

## Westfield Ultra Premium, LLC

RMDA4445

### APPLICATION OF INTENT REVIEW

1. Name, address, and license type(s) sought of the proposed License Applicant:

|                                         |                                                                                   |
|-----------------------------------------|-----------------------------------------------------------------------------------|
| <b>License Applicant Business Name:</b> | Westfield Ultra Premium, LLC                                                      |
| <b>License Applicant d/b/a Name:</b>    | Westfield Ultra Premium                                                           |
| <b>Proposed Cultivation Location:</b>   | 98 Sgt TM Dion Way, Westfield, MA 01085<br>(Tier 4/Indoor, 20,001-30,000 sq. ft.) |
| <b>Proposed Manufacturing Location:</b> | 98 Sgt TM Dion Way, Westfield, MA 01085                                           |
| <b>Proposed Dispensary Location:</b>    | 98 Sgt TM Dion Way, Westfield, MA 01085                                           |

2. Type of final license sought (if cultivation, its tier level and outside/inside operation):

|                                    |
|------------------------------------|
| <b>License Type(s) Sought:</b>     |
| Medical Marijuana Treatment Center |

3. The license applicant is associated with the following license type(s):

| Type                                                             | Status              | Location  |
|------------------------------------------------------------------|---------------------|-----------|
| Marijuana Cultivator, Tier 4/Indoor<br>(20,001 – 30,000 sq. ft.) | Provisional License | Westfield |
| Marijuana Product Manufacturing                                  | Provisional License | Westfield |
| Marijuana Retail                                                 | Provisional License | Westfield |

4. List of all required individuals and their roles:

| Individual        | Role                                                           | Ownership Percentage | Control Percentage |
|-------------------|----------------------------------------------------------------|----------------------|--------------------|
| Adenike John      | Person Having Direct/Indirect Control                          | 51%                  | 51%                |
| Wayne Shaffer     | Person Having Direct/Indirect Control                          | 24.5%                | 24.5%              |
| Stephen Berardino | Person Having Direct/Indirect Control<br>/ Capital Contributor | 24.5%                | 24.5%              |



5. List of all required entities and their roles:

No other entity appears to have ownership or control over this license applicant business.

6. License Applicant's Status:

General Applicant

7. license applicant and host community executed a Host Community Agreement ("HCA") on October 1, 2025. The license applicant submitted or resubmitted their application on or after March 1, 2024 and provided a compliant HCA that was certified by Commission staff pursuant to 935 CMR 500.180(3) and/or comparable medical regulations.
8. The Commission sent a municipal notice with a copy of the application to the host community on May 11, 2026. The Commission did not receive a response within 60 days pursuant to 935 CMR 501.102(1)(d).
9. The license applicant proposed the following goals for its Positive Impact Plan:

| # | Goal                                                                                                                          |
|---|-------------------------------------------------------------------------------------------------------------------------------|
| 1 | The license applicant proposed to donate \$5,000 annually in charitable contributions to the West Springfield VFW Post #6814. |

### **BACKGROUND CHECK REVIEW**

10. There were no disclosures of any past civil or criminal actions, occupational license issues, or marijuana-related business interests in other jurisdictions.
11. There were no concerns arising from background checks on the individuals or entities associated with the application.

### **MANAGEMENT AND OPERATIONS PROFILE REVIEW**

12. The license applicant submitted all required summaries of plans, policies, and procedures for the operation of the proposed establishment. The summaries were determined to be substantially compliant with the Commission's regulations.
13. The license applicant proposed the following goals for its Diversity Plan:

| # | Goal                                                                                                                                                                                                                                      |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | The license applicant proposed to hire the following: 50% Women, 20% People of color, particularly Black, African American, Hispanic, Latinx, and Indigenous peoples, 10% Veterans, 10% Persons with Disabilities, and 10% LGBTQ+ People. |



|   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2 | The license applicant proposed to provide one (1) annual cultural training on cultural sensitivity and recognizing unconscious bias, focusing on materials including learning about multicultural environments, how to foster inclusion and belonging, intercultural competence, and break out group sessions.                                                                                                                                                                                 |
| 3 | The license applicant proposed to contract with 20% of contracts with businesses that are majority-owned or managed by women; 30% of all contracts with businesses that are majority-owned or managed by People of color, particularly Black, African American, Hispanic, Latinx, and Indigenous peoples; 50% of all contracts with businesses that are majority-owned or managed by veterans; majority-owned or managed by persons with disabilities as well as those who identify as LGBTQ+. |

### **PROVISIONAL LICENSE CONDITIONS**

Commission staff has reviewed the application for compliance with applicable laws and regulations and are presenting it for the Commission's review and vote.

1. Provisional licensure is subject to the payment of the appropriate license fee within 90 days of an affirmative vote of the Commission pursuant to 935 CMR 500.103(1)(e) and 935 CMR 501.103(1)(d)
2. Provisional licensure does not allow the license holder to cultivate, manufacture, or possess marijuana and/or marijuana infused products (MIPs) prior to being approved for a final license.

