southwick store.

his entrepreneurial spirit and desire to serve patients, Mark has since worked in the legal cannabis industry throughout the country, and is excited for the opportunity to bring a high-quality, medicinal marijuana facility to his home state.

Please find below documentation from the CCC confirming the status of Mark Dupuis as a Social Equity Program Participant.

### 3.C.II. PERSONNEL POLICIES

While personnel policies related to Security can be found below, please refer to Section 3.f for an overview of our employee training program, and Section 4.c for more information regarding Haven's employment practices.

#### Hiring Policies and Background Checks

Haven will not hire any agent or contractor who is likely to engage in illegal distribution of marijuana. In addition to questions during the hiring process, Haven will conduct criminal background checks and an evaluation of work history. Haven will conduct a criminal history background check on any prospective agent, principal, director, board member, or owner prior to hiring, appointing, or permitting investment from that individual.

Haven intends to enlist the services of a professional background check agency with a licensed private detective company which merges advanced search methodologies from multiple investigative databases and public record sources with interpretation by investigative specialists to ensure that management will have all the information necessary before deciding to employ an individual. Haven will keep records of the results of the criminal history background checks and make the confirmation of criminal history background checks available for inspection. In accordance with 935 CMR 500.030(1)(a) and 935 CMR 501.030(1)(a), all persons employed by Haven and all volunteers will be at least 21 years of age.

#### Suspension or Termination

Haven will immediately update system agent information and status. If an agent is no longer employed, senior management will remove access and permissions to the facility. The General Manager will notify the CCC of an employment change of any agent within 1 business day, per 935 CMR 500.030(4) and 935 CMR 501.030(4), including notice if the termination was for diversion of product or theft of currency.

When an agent is terminated or suspended:

- Haven will ensure all passwords, key locks, and combinations of a terminated agent are changed upon termination.
- The implementation of additional security measures, such as consultation with a threat assessment professional, will be used when an agent of concern is terminated.
- A terminated or suspended agent will surrender their security access card/identification card immediately.

Haven will review with the agent the consequences of their actions as well as other fraudulent activity which could occur after the termination or during the suspension.

#### Safety and Security Training

Haven will ensure the health and safety of agents and contractors by complying with and training agents on protocols that implement established health and safety laws, including OSHA standards. At minimum, Haven agents will receive a total of eight (8) hours of training annually, per 935 CMR 500.105(2)(a)(1) and 935 CMR 501.105(2)(a)(1). Training will include procedures for responding to scenarios that impact security and safety, including severe weather, fire, natural disasters, medical emergencies, and unauthorized intrusions. Formal training will occur within 90 days of the new hire start-date, per 935 CMR 500.105(2)(b)(2) and 935 CMR 501.105(2)(b)(2), annually thereafter, and will be accompanied by scheduled drills throughout the year. Training is designed not only for compliance but to promote high engagement amongst participants, improving knowledge retention and response under stress. Hands-on training will include live scenarios where agents actively participate. Classroom training will require satisfactory scores on exams to receive credit.

Senior management will train agents on security measures for theft prevention; emergency response; use of force; protection and preservation of a crime scene; access-controls for protected areas of a facility; how to use panic buttons and duress PIN codes; and training on any other safety and security equipment available to agents. Supervisors will be trained in managing emergency responses. Fire evacuation procedures will include responding to the alarm and notifying the fire department; identifying an evacuation path before approaching fire; discharging the fire extinguisher using the PASS technique (Pull, Aim, Squeeze, Sweep); and evacuating immediately if fire extinguisher is empty or fire blazes too intensely.

#### Emergency Planning

How companies handle significant events can have a long-term effect on their business operations. Emergency procedures, including a disaster plan with procedures to be followed in case of fire or other emergencies will be drafted in accordance with 935 CMR 500.105(1)(j) and 935 CMR 501.105(1)(j). Haven will develop emergency policies and procedures for securing all product following any instance of diversion, theft, or loss of marijuana, and conduct an assessment to determine whether additional safeguards are necessary, as per 935 CMR 500.110(1)(m) and 935 CMR 501.110(1)(m). It is imperative that agents

prepare for unfavorable events in order to avoid panic, handle a situation optimally, and resume work promptly. By implementing training for stressful events, agents can act dutifully, and more confidently should the scenario occur.

Haven will prepare for, protect against, and handle any crises that affect the security or operation of the facility in the event of a fire, flood, or other natural disaster or other situations of local, state, or national emergency. At least two emergency preparedness drills will be conducted every calendar year. In case of an emergency, it will be the responsibility of the General Manager to evaluate an emergency, see that appropriate action is taken, determine whether outside support is needed, and summon support, if necessary. Management will also ensure there is a valid phone number on file for all agents, managers, third-party contractors who work on site, and the owner.

Haven will have emergency supplies ready in case of an emergency. Haven will actively educate agents on a standard response safety protocol system, which will be outlined in the agent manual, and use it to enable a rapid response during unforeseen events. By utilizing the standard response safety protocol system, Haven will allow for a more predictable and uniform series of actions as an event unfolds. Haven will standardize the protocol vocabulary so all agents will understand the response and status of the event, providing continuity of expectations and actions. The standard response safety protocols will be understood and rehearsed by all agents and coordinated with local emergency responders if possible.

### Operating Procedures

Per 935 CMR 500.105(1)\*, Haven will have and follow a set of detailed written operating procedures, including, but not limited to:

- Security measures in compliance with 935 CMR 500.110, per 935 CMR 500.105(1)(a);
- Employee security policies, including personal safety and crime prevention techniques, per 935 CMR 500.105(1)(b);
- A description of Haven's hours of operation and after-hours contact information, which will be provided to the CCC, made available to Law Enforcement Authorities on request, and updated pursuant to 935 CMR 500.000, per 935 CMR 500.105(1)(c);
- Storage and waste disposal of marijuana in compliance with 935 CMR 500.105(11) and (12), per

935 CMR 500.105(1)(d);

- Procedures to ensure accurate recordkeeping, including inventory protocols per 935 CMR 500.105(1)(g);
- Emergency procedures, per 935 CMR 500.105(1)(j);
- Alcohol, smoke, and drug-free workplace policies, per 935 CMR 500.105(1)(k);
- A plan describing how confidential information and other records required to be maintained confidentially will be maintained, per 935 CMR 500.105(1)(l);
- A policy for the dismissal of a Haven agent, per 935 CMR 500.105(1)(m)(1-3);
- Policies and procedures for the handling of cash, per 935 CMR 500.105(1)(o);
- Policies and procedures to prevent the diversion of marijuana to individuals younger than 21 years old, per 935 CMR 500.105(1)(p); and
- Policies and procedures to promote workplace safety, per 935 CMR 500.105(1)(r).

*\*Equivalent procedures will be used for medical operations, pursuant to 935 CMR 501.105(1).*

### Badges

Per 935 CMR 500.110(4)(d) and 935 CMR 501.110(4)(d), Haven agents will visibly display an agent identification badge issued by Haven at all times while on the premises or transporting marijuana. The identification card will include the company name and license number, the agent's name, and a color photograph of the agent that clearly shows the full front of the agent's face and that is at least 1 inch in width and 1.5 inches in height.

### Drug-Free

Haven will establish and implement a drug-free workplace policy and maintain in each agent's file a copy of our policy signed by the agent. Smoking, ingestion, or consumption of marijuana and marijuana products will be prohibited on the licensed premises at all times. No person will be allowed to possess an illegal drug of any kind on the licensed premises. No agent will be under the influence of an intoxicating substance of any kind while on the premises. Exceptions may be made on a case-by-case basis by Management at their sole discretion, in the case of an agent's non-marijuana, validly prescribed medication so long as medication does not inhibit the agent's ability to perform the assigned job and is required for health.

### Sanitation

As described in greater detail in Section 4.b, Haven will keep customers safe with high-quality marijuana that is tested and approved. Utmost attention will be given to sanitation to prevent contamination. All agents will comply with hygienic practices, OSHA standards, and safety policies on the premises. The facility will be maintained in a hygienic manner, through regularly scheduled cleaning, including waste disposal. Floors, working surfaces and passageways will be kept free of protruding nails, loose boards, unnecessary openings, or any other condition which impedes cleaning, and fire lanes will always be kept clear and accessible.

Haven will maintain all storage areas in a clean and orderly condition and free from infestation by insects, rodents, birds, and pests of any kind, per 935 CMR 500.105(11)(d) and 935 CMR 501.105(11)(d). A sanitation log will record all pest management activities, including a map of all traps and their type; maintenance of traps; and details on any evidence of animal or insect presence.

will complete their security education through Sapphire's Learning Management System (LMS), by completing courses such as "Loss Prevention for Marijuana Dispensaries" and "Security Training for Marijuana Dispensaries". Agents will be required to achieve passing scores before continuing to work in marijuana-related areas. Refresher training will be required annually to accommodate emerging security protocols.

## **Plan to Restrict Access to 21 Years of Age and Older Dispensing procedures**

1. No one under age 21 will be allowed on site.
2. Age will be verified at time of entry, and any underage persons or other unfit purchasers will be denied.
3. After an individual is identified and deemed fit for purchase, they will be admitted to a waiting area separate from the retail floor .
4. The client will have access to educational materials and product brochures to assist their decision making.
5. Once a sales associate is free, they will greet the client at the waiting room door and will bring them to the sales counter.
6. Prior to any further interaction the sales associate will re-verify the client's identification and assess them for any signs of intoxication or other conditions that may make them unfit for purchase.
7. The sales associate will discuss the product menu with the client and inform them of any pertinent details to their selection.
8. The sales associate will collect the selected items and package them in an exit bag.
9. The sales associate will log the proposed transaction into the METRC seed-to-sales tracking system.
10. Once the sale has been logged, the sales associate will collect the payment and enter it into the POS station.
11. The client will be given a sealed exit bag with their product, a client education packet, strain information, and any other relevant information x.
12. The sales associate will escort the client to the airlock exit, and ensure they have left the facility safely.

13. The sales associate will clear their area, record any necessary information, request additional inventory, if needed, and prepare for the next transaction.
14. All employees and registered agents must be 21 years of age or older.
15. All consumers entering Haven., a Marijuana Retailer, must be 21 years of age or older (unless the establishment is co-located with a Medical Marijuana Treatment Center)

## **Qualifications and Training**

A detailed description of the qualifications and intended trainings for marijuana establishment agents who will be employees:

1. All employees must undergo a Cannabis Control Commission background check prior to hiring. Employees must pass that check and be over age 21 to be considered for employment.
  - a. Employees who live in the neighborhood, who reside in other areas of disproportionate impact, or who are economic opportunity or social equity applicants or related to one will be given hiring preference within the bounds of any applicable employment law.
  
2. There are no built-in qualifications for hiring so long as the individual is compliance-oriented, serious in their duties and obligations, and willing to work hard to promote the cannabis industry.
  - a. Employees with related business experience, experience in a controlled-sales environment, experience in a documentation-heavy industry, and with experience in customer service will be sought, but not exclusively.
  
3. Employees will undergo the Responsible Vendor Training Program once per year, and prior to their first day on duty. Employees will also undergo no less than 8 hours of Related Duty on-the-job training.
  - a. The applicant will have various industry experts come to the facility for supplemental training, including:
    - i. Compliance specialist training
    - ii. POS station training
    - iii. METRC training
    - iv. Product knowledge training
    - v. Ongoing regulatory or industry updates.

#### 4. Anticipated positions and their qualifications:

##### a. Manager

1. Reduce staff turnover
2. Produce sales forecast
3. Recruit, hire, and train new hires, and developing them into productive and competent team members
4. Fully trained in Massachusetts' laws and their related procedures for retail Cannabis establishments.

##### b. Shift Manager

1. Manage team customer service representatives
2. Resolve customer complaints passed on from customer service representatives
3. Organize roster for shifts
4. Organized training sessions on client relations

##### c. Customer Service Representative

1. Trained in POS system
2. Take instructions and have the willingness to learn

##### d. Security Personnel

1. Former experience in security related positions
2. Familiar with maintaining security in a cannabis retail establishment.

##### e. Each potential employee shall demonstrate:

- Qualifications summary
- Resume qualifications
- Statement of qualifications and skills

5. Haven shall ensure that employees are trained on job specific duties prior to performing job functions.

6. All current owners, managers, and employees shall complete the Responsible Vendor Program after July 1, 2019 or when available.

7. All new employees shall complete the Responsible Vendor Program within 90 days of being hired.

8. Responsible Vendor Program documentation must be retained for four (4) years.

## **Maintaining of Financial Records**

1. The CFO shall be responsible for collecting and maintaining all financial records, which shall include manual or computerized records of:
  1. Assets and liabilities;
  2. Monetary transactions;
  3. Books of accounts, which shall include journals, ledgers, and supporting documents, agreements, checks, invoices, and vouchers;
  4. Sales records including the quantity, form, and cost of marijuana products; and
  5. Salary and wages paid to each employee, stipend paid to each board member, and any executive compensation, bonus, benefit, or item of value paid to any individual affiliated with a Marijuana Establishment, including members of the nonprofit corporation, if any
2. All documents shall be kept for no less than two years, and both hard copies and electronic copies shall be kept for all material documents
3. No less than annually, an independent auditor shall examine all materials and review all company books to ensure compliance.
4. Haven is prohibited from utilizing software or other methods to manipulate or alter sales data.
5. Haven shall conduct a monthly analysis of equipment to determine that no software has been installed that could be utilized to manipulate or alter sales data.
6. Haven shall maintain records that it has performed the monthly analysis.
7. If Haven determines that software or other methods have been installed/utilized to manipulate or alter sales data: it shall immediately disclose the information to the Commission, cooperate in any investigation, and take

such other action directed by the Commission.

8. Haven shall comply with 830 CMR 62C.25.1: Record Retention and DOR Directive 16-1 regarding record keeping requirements.

9. Haven shall adopt separate accounting practices at the point-of-sale for marijuana and non-marijuana sales.

10. Haven shall maintain and provide to the Commission on a biannual basis accurate sales data during the six months immediately preceding this application for the purpose of ensuring an adequate supply of marijuana and marijuana products under 935 CMR 500.140(10)

## **Quality Control and Testing Procedures**

1. Procedures for quality control and testing of product for potential contaminants, if applicable to license type.
  - a. The applicant will only purchase finished cannabis that has passed all State testing regimens.
  - b. The applicant shall maintain all cannabis product in a clean, neat environment with controlled temperature and humidity in the vault and showcases.
  - c. The applicant will only purchase cannabis packed in Cannabis Control Commission compliant, child resistant, airtight and opaque packages, ready for sale as-is.
  - d. Any damaged product will be rejected at delivery, or disposed of in the waste area.
2. All agents whose job includes contact with marijuana is subject to the requirements for food handlers specified in 105 CMR 300.000.
3. Any agent working in direct contact with marijuana shall conform to sanitary practices while on duty, including:
  - Maintaining adequate personal cleanliness; and
  - Washing hands appropriately.
4. Hand-washing facilities shall be located in production areas and where good sanitary practices require employees to wash and sanitize their hands.
5. There shall be sufficient space for placement of equipment and storage of materials as is necessary for the maintenance of sanitary operations.
6. Litter and waste shall be properly removed so as to minimize the development of odor and the potential for the waste attracting and harboring pests.
7. Floors, walls, and ceilings shall be constructed in such a manner that they may be adequately kept clean and in good repair.

8. All contact surfaces, shall be maintained, cleaned, and sanitized as frequently as necessary to protect against contamination.

9. All toxic items shall be identified, held, and stored in a manner that protects against contamination of marijuana.

10. Water supply shall be sufficient for necessary operations.

11. Plumbing shall be of adequate size and design and maintained to carry sufficient quantities of water to required locations throughout the establishment.

12. The establishment shall provide its employees with adequate, readily accessible toilet facilities.

13. Storage and transportation of finished products shall be under conditions that will protect them against physical, chemical, and microbial contamination.

14. No marijuana may be sold or otherwise marketed for adult use that is not capable of being tested by Independent Testing Laboratory.

15. The establishment shall notify the Commission within 72 hours of any laboratory testing results indicating contamination if contamination cannot be remediated and disposal of the production batch is necessary

## Diversity Plan

### **I. Intent**

Responsible and Compliant Southwick LLC (HAVEN) is committed to creating a diverse workforce that does not discriminate based on race, color, religion, gender, national origin, age, disability (or perceived disability), pregnancy, genetic information, gender identity, sexual orientation, military or veteran status, ancestry, marital or familial status, or citizenship. Furthermore, it is our belief that the more diverse and inclusive our team is, the more successful Haven will be in Massachusetts as we seek to utilize ideas and innovations from a variety of backgrounds, experiences and cultures.

### **II. Purpose**

Haven's Diversity Plan has been created to ensure that our hiring practices create a diverse and inclusive organization. In doing so, individuals will be able to apply their life experiences and talents to support the goals of the company. Haven's Diversity Plan is meant to be an evolving document designed to guide decisions and practices that ensure we are able to reach our goals described below. The Diversity Plan represents an initial approach to establish a comprehensive management plan with goals and measures for inclusion and diversity. The Diversity Plan will be evaluated and modified, when necessary, as our company grows and expands. Any actions taken, or programs instituted, by Haven will not violate the Cannabis Control Commission's regulations with respect to limitations on ownership or control or other applicable state laws or regulations.

### **III. Proposed Initiatives, Goals and Metrics**

**GOAL 1:** Recruit and hire a diverse group of employees that values and promotes inclusiveness among the workforce  
Proposed Initiative: As part of its hiring plan, Haven will seek to hire a workforce that is made up of at least:

**50% women**

**20% people of color (particularly Black, African American, Hispanic, Latinx, and Indigenous people)**

**20% veterans,**

**10% people with disabilities**

**20% LGBTQ+ individuals**

with a goal to increase the number of individuals falling into these demographics working in the establishment. To achieve this goal, Haven will: Create gender-neutral job descriptions; Post hiring needs in diverse publications such as a variety of web-based recruitment platforms such as indeed.com; Haven Management and Operations Profile Operating Policies and Procedures Participate in local hiring events and job fairs, at least two annually, including events held by the Massachusetts Cannabis Business Association (MassCBA); Attend community group meetings in and around Southwick, Holyoke, and/or Springfield, at least two annually, to introduce Haven and address our existing hiring needs to attract a diverse array of individuals, with an emphasis on those affiliated with the cannabis industry. Haven will adhere to the requirements set forth in 935 CMR 500.105(4) relative to the permitted and prohibited advertising, brand, marketing, and sponsorship practices of marijuana establishments. Haven will engage with community groups

and leaders to further identify ways in which to attract candidates that may not otherwise be aware of employment opportunities with Haven. To ensure that our workplace is an inclusive environment and to promote equity among our team, all hiring managers will undergo training to address bias and cultural sensitivity. Metrics and Evaluation: Haven will assess the demographics of its employees to see if it is meeting its goal of increasing diversity in these positions. Haven will annually analyze the staffing makeup and based upon the outcome of those analytics, determine what steps are necessary to further increase the diversity of Haven. Haven will assess and review its progress within a year of receiving its Final License from the Cannabis Control Commission for an adult-use marijuana establishment and then annually, thereafter. Based upon this annual review and in conjunction with the renewal of its license, Haven will be able to demonstrate to the Commission the success of this initiative. Haven also plans to apply for a Medical Treatment Center License in the future and will adjust plans and goals accordingly to ensure both licenses are complying with this plan.

**GOAL 2:** Create a safe, accepting and respectful work environment

Proposed Initiative: To accomplish this goal, Haven will require one annual cultural sensitivity training for all employees including specific training for employees in management positions. Employees will be asked to fill out annual engagement surveys which will elicit feedback on Haven's work environment. Employees will be able to provide feedback to Haven at any time through the use of an anonymous suggestion box outside management offices for any employee who wishes to leave a suggestion but remain anonymous when doing so. This box will remain locked, so any suggestions left inside cannot be tampered with. Metrics and Evaluation: Haven will collect and consider the feedback from the surveys and a suggestion box with a goal of having at least 85% of our employees describe Haven as a safe, accepting, and respectful work environment. All comments and feedback will be documented and reviewed by senior management staff. Haven will conduct engagement surveys annually and review the results of these surveys within a month of administering them. The suggestion box will be checked at least on a weekly basis by either the CEO, COO, or approved corresponding human resources management of the company. The senior management staff will identify the top 3-5 areas for improvement and, in collaboration with the Haven employees, develop goals (short and long term) on how to address those areas of development. Haven Management and Operations Profile Operating Policies and Procedures This review of feedback and engagement surveys will enable Haven to demonstrate to the Commission the success of its progress upon the renewal of its license each year.

**GOAL 3:** Ensure that all participants in our supply chain and ancillary services are committed to the same goals of promoting equity and diversity in the adult-use marijuana industry.

Proposed Initiative: To accomplish this goal, Haven will prioritize working with businesses in our supply chain and required ancillary services that are owned and/or managed by minority groups; women, veterans, people with disabilities, and/or LGBTQ individuals (herein referred to as Plan Populations). Metrics and Evaluation: Haven will measure how many of its ancillary services and participants in its supply chain are owned and/or managed by Plan Populations and will calculate the percentage of services and members of its supply chain who meet this requirement. Haven will ask suppliers and ancillary services if they would identify

themselves as a business that is owned or managed by one of the Plan Populations and give supplier contractor priority to these businesses. In order to target a diverse supplier base, Haven will post hiring needs in diverse publications such as a variety of web-based recruitment platforms and attend community group meetings, at least two annually, to introduce Haven and address the existing hiring needs to attract a diverse array of suppliers. Haven will adhere to the requirements set forth in 935 CMR 500.105(4) relative to the permitted and prohibited advertising, brand, marketing, and sponsorship practices of marijuana establishments. During its engagement with community groups and leaders referenced in Goal 1, Haven will further identify ways in which to attract diverse supply chain candidates that may not otherwise be aware of employment opportunities with Haven. Haven's goal will be to work with at least 15% of businesses who identify as one of the Plan Populations throughout its supply chain and services. Haven will assess these percentages annually and will be able to demonstrate to the Commission the success of its progress upon the renewal of its license each year.

#### **IV. Conclusion**

Haven will conduct continuous and regular evaluations of the implementation of its goals and at any point will retool its policies and procedures in order to better accomplish the goals set out in this Diversity Plan. Any actions taken, or programs instituted by Haven will not violate the Commission's regulations with respect to limitations on ownership or control or other applicable state laws.