



# Massachusetts Cannabis Control Commission

## Public Record Request

### Marijuana Cultivator

#### General Information:

License Number: MC282123

Original Issued Date: 03/12/2020

Issued Date: 03/12/2020

Expiration Date: 03/12/2021

Payment Received: \$5000      Payment Required: \$5000

### ABOUT THE MARIJUANA ESTABLISHMENT

Business Legal Name: Grass Appeal LLC

Federal Tax Identification Number EIN/TIN: [REDACTED]

Phone Number: 954-350-1300      Email Address: bakedbeanma@gmail.com

Business Address 1: 79 River Road

Business Address 2:

Business City: Uxbridge

Business State: MA

Business Zip Code: 01569

Mailing Address 1: 79 River Road

Mailing Address 2:

Mailing City: Uxbridge

Mailing State: MA

Mailing Zip Code: 01569

### CERTIFIED DISADVANTAGED BUSINESS ENTERPRISES (DBES)

Certified Disadvantaged Business Enterprises (DBEs): Veteran-Owned Business

### PRIORITY APPLICANT

Priority Applicant: no

Priority Applicant Type: Not a Priority Applicant

Economic Empowerment Applicant Certification Number:

RMD Priority Certification Number:

### RMD INFORMATION

Name of RMD:

Department of Public Health RMD Registration Number:

Operational and Registration Status:

To your knowledge, is the existing RMD certificate of registration in good standing?:

If no, describe the circumstances below:

### PERSONS WITH DIRECT OR INDIRECT AUTHORITY

Date generated: 06/25/2020

Person with Direct or Indirect Authority 1

Percentage Of Ownership: 100 Percentage Of Control: 100
Role: Owner / Partner Other Role:
First Name: Richard Middle Name: Last Name: Rainone Suffix:
Gender: Male User Defined Gender:
What is this person's race or ethnicity?: White (German, Irish, English, Italian, Polish, French)
Specify Race or Ethnicity:

ENTITIES WITH DIRECT OR INDIRECT AUTHORITY

No records found

CLOSE ASSOCIATES AND MEMBERS

No records found

CAPITAL RESOURCES - INDIVIDUALS

Individual Contributing Capital 1

First Name: Richard Middle Name: Last Name: Rainone Suffix:
Types of Capital: Monetary/Equity Other Type of Capital: Total Value of the Capital Provided: \$50000 Percentage of Initial Capital: 100
Capital Attestation: Yes

CAPITAL RESOURCES - ENTITIES

No records found

BUSINESS INTERESTS IN OTHER STATES OR COUNTRIES

No records found

DISCLOSURE OF INDIVIDUAL INTERESTS

Individual 1

First Name: Richard Middle Name: Last Name: Rainone Suffix:
Marijuana Establishment Name: Baked Bean LLC Business Type: Marijuana Product Manufacture
Marijuana Establishment City: Uxbridge Marijuana Establishment State: MA

Individual 2

First Name: Richard Middle Name: Last Name: Rainone Suffix:
Marijuana Establishment Name: Grass Appeal LLC Business Type: Marijuana Retailer
Marijuana Establishment City: Uxbridge Marijuana Establishment State: MA

MARIJUANA ESTABLISHMENT PROPERTY DETAILS

Establishment Address 1: 79 River Road
Establishment Address 2:
Establishment City: Uxbridge Establishment Zip Code: 01569
Approximate square footage of the Establishment: 16500 How many abutters does this property have?: 15
Have all property abutters have been notified of the intent to open a Marijuana Establishment at this address?: Yes
Cultivation Tier: Tier 03: 10,001 to 20,000 sq. ft Cultivation Environment: Indoor

FEE QUESTIONS

**HOST COMMUNITY INFORMATION**

Host Community Documentation:

Document Category	Document Name	Type	ID	Upload Date
Certification of Host Community Agreement	HCA - Cultivation.pdf	pdf	5cd0dd151e17b90a620c7fb9	05/06/2019
Plan to Remain Compliant with Local Zoning	Plan to Remain Compliant with Local Zoning.pdf	pdf	5cd0de57ecfaea0f8793d88e	05/06/2019
Community Outreach Meeting Documentation	Community Outreach Documentation - Grass Appeal.pdf	pdf	5dddb18d26aa77532085d722	11/26/2019

Total amount of financial benefits accruing to the municipality as a result of the host community agreement. If the total amount is zero, please enter zero and provide documentation explaining this number.: \$

**PLAN FOR POSITIVE IMPACT**

Plan to Positively Impact Areas of Disproportionate Impact:

Document Category	Document Name	Type	ID	Upload Date
Plan for Positive Impact	Grass Appeal - Plan for Positive Impact 2019.12.12.pdf	pdf	5df28cfc38abaf57497a8f23	12/12/2019

**ADDITIONAL INFORMATION NOTIFICATION**

Notification: I Understand

**INDIVIDUAL BACKGROUND INFORMATION**

Individual Background Information 1

**Role:** Owner / Partner **Other Role:**

**First Name:** Richard **Middle Name:** **Last Name:** Rainone **Suffix:**

**RMD Association:** Not associated with an RMD

**Background Question:** no

**ENTITY BACKGROUND CHECK INFORMATION**

No records found

**MASSACHUSETTS BUSINESS REGISTRATION**

Required Business Documentation:

Document Category	Document Name	Type	ID	Upload Date
Bylaws	Operating Agreement - signed.pdf	pdf	5cd0c7f4746cd40f5f70563f	05/06/2019
Articles of Organization	Grass Appeal - Cert. of Organization.pdf	pdf	5cd0c8018b36620a8ef0391d	05/06/2019
Secretary of Commonwealth - Certificate of Good Standing	Grass Appeal LLC - Certificate of Good Standing - 2019-05-06.pdf	pdf	5cd1c21f9852730f7c7db698	05/07/2019
Department of Revenue - Certificate of Good standing	Grass Appeal LLC - Cert of Good Standing DOR.pdf	pdf	5cefd0c0722cea17c125f35a	05/30/2019

Articles of Organization	Colloquial Reference for Grass Appeal LLC.pdf	pdf	5df90d1000f72d57285ed3e8	12/17/2019
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No documents uploaded

Massachusetts Business Identification Number: 001346988

Doing-Business-As Name:

DBA Registration City:

### BUSINESS PLAN

Business Plan Documentation:

Document Category	Document Name	Type	ID	Upload Date
Business Plan	Grass Appeal - Cultivation Uxbridge.pdf	pdf	5cd0c858746cd40f5f705645	05/06/2019
Plan for Liability Insurance	Plan for Liability Insurance.pdf	pdf	5cd0ca6293608d0f926bf6f6	05/06/2019
Proposed Timeline	Timeline.pdf	pdf	5dddc53266a32657cfbdd0e7	11/26/2019

### OPERATING POLICIES AND PROCEDURES

Policies and Procedures Documentation:

Document Category	Document Name	Type	ID	Upload Date
Policies and Procedures for cultivating.	Cultivation Policies.pdf	pdf	5dddc59b74bb15534cd4e888	11/26/2019
Separating recreational from medical operations, if applicable	Separating Rec. from Med..pdf	pdf	5dddc59db4f83557d6cc8c5c	11/26/2019
Restricting Access to age 21 and older	Restricting Access to 21 and Older.pdf	pdf	5dddc59e40e348579197ea67	11/26/2019
Prevention of diversion	Diversion Prevention.pdf	pdf	5dddc5a0170b4c5353e3c45c	11/26/2019
Security plan	Security Plan.pdf	pdf	5dddc5a20f35e05798b39369	11/26/2019
Quality control and testing	Quality Control and Testing.pdf	pdf	5dddc5e9b4f83557d6cc8c60	11/26/2019
Record Keeping procedures	Record Keeping.pdf	pdf	5dddc5eb170b4c5353e3c460	11/26/2019
Storage of marijuana	Storage.pdf	pdf	5dddc5ed40e348579197ea6b	11/26/2019
Transportation of marijuana	Transportation Policy.pdf	pdf	5dddc5ef0f35e05798b3936d	11/26/2019
Inventory procedures	Inventory Policy.pdf	pdf	5dddc5f026aa77532085d73c	11/26/2019
Personnel policies including background checks	Personnel Policies Including Background Checks.pdf	pdf	5dddc658ea4df3530e646948	11/26/2019
Dispensing procedures	Dispensing Policy.pdf	pdf	5dddc65b0f35e05798b39371	11/26/2019
Qualifications and training	Employee Qualifications Policy.pdf	pdf	5dddc65d160e3b57a3dd45b4	11/26/2019
Maintaining of financial records	Financial Record Keeping Policy.pdf	pdf	5dddc6628bdcfd57ae527d6e	11/26/2019
Diversity plan	Updated Diversity Plan.pdf	pdf	5df912232f1a0653113959a7	12/17/2019

### ATTESTATIONS

I certify that no additional entities or individuals meeting the requirement set forth in 935 CMR 500.101(1)(b)(1) or 935 CMR 500.101(2)(c)(1) have been omitted by the applicant from any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.: I Agree

I understand that the regulations stated above require an applicant for licensure to list all executives, managers, persons or entities having direct or indirect authority over the management, policies, security operations or cultivation operations of the Marijuana Establishment; close associates and members of the applicant, if any; and a list of all persons or entities contributing 10% or more of the initial capital to operate the Marijuana Establishment including capital that is in the form of land or buildings.: I Agree

I certify that any entities who are required to be listed by the regulations above do not include any omitted individuals, who by themselves, would be required to be listed individually in any marijuana establishment application(s) for licensure submitted to the Cannabis Control Commission.: I Agree

Notification: I Understand

I certify that any changes in ownership or control, location, or name will be made pursuant to a separate process, as required under 935 CMR 500.104(1), and none of those changes have occurred in this application.:

I certify that to the best knowledge of any of the individuals listed within this application, there are no background events that have arisen since the issuance of the establishment's final license that would raise suitability issues in accordance with 935 CMR 500.801.:

I certify that all information contained within this renewal application is complete and true.:

#### ADDITIONAL INFORMATION NOTIFICATION

Notification: I Understand

#### COMPLIANCE WITH POSITIVE IMPACT PLAN

No records found

#### COMPLIANCE WITH DIVERSITY PLAN

No records found

#### HOURS OF OPERATION

Monday From: 8:00 AM	Monday To: 10:00 PM
Tuesday From: 8:00 AM	Tuesday To: 10:00 PM
Wednesday From: 8:00 AM	Wednesday To: 10:00 PM
Thursday From: 8:00 AM	Thursday To: 10:00 PM
Friday From: 8:00 AM	Friday To: 10:00 PM
Saturday From: 8:00 AM	Saturday To: 10:00 PM
Sunday From: 8:00 AM	Sunday To: 10:00 PM

# GRASS APPEAL LLC

## CULTIVATION

AN ADULT USE CANNABIS COMPANY

## MISSION STATEMENT

**Our mission** is to become a recognized leader in the cannabis market by providing an outstanding selection of premium cannabis products. We will hire and train the best local talent in the area and will provide a safe and friendly work environment. We will own and operate an adult use high quality retail and cultivation location located in Uxbridge, MA. The space will be a clean, secure, and transparent facility that will conform to the highest standards possible.

# THE TEAM



**DEVELOPMENT CONSULTANT**

**KASABIAN CONSTRUCTION**

## **Peter Kasabian**

Pete owns and operations a MA and RI licensed commercial construction company specializing in reconfigured spacing projects. He also has 10 years expertise in the cannabis industry, specializing in cultivation operations. Pete also consults on many cultivation and manufacturing products throughout the area advising on grow strategies, build-outs, electrical necessities, plumbing, safety, and general contracting needs for the project.



**MANAGING DIRECTOR**

**Baked Bean LLC**

## **Rich Rainone**

Rich served honorably in the United States Marine Corps. As the Staff Non-Commissioned Officer in Charge for Naval Station Newport, he supervised complete support for over 250 Marines in the southern New England area. Most recently, he has managed a boutique insurance firm that provides services to professional athletes, sports promoters, industry networks, and some of the biggest pay per view events in boxing history. Rich also founded Whip Hockey, the first female hockey company to offer a full array of hockey equipment tailor made specifically for female hockey players.



**LEGAL CONSULTANT**

**PRINCE LOBEL**

## **Attorney Daniel Glissman**

Dan concentrates his practice in all matters regarding real property, environmental law, and also has extensive industry expertise in the cannabis field. He advises clients on both medical and adult-use facilities, as well as the process of converting from one form to another. He is a graduate of Suffolk University Law School and worked closely with the law department of the Boston City Council.

# CULTIVATION FACILITY

## 79 RIVER ROAD

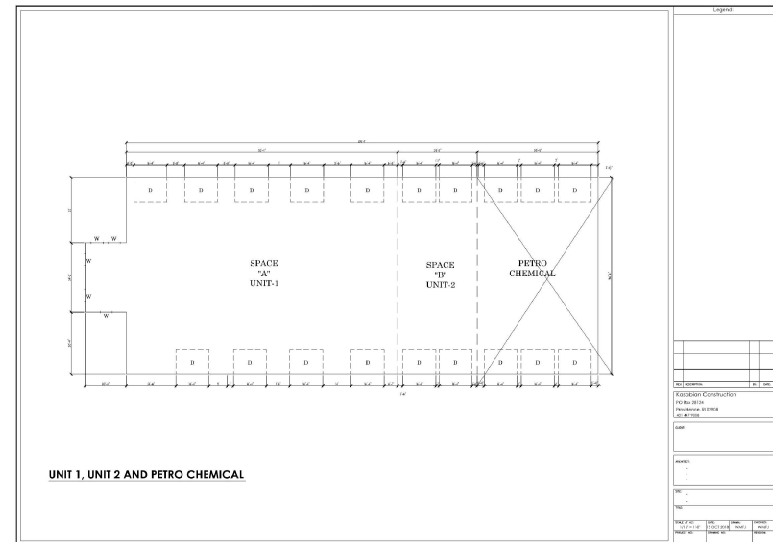
Zoned "industrial B"

No Schools within 750 feet of the facility

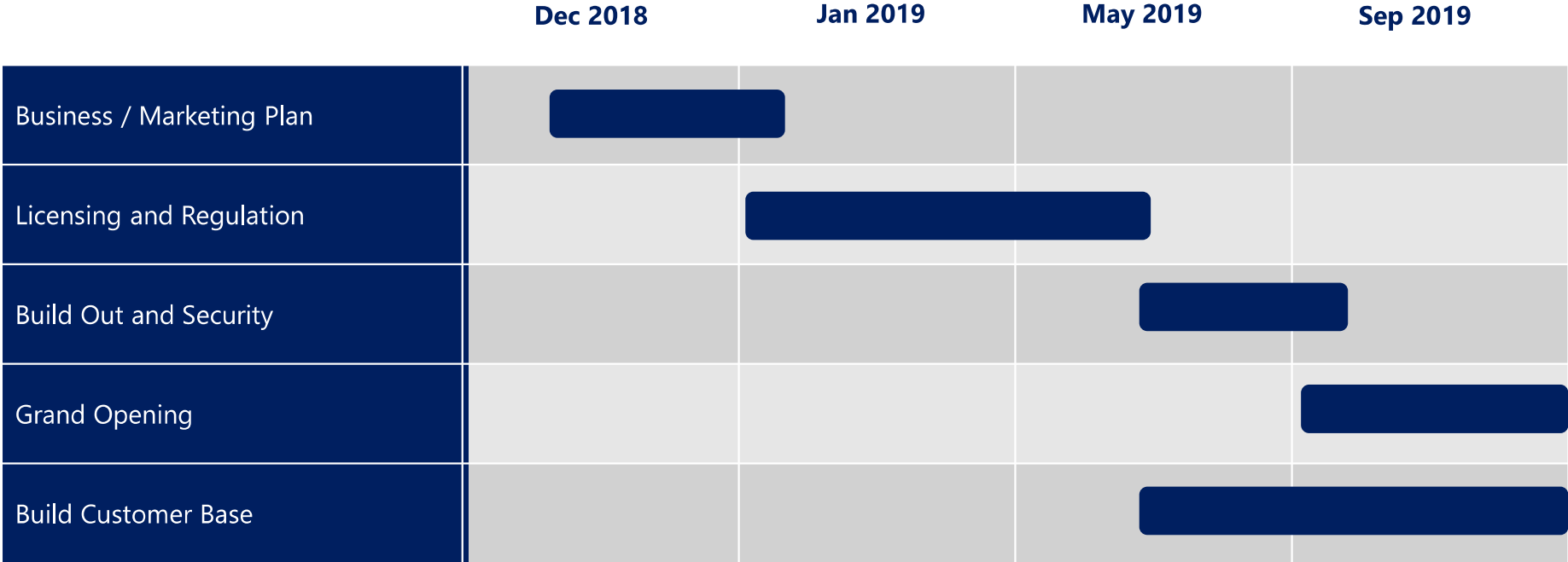
Estimated 16,000 square feet of total work space

Chief of Police walk through has been completed

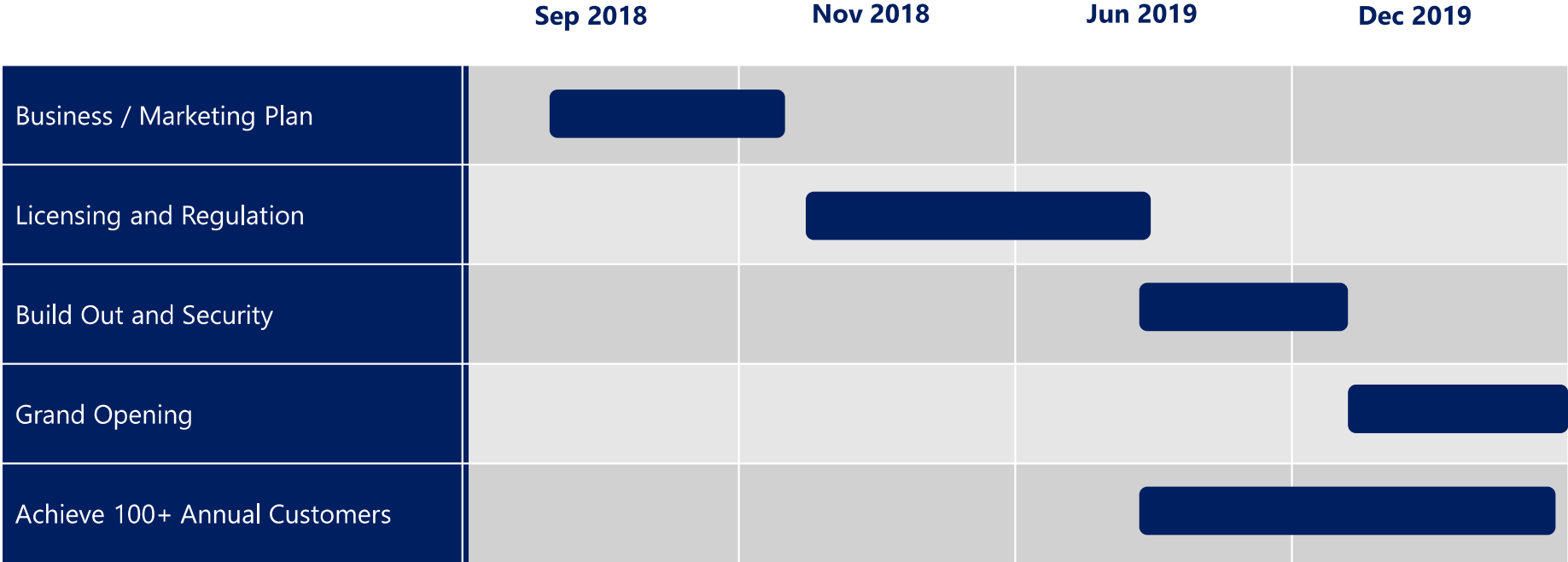
Community Outreach Meeting has been completed



# GROWTH STRATEGY FOR RETAIL



# GROWTH STRATEGY FOR CULTIVATION



# THE CHECKLIST



## ✓ EXTERIOR

- Signage conforms to local / state law
- Location zoned for cannabis activity
- Receiving area is locked and secured
- Cameras are in working order

## ✓ INTERIOR

- Required signs are posted
- Any advertising or signage conforms to local / state law
- Retailer license clearly displayed
- Staff have a clear line of sight to all areas of the location
- List of products and prices available
- All interior security cameras are working

## ✓ PRODUCT

- Products are verified and dispensed according to regulations
- Clear labeling of amount of THC listed on packages
- Child-resistant, opaque and resealable packaging

## ✓ OPERATING REQUIREMENTS

- All local permits up to date and accessible
- All local / state permits are up to date and accessible
- Purchase limits set within local / state law

## ✓ SECURITY

- Presence of a working alarmed security system that provides an auxiliary source of power and signals
- Doors are secured
- Product safely locked away
- Inventory adheres to local / state limits
- Safe is out of sight and secured to floor
- Check ID for every customer to ensure legal age

## ✓ EMPLOYEES

- Follow opening and closing protocols
- Have adequate product training
- Have had criminal background checks
- Are aware of current local / state laws and restrictions
- Offer a consultation to every customer
- Know any impairment in the workplace is not permitted

# ECONOMIC IMPACT

## **ESTIMATED NUMBER OF JOBS CREATED**

- Number for Cultivation: 12 Full time / 6 Part time
- Wage Data Range: \$15 maintenance - \$60 skilled labor

## **ESTIMATED REVENUE TO THE TOWN OF UXBRIDGE**

- Numbers for Cultivation: Gross Sales \$8,712,000 = (Town Impact Fee) \$152,460

## **GENERAL ECONOMIC AND COMMUNITY IMPACT**

- Local Hiring Commitment. We are hoping that many Uxbridge residents apply for jobs at our facility.
- We are committed to supporting local charities with monetary donations.
- We will support local business as much as possible for all of our business needs.
- We are occupying a soon to be vacant warehouse space that just due to its sheer size, could potentially be empty for years.
- We hope that our estimated 50 employees will increase sales to the Town's local stores, restaurants, and small businesses.
- We hope that our impact fees and local taxes help fund local projects including roads, parks, and education.

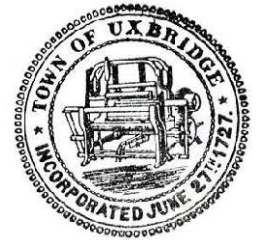
## PREVENTION OF DIVERSION

- We will reserve the right to cancel contracts with any manufactures or cultivators that don't adhere to local and state laws.
- Require all employees and agents to adhere to our policies and procedures set out to prevent diversion, theft or loss of marijuana.
- Development of chain of custody policies and procedures approved by the state.
- Daily product delivery using unmarked vehicles and randomized routes.
- Utilization of appropriate packaging and labeling.
- Storage of Marijuana in compliance with 935 CMR 500.105(11)



## COMMUNITY BENEFITS

- Job Creation
- Enhanced Security
- Community Engagement
- Host Community Agreement
- Charitable Contributions
- Educational Seminars
- Nuisance Abatement
  - Handle and process marijuana in a safe and sanitary manner;
  - Properly remove litter and waste;
  - Minimize development of odor;
  - Minimize potential for waste attraction and harboring pests.



# Cultivation

## Projection

Financial Statements in U.S. Dollars

### Revenue

Gross Sales	8,712,000	
Less: Sales Returns and Allowances		
<b>Net Sales</b>		<b>\$8,712,000.00</b>

### Cost of Goods Sold

Beginning Inventory	8712000	
Add:		
Town Impact Fee	152460	
Total Packaging	110592	
Raw Materials		
Other		
Inventory Available	8975052	
Less: Ending Inventory	8712000	
<b>Cost of Goods Sold</b>		<b>\$263,052.00</b>

### Gross Profit (Loss)

**\$8,448,948.00**

### Expenses

Advertising (see Bkaed Bean)	0	
Plant Nutrients	144000	
Outdoor Security	262800	
Bank Charges	156	
Charitable Contributions	10000	
Commissions	0	
Contract Labor	0	
Depreciation	0	
Dues and Subscriptions	540	
Employee Benefit Programs	0	
Insurance	70000	
Interest	0	
Legal and Professional Fees	15000	
Lab Testing	48000	
Miscellaneous	100000	
Office Expense	7500	
Payroll Taxes	178149	
Postage	500	
Rent	111000	
Repairs and Maintenance	22000	
Supplies	10000	
Telephone	16800	
Travel	2400	
Utilities	447888	
Vehicle Expenses	7800	
Wages	890774	
<b>Total Expenses</b>		<b>\$2,345,306.80</b>

### Net Operating Income

**\$6,103,641.20**

### Other Income

Gain (Loss) on Sale of Assets		
Interest Income		
<b>Total Other Income</b>		<b>\$0.00</b>

### Net Income (Loss)

**\$6,103,641.20**



**THANK YOU!**



**Grass Appeal LLC  
79 River Road  
Uxbridge, MA 01569**



**GrassAppealMA@gmail.com**

### **Plan for Obtaining Liability Insurance:**

Grass Appeal LLC (the “**Company**”) will work with an insurance broker licensed in the Commonwealth of Massachusetts to obtain insurance that meets or exceeds the requirements set forth in 935 CMR 500.105 (10).

Pursuant to 935 CMR 500.105(10) the Company shall obtain and maintain general liability insurance coverage for no less than \$1,000,000 per occurrence and \$2,000,000 in aggregate, annually, and product liability insurance coverage for no less than \$1,000,000 per occurrence and \$2,000,000 in aggregate, annually, or such amount as otherwise approved by the Commission. The deductible for each policy shall be no higher than \$5,000 per occurrence.

Pursuant to 935 CMR 500.105(10)(b) if the Company is unable to obtain minimum liability insurance coverage as required by 935 CMR 500.105(10)(a) the Company will place in escrow (the “**Liability Insurance Escrow Account**”) a sum of no less than Two Hundred and Fifty Thousand and 00/100 (\$250,000.00) or such other amount approved by the Commission, to be expended for coverage of liabilities. If the Company is unable to obtain minimum liability insurance coverage as required by 935 CMR 500.105(10)(a) the Company will properly document such inability through written records that will be retained in accordance with the Company’s Record Retention Policy (incorporated herein by reference). If the Liability Insurance Escrow Account is used to cover such liabilities, it will be replenished within ten (10) business days of such expenditure.

The Company will submit reports documenting compliance with 935 CMR 500.105(10) in a manner and form determined by the Commission pursuant to 935 CMR 500.000.

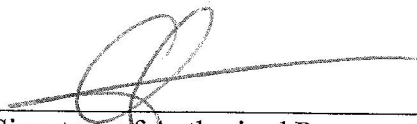
This policy may also be referred to by the Company as the “**Liability Insurance Policy**”.

## Host Community Agreement Certification Form

The applicant and contracting authority for the host community must complete each section of this form before uploading it to the application. Failure to complete a section will result in the application being deemed incomplete. Instructions to the applicant and/or municipality appear in italics. Please note that submission of information that is "misleading, incorrect, false, or fraudulent" is grounds for denial of an application for a license pursuant to 935 CMR 500.400(1).


### Applicant

I, Rich Rainone, (insert name) certify as an authorized representative of Gross Appeal LLC (insert name of applicant) that the applicant has executed a host community agreement with The town of Uxbridge (insert name of host community) pursuant to G.L.c. 94G § 3(d) on 20 Dec 2018 (insert date).

  
\_\_\_\_\_  
Signature of Authorized Representative of Applicant

### Host Community

I, Angelina Ellison, (insert name) certify that I am the contracting authority or have been duly authorized by the contracting authority for Uxbridge (insert name of host community) to certify that the applicant and Uxbridge (insert name of host community) has executed a host community agreement pursuant to G.L.c. 94G § 3(d) on 20 Dec 2018 (insert date).

  
\_\_\_\_\_  
Signature of Contracting Authority or  
Authorized Representative of Host Community

## Community Outreach Meeting Attestation Form

The applicant must complete each section of this form and initial each page before uploading it to the application. Failure to complete a section will result in the application being deemed incomplete. Instructions to the applicant appear in italics. Please note that submission of information that is “misleading, incorrect, false, or fraudulent” is grounds for denial of an application for a license pursuant to 935 CMR 500.400(1).

I, Richard Rainone, (*insert name*) attest as an authorized representative of Grass Appeal LLC (*insert name of applicant*) that the applicant has complied with the requirements of 935 CMR 500 and the guidance for licensed applicants on community outreach, as detailed below.

1. The Community Outreach Meeting was held on November 8, 2018 (*insert date*).
2. A copy of a notice of the time, place, and subject matter of the meeting, including the proposed address of the Marijuana Establishment, was published in a newspaper of general circulation in the city or town on November 1, 2018 (*insert date*), which was at least seven calendar days prior to the meeting. A copy of the newspaper notice is attached as Attachment A (*please clearly label the newspaper notice in the upper right hand corner as Attachment A and upload it as part of this document*).
3. A copy of the meeting notice was also filed on October 31, 2018 (*insert date*) with the city or town clerk, the planning board, the contracting authority for the municipality, and local licensing authority for the adult use of marijuana, if applicable. A copy of the municipal notice is attached as Attachment B (*please clearly label the municipal notice in the upper right-hand corner as Attachment B and upload it as part of this document*).
4. Notice of the time, place and subject matter of the meeting, including the proposed address of the Marijuana Establishment, was mailed on October 30, 2018 (*insert date*), which was at least seven calendar days prior to the community outreach meeting to abutters of the proposed address of the Marijuana Establishment, and residents within 300 feet of the property line of the petitioner as they appear on the most recent applicable tax list, notwithstanding that the land of any such owner is located in another city or town. A copy of one of the notices sent to abutters and parties of interest as described in this section is attached as Attachment C (*please clearly label the municipal notice in the upper right hand corner as Attachment C and upload it as part of this document; please only include a copy of one notice and please black out the name and the address of the addressee*).

5. Information was presented at the community outreach meeting including:
  - a. The type(s) of Marijuana Establishment to be located at the proposed address;
  - b. Information adequate to demonstrate that the location will be maintained securely;
  - c. Steps to be taken by the Marijuana Establishment to prevent diversion to minors;
  - d. A plan by the Marijuana Establishment to positively impact the community; and
  - e. Information adequate to demonstrate that the location will not constitute a nuisance as defined by law.
  
6. Community members were permitted to ask questions and receive answers from representatives of the Marijuana Establishment.

**Attachment A**  
**Newspaper Notice**



**Attachment B**  
**Municipal Notice**

**LEGAL NOTICE OF COMMUNITY OUTREACH MEETING REGARDING AN ADULT-  
USE MARIJUANA ESTABLISHMENT PROPOSED BY GRASS APPEAL LLC**

Notice is hereby given that a Community Outreach Meeting for Grass Appeal LLC's proposed Marijuana Establishment is scheduled for Thursday, November 8, 2018 at 6:30pm at the Uxbridge Town Hall, Lower Meeting Room, 21 S. Main Street, Uxbridge, MA 01569. The proposed Marijuana Cultivator and Marijuana Transporter is anticipated to be located at 79 River Road, Uxbridge, MA 01569. Community members will be permitted, and are encouraged, to ask questions and receive answers from representatives of Grass Appeal LLC.

A copy of this notice is on file with the Town Clerk, Board of Selectmen and the Planning Department, and a copy of this Notice was published in a newspaper of general circulation and mailed at least seven calendar days prior to the community outreach meeting to abutters of the proposed address of the proposed Marijuana Establishment, owners of land directly opposite on any public or private street or way, and abutters to the abutters within three hundred (300) feet of the property line of the petitioner as they appear on the most recent applicable tax list, notwithstanding that the land of any such owner is located in another city or town.

**Attachment C**  
**Abutter Notice**

LEGAL NOTICE OF COMMUNITY OUTREACH MEETING REGARDING AN ADULT-  
USE MARIJUANA ESTABLISHMENT PROPOSED BY GRASS APPEAL LLC

Notice is hereby given that a Community Outreach Meeting for Grass Appeal LLC's proposed Marijuana Establishment is scheduled for Thursday, November 8, 2018 at 6:30pm at the Uxbridge Town Hall, Lower Meeting Room, 21 S. Main Street, Uxbridge, MA 01569. The proposed Marijuana Cultivator and Marijuana Transporter is anticipated to be located at 79 River Road, Uxbridge, MA 01569. Community members will be permitted, and are encouraged, to ask questions and receive answers from representatives of Grass Appeal LLC.

A copy of this notice is on file with the Town Clerk, Board of Selectmen and the Planning Department, and a copy of this Notice was published in a newspaper of general circulation and mailed at least seven calendar days prior to the community outreach meeting to abutters of the proposed address of the proposed Marijuana Establishment, owners of land directly opposite on any public or private street or way, and abutters to the abutters within three hundred (300) feet of the property line of the petitioner as they appear on the most recent applicable tax list, notwithstanding that the land of any such owner is located in another city or town.

**U.S. Postal Service®**  
**CERTIFIED MAIL® RECEIPT**  
*Domestic Mail Only*

USPS® ARTICLE NUMBER

9414 7266 9904 2109 9974 53

Certified Mail Fee	\$	3.45
Return Receipt (Hardcopy)	\$	2.75
Return Receipt (Electronic)	\$	0.00
Certified Mail Restricted Delivery	\$	0.00
Postage	\$	0.68
Total Postage and Fees		6.88



Sent to: [REDACTED]

Daniel Glissman Reference Information  
108213-000

## **Plan to Remain Compliant with Local Zoning**

The Town of Uxbridge amended its zoning code at a town meeting on May 8, 2018 and May 9, 2018, to allow the cultivation, and dispensing of marijuana for adult-use in the Industrial B business zone. Please see the attached town meeting warrant for reference.

Grass Appeal LLC (the “**Company**”), is proposing to develop and operate a Marijuana Establishment at 79 River Road, Uxbridge, MA 01569. This site is located in the Industrial B business zone, which permits the operation of the proposed Marijuana Establishment, specifically a Marijuana Cultivator and Marijuana Retailer (or Marijuana Establishment) by right pursuant to Section 400-23 of the zoning code and the table of use regulations for the Town of Uxbridge.

The Company has discussed its marijuana product manufacturing and transportation facility with town officials, including the building department, police department and fire department, and has appeared before the Board of Selectmen and entered into a host community agreement with the town.

The Company plans to continue to work with officials from the Town of Uxbridge to ensure the operations will have a positive impact on the community and will work diligently to obtain all necessary approvals and permitting.

The Company hereby submits that it will continue to comply with all local and state requirements and its CEO and founder, Rich Rainone will be responsible for ongoing compliance with local and state rules and regulations.

**ARTICLE 17: AMEND THE ZONING BYLAWS FOR MARIJUANA ESTABLISHMENTS AND  
MEDICAL MARIJUANA TREATMENT CENTERS**

To see if the Town will vote to accept Items 1 through 5, inclusive; creating an Industrial-A zone and an Industrial-B zone as shown in the exhibited map, or take any other action relative thereto.

**SPONSOR:** Board of Selectmen

**COMMENTARY:** *This article will allow the appropriate zoning regulations for marijuana facilities.*

**MOTION:** Move that the Town vote to accept Items 1 through 5, inclusive; creating an Industrial-A zone and an Industrial-B zone as shown in the exhibited map, and additionally, to accept Item 6 shown below:



*Item 1*

Amend the Index of the Zoning Bylaws (under **Chapter 400, ZONING BYLAWS, ARTICLE VI, Special Nonresidential Regulations**), by deleting:

§400-23        **Reserved**

, and replacing it with the following:

§400-23        **Marijuana establishments, and medical marijuana treatment centers**

*Item 2*

Amend the list of districts (under **Chapter 400, ZONING BYLAWS, ARTICLE II, Establishment of Districts, §400-7 Establishment**), by deletion of the paragraph:

For the purpose of this By-Law, the Town is divided into the following zoning districts: Residence A (R-A), Residence B (R-B), Residence C (R-C), Agricultural (A), Business (B) and Industrial (I).

, replacing it with the following:

For the purpose of this By-Law, the Town is divided into the following zoning districts: Residence A (R-A), Residence B (R-B), Residence C (R-C), Agricultural (A), Business (B), Industrial A (I-A) and Industrial B (I-B).

*Item 3*

Amend references to existing Industrial zoning districts, (under **Chapter 400, ZONING BYLAWS, ARTICLE VI, Special Nonresidential Regulations, §400-21 Adult Entertainment, C. Location and Uses**), by deletion of the sentence:

Adult Entertainment establishments shall be permitted only in the Industrial zoning district, by special permit of the Planning Board.

, replacing it with the following:

Adult Entertainment establishments shall be permitted only in the Industrial A (I-A) and Industrial B (I-B) zoning districts, by special permit of the Planning Board.

Further amend references to existing Industrial zoning districts, (under **Chapter 400, ZONING BYLAWS, ARTICLE X, Definitions, Uncodified Zoning By-Law Amendment Life Science and Life Science Technology, A. Life Science and Life Science Technology**), by deletion of the sentence:

Life Science and Life Science Technology in the Town of Uxbridge shall be undertaken only in the following zoning districts; Industrial and Business zones.

, replacing it with the following:

Life Science and Life Science Technology in the Town of Uxbridge shall be undertaken only in the following zoning districts; Industrial A (I-A) and Industrial B (I-B) and Business zones.

*Item 4*

Amend the body of the Zoning Bylaws (under **Chapter 400, ZONING BYLAWS, ARTICLE VI, Special Nonresidential Regulations**) by deleting

§400-23        **Reserved**

, replacing it with the following:

§400-23        **Marijuana establishments, and medical marijuana treatment centers**

A. Definitions

Under Chapter 400, ZONING BYLAWS, the following definitions shall be provided:

1. Consumer - a person who is at least 21 years of age.
2. Host community – The Town of Uxbridge.
3. Host community agreement – an agreement setting forth the conditions to have a marijuana establishment or medical marijuana treatment center located within the host community which shall include, but not be limited to, all stipulations of responsibilities between the host community and the marijuana establishment or a medical marijuana treatment center.
4. Marijuana - all parts of any plant of the genus Cannabis, not excepted below and whether growing or not; the seeds thereof; and resin extracted from any part of the plant; and every compound, manufacture, salt, derivative, mixture or preparation of the plant, its seeds or resin including tetrahydrocannabinol as defined in section 1 of chapter 94C of the Massachusetts General Laws; provided that Marijuana' shall not include:
  - a. The mature stalks of the plant, fiber produced from the stalks, oil, or cake made from the seeds of the plant, any other compound, manufacture, salt, derivative, mixture or preparation of the mature stalks, fiber, oil, or cake made from the seeds of the plant or the sterilized seed of the plant that is incapable of germination;
  - b. Hemp; or
  - c. The weight of any other ingredient combined with marijuana to prepare topical or oral administrations, food, drink or other products.
5. Marijuana cultivator - an entity licensed to cultivate, process and package marijuana, to deliver marijuana to marijuana establishments and to transfer marijuana to other marijuana establishments, but not to consumers.
6. Marijuana establishment - a marijuana cultivator, marijuana testing facility, marijuana research facility, marijuana product manufacturer, marijuana retailer or any other type of licensed marijuana-related business.
7. Medical marijuana treatment center - shall mean an entity, as defined by Massachusetts law only, that acquires, cultivates, possesses, processes (including development of related products such as food, tinctures, aerosols, oils, or ointments), transfers, transports, sells, distributes, dispenses, or administers marijuana, products containing marijuana, related supplies, or educational materials to qualifying patients or their personal caregivers.
8. Marijuana testing facility - an entity licensed to test marijuana and marijuana products, including certification for potency and the presence of contaminants.
9. Marijuana research facility – an entity licensed to cultivate, purchase or acquire marijuana to conduct research regarding marijuana and marijuana products.
10. Marijuana products - products that have been manufactured and contain marijuana or an extract from marijuana, including concentrated forms of marijuana and products composed of marijuana and other ingredients that are intended for use or consumption, including edible products, beverages, topical products, ointments, oils and tinctures.
11. Marijuana product manufacturer - an entity licensed to obtain, manufacture, process and package marijuana and marijuana products, to deliver marijuana and marijuana products to marijuana establishments and to transfer marijuana and marijuana products to other marijuana establishments, but not to consumers.

12. Marijuana retailer - an entity licensed to purchase and deliver marijuana and marijuana products from marijuana establishments and to deliver, sell or otherwise transfer marijuana and marijuana products to marijuana establishments and to consumers.

B. Number of Marijuana Establishments and Medical Marijuana Treatment Centers

1. The maximum number of marijuana retailers shall be no more 20 per cent the total number of licenses which have been issued within Uxbridge for the retail of alcoholic beverages not to be drunk on the premises for the preceding fiscal year, or three in total, whichever the greater.
2. The maximum number of marijuana cultivators, marijuana testing facilities, research facilities, marijuana product manufacturer or any other type of licensed marijuana-related business (exclusive of marijuana retailers or marijuana treatment centers) shall be no more than three in total.
3. The maximum number of medical marijuana treatment centers shall be no more than three.

C. Location and Uses

Marijuana establishments or medical marijuana treatment centers are prohibited in all zoning districts, except as otherwise permitted by these Bylaws, following the standards herein:

1. The Board of Selectman shall negotiate and execute a Host Community Agreement (HCA) with the proposed marijuana establishment or medical marijuana treatment center.
2. Any marijuana establishment or medical marijuana treatment center must be located within whichever district permissible under Appendix A, Table of Use Regulations.
3. Said uses shall additionally not be located within 750 feet from the nearest school providing education for grades K-12

The distances specified above shall measure by straight line from the nearest corner of the building on which the proposed said use is to be located, to the nearest boundary line to the nearest property line of the school.

4. Except during transportation, marijuana or marijuana products held at any marijuana establishment or medical marijuana facility shall be located within a secure indoor facility.
5. No use covered herein shall be allowed to disseminate or offer to disseminate marijuana products or product advertising to minors or to allow minors to view displays or linger on the premises, except for medical marijuana treatment centers.
6. No use covered herein shall be allowed to have a freestanding accessory sign in the Town of Uxbridge.

D. Enforcement and Violations

The Board of Selectmen, or its designee, shall enforce these regulations and may pursue all available remedies for violations, or take any other action relative thereto.

Violations of any provision of this Bylaw may be addressed administratively; by non-criminal disposition as provided in MGL Chapter 40 §21D with fine of \$300 per violation; or prosecuted through criminal complaint procedure.

Each day a violation occurs shall be considered a separate violation hereunder.

E. Municipal Charges Lien

If any fine remains unpaid after six (6) months from its due date, it shall become a municipal charge lien pursuant to the provisions of MGL Chapter 40, Section 58. If the bill(s) remains unpaid when the Assessors are preparing a real estate tax list and warrant to be committee under MGL Chapter 59, Section 53, the Board or officer in charge of the collection of the municipal fee or charge shall certify such charge or fee to the Assessors, who shall add such to the tax bill on the property to which it relates and commit it with their warrant to the Tax Collector as part of such tax bill.

F. Validity and Severability

The invalidity of one or more sections, subsections, clauses or provisions of this bylaw shall not invalidate or impair the bylaw as a whole or any other part thereof

**Item 5**

Amend Zoning Bylaws, Appendix A, Table of Use Regulations, by replacing it with Appendix B: Or take any other action relating thereto.

**Appendix B  
Table of Use Regulations**

USE	DISTRICTS							
	R-A	R-B	R-C	A	B	I-A	I-B	
<u>A. Residential Uses</u>								
Apartment house	Y	N	N	N	N	N	N	
Conservation design development	N	N	N	PB	N	N	N	
Open space development	PB	PB	N	N	N	N	N	
Single-family dwelling	Y	Y	Y	Y	N	N	N	
Townhouse development	PB	N	N	N	N	N	N	
Two-family/duplex dwelling	Y	Y	N	N	N	N	N	
<u>B. Exempt and Institutional Uses</u>								
Child care facility	Y	Y	Y	Y	Y	Y	Y	
Educational use, nonexempt	ZBA	ZBA	ZBA	N	N	N	N	
Essential services	Y	Y	Y	Y	Y	Y	Y	
Facility for the sale of produce, and wine and dairy products, provided that during the months of June, July, August and September of every year, or during the harvest season of the primary crop, the majority of such products for sale, based on either gross sales dollars or volume, have been produced by the owner of the land containing more than five acres in area on which the facility is located	Y	Y	Y	Y	Y	Y	Y	
Hospital or other medical institution	ZBA	ZBA	ZBA	N	N	N	N	
Municipal facility	Y	Y	Y	Y	Y	Y	Y	
Use of land for the primary purpose of agriculture, horticulture, floriculture, or viticulture on a parcel of more than five (5) acres in area	Y	Y	Y	Y	Y	Y	Y	

Use of land or structures for educational purposes on land owned or leased by the Commonwealth or any of its agencies, subdivisions or bodies politic or by a religious sect or denomination, or by a nonprofit educational corporation	Y	Y	Y	Y	Y	Y	Y
Use of land or structures for religious purposes	Y	Y	Y	Y	Y	Y	Y
<u>C. Agricultural Uses</u>							
Farm, truck garden, nursery or greenhouse with less than five (5) acres	ZBA	ZBA	ZBA	Y	N	N	N
Farm, truck garden, nursery, greenhouse or other agricultural or horticultural Use	N	N	N	Y	N	N	N
Nonexempt agricultural use	ZBA	ZBA	N	ZBA	N	N	N
<u>D. Commercial Uses</u>							
Adult entertainment establishment	N	N	N	N	N	PB	PB
Airport or landing field, commercial	N	N	N	N	N	N	N
Animal clinic or hospital; kennel	N	N	N	Y	N	N	N
Bank, financial agency	N	N	N	N	Y	Y	Y
Bed and breakfast establishment	ZBA	ZBA	N	N	N	N	N
Billboards, including any sign of more than forty (40) square feet	N	N	N	N	N	N	N
Boarding house	ZBA	N	N	N	N	N	N
Business or professional office, including medical	ZBA	N	N	N	Y	Y	Y
Commercial recreation, indoor	N	N	N	N	Y	Y	Y
Commercial recreation, outdoor	N	N	N	Y	N	N	N
Funeral home	ZBA	ZBA	ZBA	N	N	N	N
Garaging and maintaining more than three (3) automobiles of the passenger Type	ZBA	N	ZBA	N	ZBA	ZBA	ZBA
Gasoline or oil filling station	N	N	N	N	ZBA	ZBA	ZBA
Hotel or motel located on a tract of land at least two (2) acres in area and at least 150 feet from any permanent residential building	N	N	N	Y	Y	Y	Y
Laundry or laundromat; dry cleaning establishment	N	N	N	N	ZBA	ZBA	ZBA
Life Science and Life Science Technology	N	N	N	N	Y	Y	Y
<b>Marijuana establishment</b>	N	N	N	N	N	N	Y
<b>Medical marijuana treatment center</b>	N	N	N	N	N	N	Y
Nursing or convalescent home; home for the aged	ZBA	ZBA	ZBA	N	N	N	N
Personal service establishment	N	N	N	N	Y	Y	Y
Private club, nonprofit	ZBA	ZBA	N	ZBA	N	N	N
Private stable, nonprofit	ZBA	ZBA	ZBA	ZBA	N	N	N
Racetrack	N	N	N	N	N	N	N
Restaurant; diner	ZBA	N	N	Y	Y	Y	Y
Retail stores and/or services	ZBA	N	N	N	Y	Y	Y

Shopping center	N	N	N	N	Y	Y	Y
<u>E. Industrial Uses</u>							
Blacksmith shop	N	N	N	N	N	ZBA	ZBA
Contractor's yard	N	N	N	N	N	ZBA	ZBA
Earth removal	ZBA	ZBA	ZBA	BI	N	N	N
Electrical generating facilities with a capacity of 350 megawatts or less on a minimum site area of 15 acres using natural gas, renewable and ultra low sulfur fuels, wind.	N	N	N	N	N	PB	PB
Electrical generating facility; cogeneration facility	N	N	N	N	N	N	N
Junkyard or automobile graveyard	N	N	N	N	N	N	N
Lumber, fuel or ice establishment	N	N	N	N	ZBA	ZBA	ZBA
Manufacture, storage, transportation or disposal of hazardous material	N	N	N	N	N	N	N
Manufacturing establishment	N	N	N	N	N	PB	PB
Solar Photovoltaic Ground Mounted Installation Solar Farm	N	PB	PB	PB	PB	PB	PB
Stone mason yard	N	N	N	N	N	ZBA	ZBA
<u>F. Other Uses</u>							
Airport or landing field, noncommercial	N	N	N	Y	N	N	N
Cemetery or crematory, nonprofit	ZBA	ZBA	ZBA	ZBA	N	N	N
Penitentiary	N	N	N	N	N	N	N
<u>F. Accessory Uses</u>							
Home occupation	Y	Y	Y	Y	N	N	N
Juice Bar, as an accessory use to a private club, restaurant or country club	N	N	N	ZBA	N	N	N
Retail trade or shop for manufacturing articles incidental to and as an accessory use to a retail business	ZBA	N	N	N	Y	Y	Y

**Appendix B  
Table of Dimensional Requirements**

District	Minimum lot size (sq. ft.)	Setbacks, principal use (ft.)			Setbacks, detached garage or accessory use (ft.)			Frontage (ft.)		Height	
		Front <sup>1</sup>	Side	Rear	Front <sup>2</sup>	Side	Rear	Interior Lot	Corner Lot	Maximum height (ft.)	Maximum number of stories
R-A	20000 <sup>1</sup>	30	25	30	65	5	5	125	140	35	2.5
R-B	43,560	30	25	30	65	5	5	185	200	35	2.5
R-C	43,560	40	30	Lesser of 40 ft. or 25% of lot depth, if at least 30 ft.	75	10	10	200	200	35	2.5
A	87,120	40	30	Lesser of 40 ft. or 25% of lot depth, if at least 30 ft.	75	10	10	300	300	35	2.5
B	15,000	30	25	30	65	5	5	125	140	45	3
I-A	30,000	30	30	20	30	30	20	175	200	45	3
I-B	30,000	30	30	20	30	30	20	175	200	45	3

<sup>1</sup> In the case of a corner lot, the front setback requirement applies on both streets.

<sup>2</sup> See Footnote 1.

<sup>3</sup> Plus, for an Apartment House, 8,000 square feet per additional apartment unit over (1), up to four (4) apartment units per lot.

*Vote required for passage: Zoning bylaw amendments requires a 2/3rds vote per M.G.L. Ch. 40A*

**THE FINANCE COMMITTEE RECOMMENDATION: Favorable Action: (6-1)**

This article amends the zoning bylaws to allow marijuana growing, testing and selling facilities in the industrial zone.

**THE BOARD OF SELECTMEN RECOMMENDATION: Favorable Action (5-0-0)**

**THE PLANNING BOARD RECOMMENDATION: Favorable Action (5-0-0)**

The motion was seconded

Moderator declares a 2/3rds majority vote, motion carries, 114-yes, 44-no

Motion to take Article 27 Out of order

The motion was seconded

Moderator declares a Simple majority vote, motion carries, 79-yes, 57-no

**ARTICLE 27: AMEND THE TABLE OF USE RELATIVE TO WAREHOUSE & DISTRIBUTION IN THE INDUSTRIAL ZONE(S)**

To see if the Town will vote to amend Zoning Bylaws in Appendix A, Table of Use Regulations, under E. Industrial Uses, by adding the following row: Or take any other action related thereto.

SPONSOR: Planning Board

**COMMENTARY** *This article will amend the zoning table to be inclusive*

**MOTION:** *Move to accept the motion as written and to include table*

USE	DISTRICTS						
	R-A	R-B	R-C	A	B	I-A	I-B
<u>E. Industrial Uses</u>							
Warehouse and/or distribution	N	N	N	N	N	Y	Y

*Vote required for passage: Requires a 2/3rds vote per M.G.L. c.40A §5*

**FINANCE COMMITTEE RECOMMENDATION: Favorable Action: (7-0)**

This article adds to the table of uses "Warehouses & Distribution". This modification will support economic development within the Town.

**BOARD OF SELECTMEN RECOMMENDATION: Favorable Action (4-1-0)**

**PLANNING BOARD RECOMMENDATION: Favorable Action (5-0-0)**

The motion was seconded

Moderator declares a 2/3rds majority vote, motion carries, 127-yes, 13-no

**ARTICLE 18: AUTHORIZATION FOR LOCAL TAX OPTION UPON SALE OR TRANSFER OF MARIJUANA OR MARIJUANA PRODUCTS BY MARIJUANA RETAILERS**

To see if the Town will vote to authorize, pursuant to M.G.L. Chapter 64N, Section 3, the creation of local sales tax upon the sale or transfer of marijuana or marijuana products by marijuana retailers of the Town of Uxbridge, at a rate of 3% of the gross receipts of the vendor. Said excise shall take effect on July 1, 2018, or take any other action relative thereto.

SPONSOR: Board of Selectmen



**The Commonwealth of Massachusetts**  
**William Francis Galvin**

Minimum Fee: \$500.00

Secretary of the Commonwealth, Corporations Division  
 One Ashburton Place, 17th floor  
 Boston, MA 02108-1512  
 Telephone: (617) 727-9640

**Certificate of Organization**  
 (General Laws, Chapter )

Identification Number: 001346988

1. The exact name of the limited liability company is: GRASS APPEAL LLC

2a. Location of its principal office:

No. and Street: 504 OUAKER HIGHWAY State: MA Zip: 02569 Country: USA  
 City or Town: UXBRIDGE

2b. Street address of the office in the Commonwealth at which the records will be maintained:

No. and Street: 504 OUAKER HIGHWAY State: MA Zip: 02569 Country: USA  
 City or Town: UXBRIDGE

3. The general character of business, and if the limited liability company is organized to render professional service, the service to be rendered:  
MANUFACTURING AND WHOLESALE

4. The latest date of dissolution, if specified:

5. Name and address of the Resident Agent:

Name: RICH RAINONE State: MA Zip: 01569 Country: USA  
 No. and Street: 504 OUAKER HIGHWAY  
 City or Town: UXBRIDGE

I, **RICH RAINONE** resident agent of the above limited liability company, consent to my appointment as the resident agent of the above limited liability company pursuant to G. L. Chapter 156C Section 12.

6. The name and business address of each manager, if any:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
MANAGER	RICH RAINONE	504 OUAKER HIGHWAY UXBRIDGE, MA 02569

7. The name and business address of the person(s) in addition to the manager(s), authorized to execute documents to be filed with the Corporations Division, and at least one person shall be named if there are no managers.

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code

8. The name and business address of the person(s) authorized to execute, acknowledge, deliver and record any recordable instrument purporting to affect an interest in real property:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
REAL PROPERTY	RICH RAINONE	504 QUAKER HIGHWAY UXBRIDGE, MA 02569

9. Additional matters:

**SIGNED UNDER THE PENALTIES OF PERJURY, this 20 Day of September, 2018,**  
**RICH RAINONE**

*(The certificate must be signed by the person forming the LLC.)*

THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

September 20, 2018 02:23 PM

A handwritten signature in black ink, reading "William Francis Galvin". The signature is written in a cursive style with a large initial "W" and "F".

WILLIAM FRANCIS GALVIN

*Secretary of the Commonwealth*

# GRASS APPEAL

79 River Road, Uxbridge MA

From: Richard Rainone, Owner, Grass Appeal LLC  
To: Cannabis Control Commission, Commonwealth of Massachusetts  
Re: RFI NOTICE\_v.1\_GRASS APPEAL LLC\_MRN282267

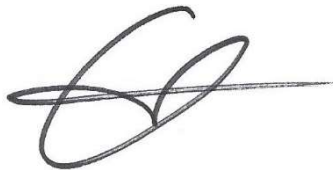
To whom it may concern,

The Cannabis Control Commission sent a notice that additional information was required from me in regards to my pending retail application (MRN282267) in reference to the name "Grass Appeal LLC" and it's reasonable colloquial reference to marijuana, and how it will be used.

The CCC suggests in their notice that we may want to consider using a DBA name for the logo, signage, and packaging that conforms with the with the state regulations - 935 CMR 500.105(4).

***I agree and confirm that Grass Appeal LLC, Grass Appeal, Grass, or any other similar references will not be on any logo, signage, or be used for packaging, as it is prohibited under 935 CMR 500.105(4). I will indeed use a DBA that conforms to these requirements as suggested by the CCC.***

Thank you for your support in the matter.

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke extending to the right.

Richard Rainone, Owner  
Grass Appeal LLC  
Veteran, United States Marine Corps (USMC)

# Grass Appeal LLC

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## OPERATING AGREEMENT

### A Massachusetts Limited Liability Company

**THIS OPERATING AGREEMENT** of Grass Appeal LLC (the “**Company**”) is made effective as of the 20<sup>th</sup> day of September, 2018 by and between Rich Rainone as the Manager of the Company (the “**Manager**”), and the person executing this agreement as the sole member of the Company (the “**Member**”).

**NOW THEREFORE** the Member, the Manager and the Company agree as follows:

### ARTICLE 1 - DEFINED TERMS

Section 1.1 **Definitions.** In addition to the terms defined elsewhere in this Agreement, the terms defined in this Article shall, for the purposes of this Agreement, have the meanings herein specified.

(a) “**Act**” means the Massachusetts Limited Liability Company Act, as the same may be amended from time to time (M.G.L. c. 156C).

(b) “**Certificate**” means the Certificate of Organization of the Company and any and all amendments thereto and restatements thereof filed on behalf of the Company pursuant to the Act.

(c) “**Code**” means the United States Internal Revenue Code of 1986, as amended from time to time, or any corresponding Federal tax statute enacted after the date of this Agreement.

(d) “**Person**” includes any individual, corporation, association, partnership (general or limited), joint venture, trust, estate, limited liability company, or other legal entity or organization.

(e) “**Profits**” or “**Losses**” means, for each fiscal year, an amount equal to the Company’s taxable income or loss for such fiscal year, determined in accordance with Section 703, 704 and 705 of the Code (but including in taxable income or loss, for this purpose, all items of income, gain, loss or deduction required to be stated separately pursuant to Section 703(a)(1) of the Code), with such adjustments as are required by the Code or are deemed necessary or desirable by the Manager.

(f) “**Regulations**” means the income tax regulations, including temporary regulations, promulgated under the Code, as such regulations may be amended from time to time (including corresponding provisions of succeeding regulations).

### ARTICLE 2 - FORMATION AND TERM

Section 2.1 **Formation.** The Member and the Company agree to form the Company as a limited liability company pursuant to the provisions of the Act, and agree that the rights, duties and liabilities of the Members shall be as provided in the Act, except as otherwise provided herein. The Manager shall execute, deliver and file the Certificate and any and all amendments thereto and restatements thereof.

Section 2.2 **Term.** The term of the Company shall commence on the date the Certificate is filed with the Massachusetts Secretary of State’s Office, and shall continue until the Company is dissolved in accordance with the provisions of this Agreement and the Act.

Section 2.3 **Registered Agent and Office.** The Company’s registered agent and office shall be Richard Rainone, 79 River Rd, Uxbridge, MA 01569. At any time, the Manager may designate another

registered agent and/or registered office.

Section 2.4 Principal Place of Business. The principal place of business of the Company shall be located at 79 River Rd, Uxbridge, MA 01569. At any time, the Manager may change the location of the Company's principal place of business.

Section 2.5 Purpose. The purpose of the Company shall be to engage in the cultivation, transportation and distribution of cannabis, and to engage in any all business in which a Massachusetts limited liability company is authorized to engage.

### **ARTICLE 3 - MEMBER**

Section 3.1 Powers of Member. No Member shall have any power with regard to the management of the Company except pursuant to the express terms of this Agreement.

Section 3.2 Distributions. Distributions to the Member shall be made as, if and when the Manager determine(s) that such distributions are appropriate in his/her/their sole discretion.

### **ARTICLE 4 - CAPITAL ACCOUNTS, CONTRIBUTIONS AND ALLOCATIONS**

Section 4.1 Capital Accounts. A Capital Account shall be established and maintained for the Member. The Capital Account of the Member shall be maintained in accordance with Code Section 704(b) and the regulations issued thereunder. The Member has made a Capital Contribution to the Company and shall have initial Capital Account balances equal to the amount of his/her/its Capital Contribution.

Section 4.2 Profits and Losses. Profits and losses shall be allocated in a manner complying with the requirements of Code Sections 704, 705 and 706 and the Treasury Regulations thereunder.

Section 4.3 Member's Interest. The Member has no interest in specific Company property, unless and until distributed to such Member.

Section 4.4 Status of Capital Contributions. The Member shall not be required to lend any funds or make Capital Contributions to the Company or to make any additional Capital Contributions to the Company.

### **ARTICLE 5 - MANAGEMENT**

Section 5.1 Management of the Company. The Company shall be managed exclusively by the Manager. The Manager shall have full, sole and exclusive and complete discretion, right, power, and authority to manage, control and make all decisions affecting the business and affairs of the Company and to do or cause to be done any and all acts, at the expense of the Company on the terms provided herein, deemed by the Manager to be necessary or appropriate to effectuate the business, purposes and objectives of the Company as set forth in this Agreement. The Manager, with the consent of the Member, may amend this Agreement. A Manager may resign by giving at least five (5) days written notice to all of the Members. A Manager may be removed by the Member by an instrument in writing.

Section 5.2. Limitation of Liability. Except to the extent that the Massachusetts General Laws prohibits the elimination or limitation of liability of Manager for breaches of fiduciary duty, no Manager shall be personally liable to the Company or its Member for monetary damages for any breach of fiduciary duty as a Manager, officer or agent of the Company, notwithstanding any provision of law imposing such liability. No amendment to or repeal of this provision shall apply to or have any effect on the liability or alleged liability of any Manager for or with respect to any acts or omissions occurring prior to such amendment.

Section 5.3 Indemnification. The Company shall, to the fullest extent permitted by law, indemnify any Manager made, or threatened to be made, a party to an action or proceeding, whether

criminal, civil, administrative or investigative, by reason of being a Manager, officer or agent of the Company or any predecessor entity, provided, however, that the Company shall indemnify any such director or officer in connection with a proceeding initiated by such Manager, officer or agent only if such proceeding was authorized by the Members of the Company.

(a) The indemnification provided for in this Section 5.3 shall: (i) not be deemed exclusive of any other rights to which those indemnified may be entitled under this Agreement or any other agreement or vote of members or disinterested managers or otherwise, both as to action in their official capacities and as to action in another capacity while holding such office, (ii) continue as to a person who has ceased to be a Manager, officer or agent of the Company, and (iii) inure to the benefit of the heirs, executors and administrators of a person who has ceased to be a Manager, officer or agent of the Company. The Company's obligation to provide indemnification under this Section 5.3 shall be offset to the extent of any other source of indemnification or any otherwise applicable insurance coverage under a policy maintained by the Company or any other person.

(b) Expenses incurred by a Manager, officer or agent of the Company of the Company in defending a civil or criminal action, suit or proceeding by reason of the fact that he or she is or was a Manager, officer or agent of the Company shall be paid by the Company in advance of the final disposition of such action, suit or proceeding upon receipt of an undertaking by or on behalf of such Manager, officer or agent of the Company to repay such amount if it shall ultimately be determined that applicable law requires that he or she not be indemnified by the Company. Notwithstanding the foregoing, the Company shall not be required to advance such expenses to a Manager, officer or agent of the Company who is a party to an action, suit or proceeding brought by the Company that alleges willful misappropriation of corporate assets, disclosure of confidential information or any other willful and deliberate breach by such Manager, officer or agent of the Company of his or her duty to the Company or its members.

(c) The foregoing provisions of this Section 5.3 shall be deemed to be a contract between the Company and each Manager, officer or agent who serves in such capacity at any time while this Agreement is in effect, and any repeal or modification thereof shall not affect any rights or obligations then existing with respect to any state of facts then or theretofore existing or any action, suit or proceeding theretofore or thereafter brought based in whole or in part upon any such state of facts.

Section 5.4 Reliance by Third Parties, Apparently Authority. Any person dealing with the Company may rely on a certificate signed by the Manager as to any of the following: (i) the identity of the Members and Manager hereunder; (ii) the existence or nonexistence of any fact or facts which constitute conditions precedent to acts by the Manager or the Members or are in any other manner germane to the affairs of this Company; (iii) whether a specified Person is authorized to execute and deliver any instrument or document of the Company; (iv) the authenticity of any copy of this Agreement and amendments thereto; or (v) any act or failure to act by the Company or as to any other matter whatsoever involving the Company or any Member or Manager.

## **ARTICLE 6 - BOOKS AND RECORDS**

Section 6.1 Books and Records. At all times during the continuance of the Company, the Company shall maintain at its registered office and principal place of business all records and materials the Company is required to maintain at such location under the Act.

## **ARTICLE 7 - DISSOLUTION, LIQUIDATION AND TERMINATION**

Section 7.1 Events Causing Dissolution. The Company shall be dissolved and its affairs shall be wound up upon the occurrence of any of the following events: (a) upon the election of the Member; (b) the entry of a decree of judicial dissolution under the Act; or (d) the sale or disposition of all or substantially all of the property of the Company.

Section 7.2 Liquidation. Upon dissolution of the Company, the Manager shall carry out the winding up of the Company and shall immediately commence to wind up the Company's affairs; provided, however, that a reasonable time shall be allowed for the orderly liquidation of the assets of the Company and the satisfaction of liabilities to creditors so as to enable the Members to minimize the normal losses attendant upon a liquidation. The Company shall terminate when all of the assets of the Company, after payment of or due provision for all debts, liabilities and obligations of the Company, have been distributed to the Members in the manner provided for in this Article and the Certificate has been cancelled in the manner required by the Act.

**IN WITNESS WHEREOF**, the parties hereto have executed this Agreement to be effective as of the date first above written.

**SOLE MEMBER:**



By: Rich Rainone

**MANAGER:**



By: Rich Rainone



mass.gov/dor

## CERTIFICATE OF GOOD STANDING AND/OR TAX COMPLIANCE



GRASS APPEAL  
79 RIVER RD # UXBRIDGE  
UXBRIDGE MA 01569-2246

### *Why did I receive this notice?*

The Commissioner of Revenue certifies that, as of the date of this certificate, GRASS APPEAL is in compliance with its tax obligations under Chapter 62C of the Massachusetts General Laws.

This certificate doesn't certify that the taxpayer is compliant in taxes such as unemployment insurance administered by agencies other than the Department of Revenue, or taxes under any other provisions of law.

**This is not a waiver of lien issued under Chapter 62C, section 52 of the Massachusetts General Laws.**

### *What if I have questions?*

If you have questions, call us at (617) 887-6400 or toll-free in Massachusetts at (800) 392-6089, Monday through Friday, 8:30 a.m. to 4:30 p.m..

### *Visit us online!*

Visit [mass.gov/dor](http://mass.gov/dor) to learn more about Massachusetts tax laws and DOR policies and procedures, including your Taxpayer Bill of Rights, and MassTaxConnect for easy access to your account:

- Review or update your account
- Contact us using e-message
- Sign up for e-billing to save paper
- Make payments or set up autopay

Edward W. Coyle, Jr., Chief  
Collections Bureau



*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

William Francis Galvin  
Secretary of the  
Commonwealth

May 6, 2019

TO WHOM IT MAY CONCERN:

I hereby certify that a certificate of organization of a Limited Liability Company was filed in this office by

**GRASS APPEAL LLC**

in accordance with the provisions of Massachusetts General Laws Chapter 156C on **September 20, 2018.**

I further certify that said Limited Liability Company has filed all annual reports due and paid all fees with respect to such reports; that said Limited Liability Company has not filed a certificate of cancellation or withdrawal; and that said Limited Liability Company is in good standing with this office.

I also certify that the names of all managers listed in the most recent filing are: **RICH RAINONE**

I further certify, the names of all persons authorized to execute documents filed with this office and listed in the most recent filing are: **RICH RAINONE**

The names of all persons authorized to act with respect to real property listed in the most recent filing are: **RICH RAINONE**

In testimony of which,

I have hereunto affixed the

Great Seal of the Commonwealth

on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth



# *Grass Appeal LLC*

## **Retail Dispensing Procedures**

Grass Appeal LLC (the “**Company**”) shall not sell or otherwise market for adult use any marijuana product, including marijuana, that has not first been tested by an Independent Testing Laboratory, except as allowed under 935 CMR 500.000: *Adult Use of Marijuana*. Currently, the Company is only seeking licenses for an Adult Use Marijuana Establishment.

Pursuant to 935 CMR 500.140, the Company shall immediately inspect an individual’s proof of identification and determine that the individual is 21 years of age or older upon entry to the Marijuana Establishment. The Company shall also inspect an individual’s proof of identification at the point of sale and determine that the individual is 21 years of age or older.

The Company shall not acquire, or record, a customer’s personal information other than information typically required in a retail transaction, which can include identifying information to determine the customer’s age. The Company shall not record or retain any additional personal information from the customer without the customer’s voluntary written permission.

If the Company enters into a “Delivery Agreement” with Delivery-only licensees, as those terms are defined in 935 CMR 500.002, the purpose of transacting home deliveries to consumers shall establish a pre-verification process for consumers who intend to place orders for delivery with the Company. To comply with the requirements of pre-verification, the Company shall:

- (1) Require the consumer to pre-verify with the company either in person or through a Commission-approved electronic means by presenting or submitting the consumer’s valid, unexpired government-issued photo identification; and
- (2) Examine the identification and verify that the individual consumer presenting or submitting the identification is the individual consumer that matches the identification and that the individual consumer is 21 years of age or older.

The Company shall collect and maintain relevant information about the individual consumer, for the purpose of transacting a home delivery and ensuring that the recipient of a home delivery under 935 CMR 500.145 is legally allowed to receive marijuana and marijuana products, including:

- a. The individual's name;
- b. The individual's date of birth;
- c. The individual's address;
- d. The individual's primary telephone number; and
- e. The individual's email address.

## *Grass Appeal LLC*

Any such information collected by the Company shall be used solely for the purpose of transacting a delivery of marijuana or marijuana products under 935 CMR 500.145 and shall be otherwise maintained confidentially.

### Limitation on Sales.

In accordance with M.G.L. c. 94G, § 7 and 935 CMR 500.140(4) and 5(c), the Company shall not knowingly sell more than one (1) ounce of marijuana or its combined dry weight equivalent in marijuana concentrate or edible marijuana products to a retail customer per day.

1. One (1) ounce of marijuana flower shall be equivalent to five (5) grams of active tetrahydrocannabinol (THC) in marijuana concentrate including, but not limited to, tinctures.
2. One (1) ounce of marijuana flower shall be equivalent to five hundred (500) milligrams of active tetrahydrocannabinol (THC) in edible marijuana products.

Topicals and ointments shall not be subject to a limitation on daily sales.

The Company shall not sell marijuana or marijuana products in excess of the potency levels established by 935 CMR 500.150(4); and

The Company shall demonstrate that its Point-of-sale System does not allow for a transaction in excess of the limit established in 935 CMR 935.140(3)(a), the potency levels established in 935 CMR 935.140(3)(b), or its policies and procedures.

### Unauthorized Sales and Right to Refuse Sales.

- (a) The Company shall refuse to sell marijuana to any consumer who is unable to produce valid proof of identification.
- (b) Registered dispensary agents shall refuse to sell marijuana products to a consumer if, in the opinion of the agent, based on the information available to the agent at that time, the consumer or the public would be placed at risk, specifically including, but not limited to, a consumer engaging in daily transactions that exceed the legal possession limits or that create a risk of diversion.
- (c) The Company shall not sell to an individual more than one ounce of marijuana or its dry weight equivalent in marijuana concentrate or edible marijuana product per transaction.
- (d) The Company shall not knowingly sell to an individual more than one (1) ounce of marijuana or its dry weight equivalency per day.
- (e) The Company shall not sell marijuana products containing nicotine.
- (f) The Company shall not sell marijuana products containing alcohol, if sales of such alcohol would require licensure pursuant to M.G.L. c. 138.

## *Grass Appeal LLC*

### Recording Sales.

- (a) The Company shall utilize a point-of-sale (“**POS**”) system approved by the Commission, in consultation with the Massachusetts Department of Revenue (“**DOR**”).
- (b) The Company may also utilize a sales recording module approved by the DOR.
- (c) The Company shall not utilize any software or other methods to manipulate or alter sales data at any time or under any circumstances.
- (d) The Company shall conduct a monthly analysis of its equipment and sales data to determine that no software has been installed that could be utilized to manipulate or alter sales data and that no other methodology has been employed to manipulate or alter sales data. The Company shall maintain records that it has performed the monthly analysis and produce it on request to the Commission. If the Company determines that software has been installed for the purpose of manipulation or alteration of sales data or other methods have been utilized to manipulate or alter sales data:
  - a. it shall immediately disclose the information to the Commission;
  - b. it shall cooperate with the Commission in any investigation regarding manipulation or alteration of sales data; and
  - c. take such other action directed by the Commission to comply with 935 CMR 500.105.
- (e) The Company shall comply with 830 CMR 62C.25.1: Record Retention and DOR Directive 16-1 regarding recordkeeping requirements.
- (f) The Company shall adopt separate accounting practices at the POS for marijuana and marijuana product sales, and non-marijuana sales.
- (g) The Company shall allow the Commission and the DOR audit and examine the POS system used by a retailer in order to ensure compliance with Massachusetts tax laws and 935 CMR 500.000: *Adult Use of Marijuana*;

### Consumer Education.

The Company is committed to ensuring its customers and the consumers of its products have access to education materials. Accordingly, the Company shall implement the following policies and procedures:

- a) The Company shall make available an adequate supply of educational materials about marijuana products to consumers.
- b) The Company shall maintain an adequate supply of current educational material available for distribution.

## *Grass Appeal LLC*

- c) Educational materials shall be available in commonly spoken languages designated by the Commission, which will include, but not be limited to appropriate materials for the visually and hearing-impaired.
- d) The educational material shall include at least the following:
  - a. A warning that marijuana has not been analyzed or approved by the FDA, that there is limited information on side effects, that there may be health risks associated with using marijuana, and that it should be kept away from children;
  - b. A warning that when under the influence of marijuana, driving is prohibited by M.G.L. c. 90, § 24, and machinery should not be operated;
  - c. Information to assist in the selection of marijuana, describing the potential differing effects of various strains of marijuana, as well as various forms and routes of administration;
  - d. Materials offered to consumers to enable them to track the strains used and their associated effects;
  - e. Information describing proper dosage and titration for different routes of administration. Emphasis shall be on using the smallest amount possible to achieve the desired effect. The impact of potency must also be explained;
  - f. A discussion of tolerance, dependence, and withdrawal;
  - g. Facts regarding substance abuse signs and symptoms, as well as referral information for substance abuse treatment programs;
  - h. A statement that consumers may not sell marijuana to any other individual;
  - i. Information regarding penalties for possession or distribution of marijuana in violation of Massachusetts law; and
  - j. Any other information required by the Commission.

All consumer education materials shall be made available for inspection by the Commission on request.

See also the Company's *Policy for Separating Recreational from Medical Operations*, which shall be incorporated herein by reference.

This policy may also be referred to by the Company as the “**Retail Dispensing Policy**”.

# *Grass Appeal LLC*

## Diversity Plan

Grass Appeal LLC (the “**Company**”) is currently one hundred percent (100%) owned by veterans and as such understands and appreciates the importance of diversity and as such is committed to actively working to ensure a diverse work place is created in the Company.

It is a policy of the Company to promote equity among minorities, women, veterans, people with disabilities, and LGBTQ+ in the operation of the Marijuana Establishment. To the extent permissible by law, the Company will make jobs available to minorities, women, veterans, people with disabilities, and LGBTQ+, but this does not prevent the Company from hiring the most qualified candidates and complying with all employment laws and other legal requirements. To this end, the Company will deploy a plan for enhancing diversity and equity within the organization through a number of various outreach efforts.

Specifically, as it relates to its own internal practices, the Company will implement the following policies in connection with its diversity plan:

### Goals:

- (1) The Company endeavors to provide job opportunities to minorities, women, veterans, people with disabilities, and LGBTQ+. As the Company hires new employees, it shall be a goal of the Company to increase the number of individuals employed by the Company that fall within the aforementioned target demographics by **10% of the number of jobs added, or a minimum of 1 job**, whichever is greater. Further breakdown of this 10% would be 2% minorities, 2% women, 2% veterans, 2% people with disabilities, and 2% LGBTQ+.
- (2) It shall be a goal of the Company to offer **advancement to management and executive positions internally**, thereby providing opportunities to its diverse workforce, to the extent its workforce has been filled by diverse individuals, for advancement.
- (3) The Company shall seek parity in its work force based on the American Community Survey (ACS) 2010 U.S. Census. **Workforce availability statistics for the Total Civilian Labor Force for Massachusetts are as follows: Women 48.8%, Minorities 20.7%, Persons with Disabilities 12%, and Veterans 7%<sup>1</sup>.**
- (4) It shall be a goal of the Company to ensure that all of its employees receive **training on diversity and sensitivity**.

### Programs:

To the extent reasonably practicable, the Company shall Implement the following programs:

- (1) In an effort to ensure it has the opportunity to interview, and hire a diverse staff, the Company will post **monthly notices** for **three (3) months** prior to opening any of its Marijuana Establishments in newspapers of general circulation such as the **Worcester Telegram and**

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<sup>1</sup> <https://www.mass.gov/files/2017-08/census-2010-workforce-availability.pdf>

## ***Grass Appeal LLC***

***Gazette*** and post a notice at the municipal offices in ***Worcester three (3) months*** prior to opening. The aforementioned notices will state that the Company is specifically looking for women, minorities, or persons with disabilities to work for the Company. The Company also intends to advertise its job openings through ***MassHire***.

- (2) In an effort to ensure the Company meets its goal of offering advancement to management and executive positions internally, the Company shall offer ***100% of the Company's opportunities for*** advancement internally.
- (3) As described above, it is a goal of the Company to seek parity in its workforce. Accordingly, the Company shall form a diversity and equity committee to monitor the Company's progress towards meeting those goals. This committee will meet ***quarterly*** to review and assess the Company's hires and hiring practices. ***Meeting Minutes*** will be provided to the Commission on request and for the Company's annual license renewal application.
- (4) The Company shall require that employees receive education on diversity, implicit biases and sensitivity within the ***first ninety (90) days of employment and once annually thereafter***.

### **Measurements:**

To the extent reasonably practicable and as allowed by law, the Company shall implement the following measurements:

- a. Pursuant to 935 CMR 500.103(4)(a) the Company's diversity and equality committee shall prepare an annual report identifying the Company's efforts to encourage diversity in the work place, in compliance with 935 CMR 500.101(1)(c)(7)(k) and this Diversity Policy. Specifically, said report shall identify the demographics of its employee population including but not limited to identifying the gender, race, sexual orientation and disabled status of its employees without identifying the employee specifically and to the extent each employee is willing to share such information.

Additionally, this report will include the following metrics:

- i. Number of individuals from the target demographic groups who were hired and retained after the issuance of a license;
- ii. Number of promotions for people falling into the target demographics since initial licensure and number of promotions offered;
- iii. Number of jobs created since initial licensure;
- iv. Number of job postings in publications with supporting documentation; and
- v. Number and subject matter of internal trainings held on diversity, implicit biases and sensitivity and the number of employees in attendance.

## *Grass Appeal LLC*

The Company affirmatively states that: (1) it has reached out to MassHire to confirm that it can post job offers through that organization; (2) it acknowledges and is aware, and will adhere to, the requirements set forth in 935 CMR 500.105(4) which provides the permitted and prohibited advertising, branding, marketing, and sponsorship practices of every Marijuana Establishment; (3) any actions taken, or programs instituted, will not violate the Commission's regulations with respect to limitations on ownership or control or other applicable state laws and (4) the Company will be required to document progress or success of this plan, in its entirety, annually upon renewal of this license.

This policy may also be referred to by the Company as the “**Diversity Plan**”.

# *Grass Appeal LLC*

## **Maintaining of Financial Records**

Grass Appeal LLC (the “**Company**”) shall keep and maintain records of the Marijuana Establishment in accordance with generally accepted accounting principles. Such records shall be available for inspection by the Commission, upon request and shall include, but not be limited to, all financial records required in any section of 935 CMR 500.000: *Adult Use of Marijuana*, and business records, in accordance with 935 CMR 500.105(e), which shall include manual or computerized records of:

1. Assets and liabilities;
2. Monetary transactions;
3. Books of accounts, which shall include journals, ledgers, and supporting documents, agreements, checks, invoices, and vouchers;
4. Sales records including the quantity, form, and cost of marijuana products; and
5. Salary and wages paid to each employee, or stipend, executive compensation, bonus, benefit, or item of value paid to any persons having direct or indirect control over the marijuana establishment, if any.

Furthermore, consistent with the Company’s *Dispensing Policy*, the Company shall implement the following policies for Recording Sales

- (a) The Company shall utilize a point-of-sale (“**POS**”) system approved by the Commission, in consultation with the Massachusetts Department of Revenue (“**DOR**”).
- (b) The Company may also utilize a sales recording module approved by the DOR.
- (c) The Company shall not utilize any software or other methods to manipulate or alter sales data at any time or under any circumstances.
- (d) The Company shall conduct a monthly analysis of its equipment and sales data to determine that no software has been installed that could be utilized to manipulate or alter sales data and that no other methodology has been employed to manipulate or alter sales data. The Company shall maintain records that it has performed the monthly analysis and produce it upon request to the Commission. If the Company determines that software has been installed for the purpose of manipulation or alteration of sales data or other methods have been utilized to manipulate or alter sales data:
  - i. it shall immediately disclose the information to the Commission;
  - ii. it shall cooperate with the Commission in any investigation regarding manipulation or alteration of sales data; and

## *Grass Appeal LLC*

- iii. take such other action directed by the Commission to comply with 935 CMR 500.105.
- (e) The Company shall comply with 830 CMR 62C.25.1: Record Retention and DOR Directive 16-1 regarding recordkeeping requirements.
- (f) The Company shall adopt separate accounting practices at the POS for marijuana and marijuana product sales, and non-marijuana sales.
- (g) The Company shall allow the Commission and the DOR audit and examine the POS system used by a retailer in order to ensure compliance with Massachusetts tax laws and 935 CMR 500.000: *Adult Use of Marijuana*;

Following closure of a Marijuana Establishment, the Company shall keep all records for at least two years at the Company's expense and in a form and location acceptable to the Commission.

This policy may also be referred to by the Company as the “**Financial Record Maintenance and Retention Policy**”.

# *Grass Appeal LLC*

## **Personnel Policies Including Background Checks**

Grass Appeal LLC (the “**Company**”) shall implement the following Personnel Policies and Background Check policies:

- (1) The Company shall require that all personnel strictly adhere to, and comply with, all aspects of the *Security Policy*, which policy shall be incorporated herein by reference, specifically employee security policies, including personal safety and crime prevention techniques;
- (2) The Company shall develop a staffing plan and staffing records in compliance with 935 CMR 500.105(9)(d);
- (3) The Company shall develop emergency procedures, including a disaster plan with procedures to be followed in case of fire or other emergencies;
- (4) It shall be a policy of the Company that the workplace shall be alcohol, smoke and drug-free;
- (5) The Company shall require that all personnel strictly adhere to, and comply with, all aspects of the *Record Retention* and *Financial Record Maintenance and Retention* policies, which policies shall be incorporated herein by reference, specifically regarding the maintenance of confidential information and other records required to be maintained confidentially;
- (6) The Company shall immediately dismiss any Marijuana Establishment agent who has:
  - a. Diverted marijuana, which shall be reported to law enforcement authorities and to the Commission;
  - b. Engaged in unsafe practices with regard to operation of the Marijuana Establishment, which shall be reported to the Commission; or
  - c. Been convicted or entered a guilty plea, plea of nolo contendere, or admission to sufficient facts of a felony drug offense involving distribution to a minor in the Commonwealth, or a like violation of the laws of Other Jurisdictions (as that term is defined in 935 CMR 500.002).
- (7) The Company shall make a list of all board members and Executives (as that term is defined in 935 CMR 500.002) of the Marijuana Establishment, and members of the licensee (if any), available upon request by any individual. The Company may make this list available on its website.
- (8) The Company shall develop policies and procedures for the handling of cash on Marijuana Establishment premises including but not limited to storage, collection frequency, and transport to financial institution(s), as set forth in its *Security Policy*.

## *Grass Appeal LLC*

- (9) The Company shall apply for registration for all of its board members, directors, employees, Executives (as that term is defined in 935 CMR 500.002), managers, and volunteers. All such individuals shall:
- (a) be 21 years of age or older;
  - (b) not have been convicted of an offense in the Commonwealth involving the distribution of controlled substances to minors, or a like violation of the laws of Other Jurisdictions (as that term is defined in 935 CMR 500.002); and
  - (c) be determined suitable for registration consistent with the provisions of 935 CMR 500.800 and 935 CMR 500.801 or 935 CMR 500.802.
- (10) An application for registration of a marijuana establishment agent shall include:
- (a) the full name, date of birth, and address of the individual;
  - (b) all aliases used previously or currently in use by the individual, including maiden name, if any;
  - (c) a copy of the applicant's driver's license, government-issued identification card, liquor purchase identification card issued pursuant to M.G.L. c. 138, § 34B, or other verifiable identity document acceptable to the Commission;
  - (d) an attestation that the individual will not engage in the diversion of marijuana products;
  - (e) written acknowledgment by the applicant of any limitations on his or her authorization to cultivate, harvest, prepare, package, possess, transport, and dispense marijuana in the Commonwealth;
  - (f) background information, including, as applicable:
    - 1. a description and the relevant dates of any criminal action under the laws of the Commonwealth, or Other Jurisdiction (as that term is defined in 935 CMR 500.002), whether for a felony or misdemeanor and which resulted in conviction, or guilty plea, or plea of nolo contendere, or admission of sufficient facts;
    - 2. a description and the relevant dates of any civil or administrative action under the laws of the Commonwealth, or Other Jurisdiction (as that term is defined in 935 CMR 500.002) relating to any professional or occupational or fraudulent practices;
    - 3. a description and relevant dates of any past or pending denial, suspension, or revocation of a license or registration, or the denial of a renewal of a license or

## *Grass Appeal LLC*

registration, for any type of business or profession, by any federal, state, or local government, or any foreign jurisdiction;

4. a description and relevant dates of any past discipline by, or a pending disciplinary action or unresolved complaint by, the Commonwealth, or Other Jurisdiction (as that term is defined in 935 CMR 500.002) with regard to any professional license or registration held by the applicant;

(g) a nonrefundable application fee paid by the Marijuana Establishment with which the marijuana establishment agent will be associated; and

(h) any other information required by the Commission.

(11) An Executives (as that term is defined in 935 CMR 500.002) of the Company registered with the Department of Criminal Justice Information Systems (“DCJIS”) pursuant to 803 CMR 2.04: *iCORI Registration*, shall submit to the Commission a Criminal Offender Record Information (“CORI”) report and any other background check information required by the Commission for each individual for whom the Company seeks a marijuana establishment agent registration, obtained within 30 calendar days prior to submission.

a. The CORI report obtained by the Company shall provide information authorized under Required Access Level 2 pursuant to 803 CMR 2.05(3)(a)2.

b. The Company’s collection, storage, dissemination and usage of any CORI report or background check information obtained for marijuana establishment agent registrations shall comply with 803 CMR 2.00: *Criminal Offender Record Information (CORI)*.

(12) The Company shall notify the Commission no more than one (1) business day after a marijuana establishment agent ceases to be associated with the Company. The subject agent’s registration shall be immediately void when the agent is no longer associated with the Company.

(13) The Company shall require that all agents renew their registration cards annually from the date of issue, subject to a determination by the Commission that the agent continues to be suitable for registration.

(14) After obtaining a registration card for a marijuana establishment agent, the Company shall notifying the Commission, in a form and manner determined by the Commission, as soon as possible, but in any event, within five (5) business days of any changes to the information that the establishment was previously required to submit to the Commission or after discovery that a registration card has been lost or stolen.

(15) The Company’s agents shall carry their registration card at all times while in possession of marijuana products, including at all times while at the Marijuana Establishment or while transporting marijuana products.

## *Grass Appeal LLC*

- (16) Should any of the Company's agents be affiliated with multiple Marijuana Establishments the Company shall ensure that such agents are registered as a marijuana establishment agent by each Marijuana Establishment and shall be issued a registration card for each establishment.
- (17) The Company shall maintain, and keep up to date, an employee handbook that employees will be given copies of at the start of their employment and will be required to attest that they have read and received the same, covering a wide range of topics, including but not limited to: (1) Employee benefits; (2) Vacation and sick time; (3) Work schedules; (4) Confidentiality standards; (5) Criminal background check standards (6) Security and limited access areas; (7) Employee identification and facility access; (8) Personal safety and crime prevention techniques; (9) Alcohol, drug, and smoke-free workplace; and (10) Grounds for discipline and termination. Each Employee shall be required to review the handbook and attest to their understanding and receipt of the same. The Company will review its employee handbook periodically and communicate any changes to its employees.

### **Personnel Record Keeping**

The Company shall maintain the following Personnel Records:

1. Job descriptions for each employee and volunteer position, as well as organizational charts consistent with the job descriptions;
2. A personnel record for each marijuana establishment agent. Such records shall be maintained for at least 12 months after termination of the individual's affiliation with the Marijuana Establishment and shall include, at a minimum, the following:
  - a. All materials submitted to the Commission pursuant to 935 CMR 500.030(2);
  - b. Documentation of verification of references;
  - c. The job description or employment contract that includes duties, authority, responsibilities, qualifications, and supervision
  - d. Documentation of all required training, including training regarding privacy and confidentiality requirements, and the signed statement of the individual indicating the date, time, and place he or she received said training and the topics discussed, including the name and title of presenters;
  - e. Documentation of periodic performance evaluations;
  - f. A record of any disciplinary action taken; and
  - g. Notice of completed responsible vendor and eight (8) hour related duty training.
3. A staffing plan that will demonstrate accessible business hours and safe cultivation conditions (as applicable);

## *Grass Appeal LLC*

4. Personnel policies and procedures; and
5. All background check reports obtained in accordance with M.G.L. c. 6 § 172, 935 CMR 500.029, 935 CMR 500.030, and 803 CMR 2.00: *Criminal Offender Record Information (CORI)*.

The Company's aforementioned Personnel Records shall be available for inspection by the Commission, on request. All records shall be maintained in accordance with generally accepted accounting principles.

Following closure of the Company's Marijuana Establishment, all records shall be kept for at least two (2) years at the Company's expense, in a form and location acceptable to the Commission.

### **Staffing Plan:**

#### Executive Level:

- CEO;
- CFO; and
- COO.

#### Management Level:

- Sales Manager;
- Cultivation Manager; and
- Security Manager.

#### Staff Level

- Up to fifteen (15) Staff Level Sales Representatives;
- Up to ten (10) Staff Level Cultivation Associates

#### Consultant Level

- Attorney / Compliance Officer;
- Human Resources Provider; and
- Up to five (5) Security Officers.

This policy may also be referred to by the Company as the “**Personnel and Background Check Policy**”.

# *Grass Appeal LLC*

## **Employee Qualifications and Training**

Grass Appeal LLC (the “**Company**”) shall ensure that all marijuana establishment agents complete training prior to performing job functions. Training shall be tailored to the roles and responsibilities of the job function of each marijuana establishment agent, and at a minimum shall include a three (3) hour Responsible Vendor Program under 935 CMR 500.105(2)(b). Agents responsible for tracking and entering product into the Seed-to-sale SOR must receive training in a form and manner determined by the Commission. It shall be a policy of the Company that all marijuana agents and staff shall receive and participate in, a minimum of, eight (8) hours of on-going training annually.

Company Training Policies shall be as follows:

1. All owners, managers and employees of the Company that are involved in the handling and sale of marijuana for adult use at the time of licensure or renewal of licensure, as applicable, shall attend and successfully complete a responsible vendor training program.
2. Once the Company is designated as a “responsible vendor” all new employees involved in the handling and sale of marijuana for adult use shall successfully complete a responsible vendor training program within ninety (90) days of hire.
3. It shall be a policy of the Company that after initial successful completion of a responsible vendor program, each owner, manager, and employee involved in the handling and sale of marijuana for adult use shall successfully complete the program once every year thereafter to maintain designation as a “responsible vendor.”
4. Administrative employees who do not handle or sell marijuana may take the responsible vendor training program on a voluntary basis.
5. The Company shall maintain records of responsible vendor training program compliance for four (4) years and make them available to inspection by the Commission and any other applicable licensing authority on request during normal business hours.

The Company shall ensure that such responsible vendor training programs core curriculum include the following:

- (a) Discussion concerning marijuana’s effect on the human body. Training shall include:
  - a. Scientifically based evidence on the physical and mental health effects based on the type of marijuana product;
  - b. The amount of time to feel impairment;
  - c. Visible signs of impairment; and
  - d. Recognizing the signs of impairment.

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- (b) Diversion prevention and prevention of sales to minors, including best practices;
- (c) Compliance with all tracking requirements; and
- (d) Acceptable forms of identification. Training shall include:
  - a. How to check identification;
  - b. Spotting false identification;
  - c. Patient registration cards formerly and validly issued by the DPH or currently and validly issued by the Commission;
  - d. Provisions for confiscating fraudulent identifications; and
  - e. Common mistakes made in verification.
- (e) Other key state laws and rules affecting owners, managers, and employees, which shall include:
  - a. Local and state licensing and enforcement;
  - b. Incident and notification requirements;
  - c. Administrative and criminal liability;
  - d. License sanctions;
  - e. Waste disposal;
  - f. Health and safety standards;
  - g. Patrons prohibited from bringing marijuana onto licensed premises;
  - h. Permitted hours of sale;
  - i. Conduct of the Marijuana Establishment;
  - j. Permitting inspections by state and local licensing and enforcement authorities;
  - k. Licensee responsibilities for activities occurring within licensed premises;
  - l. Maintenance of records;
  - m. Privacy issues; and

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- n. Prohibited purchases and practices.
- (f) Any other areas of training determined by the Commission to be included in a responsible vendor training program.

The Company shall also ensure that all of its board members, directors, employees, Executives (as that term is defined in 935 CMR 500.002), managers, and volunteers shall:

- (a) be 21 years of age or older;
- (b) not have been convicted of an offense in the Commonwealth involving the distribution of controlled substances to minors, or a like violation of the laws of another state, the United States or foreign jurisdiction, or a military, territorial, or Native American tribal authority; and
- (c) be determined suitable for registration consistent with the provisions of 935 CMR 500.800 and 500.802.

This policy may also be referred to by the Company as the “**Employee Qualification and Training Policy**”.

# *Grass Appeal LLC*

## **Quality Control and Testing for Contaminants**

### Testing of Marijuana

Grass Appeal LLC (the “**Company**”) shall not sell or otherwise market for adult use any marijuana product, including marijuana, that has not first been tested by an Independent Testing Laboratory, except as allowed under 935 CMR 500.000: *Adult Use of Marijuana*.

In accordance with 935 CMR 500.120(6) [CULTIVATION] the Company shall provide documentation of compliance or lack thereof, as the case may be, with the testing requirements of 935 CMR 500.160.

The Company shall engage an Independent Testing Laboratory to test its marijuana products in compliance with the protocol(s) established in accordance with M.G.L. 94G § 15 and in a form and manner determined by the Commission including, but not limited to, *Protocol for Sampling and Analysis of Finished Medical Marijuana Products and Marijuana-infused Products*. Testing of the Company’s environmental media (e.g., soils, solid growing media, and water) shall be performed in compliance with the *Protocol for Sampling and Analysis of Environmental Media for Massachusetts Registered Medical Marijuana Dispensaries* published by the Commission.

The Company shall test for the cannabinoid profile and for contaminants as specified and required by the Commission, including but not limited to mold, mildew, heavy metals, plant-growth regulators, and the presence of pesticides not approved for use on marijuana by the Massachusetts Department of Agricultural Resources.

The Company shall notify the Commission within seventy-two (72) hours of receipt in writing, of any laboratory testing results indicating that the marijuana or marijuana products contaminant levels are above acceptable limits established in the protocols identified in 935 CMR 500.160(1) that contamination cannot be remediated, and must be disposed of. The notification from the Company shall describe a proposed plan of action for both the destruction of the contaminated production batch within seventy-two (72) hours, and the assessment of the source of contamination and shall contain any information regarding contamination as specified by the Commission, or immediately upon request by the Commission. The Company shall ensure that notification comes from both the Marijuana Establishment and the Independent Testing Laboratory, separately and directly.

The Company shall maintain the results of all testing for no less than one year. Any marijuana or marijuana products with testing dates in excess of one year shall be deemed expired and may not be dispensed, sold, transferred or otherwise conveyed until retested.

All transportation of marijuana to and from Independent Testing Laboratories providing marijuana testing services shall comply with the Company’s *Transportation Policy* and 935 CMR 500.105(13).

All excess marijuana shall be disposed of in compliance with the Company’s *Waste Disposal Policy* and 935 CMR 500.105(12), either by the Independent Testing Laboratory returning excess

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marijuana to the source Marijuana Establishment for disposal or by the Independent Testing Laboratory disposing of it directly.

The seeds are not subject to these testing requirements. Clones are subject to these testing requirements, but are exempt from testing for metals.

Single-servings of Marijuana Products tested for potency in accordance with 935 CMR500.150(4)(a) shall be subject to a potency variance of no greater than plus/minus ten percent (+/- 10%).

Marijuana and Marijuana Products submitted for retesting prior to remediation must be submitted to an Independent Testing Laboratory other than the laboratory which provided the initial failed result. Marijuana submitted for retesting after documented remediation may be submitted to the same Independent Testing Laboratory that produced the initial failed testing result prior to remediation

### Handling of Marijuana

The Company shall handle and process marijuana and marijuana products in a safe and sanitary manner. The Company shall implement the following policies:

- (a) The Company shall process the leaves and flowers of the female marijuana plant only, which shall be:
  1. Well cured and generally free of seeds and stems;
  2. Free of dirt, sand, debris, and other foreign matter;
  3. Free of contamination by mold, rot, other fungus, pests and bacterial diseases and satisfying the sanitation requirements in 105 CMR 500.000: *Good Manufacturing Practices for Food*, and if applicable, 105 CMR 590.000: *State Sanitary Code Chapter X: Minimum Sanitation Standards for Food Establishments*;
  4. Prepared and handled on food-grade stainless steel tables with no contact with the Company's marijuana establishment agents' bare hands; and
  5. Packaged in a secure area.
  
- (b) The Company shall comply with the following sanitary requirements:
  1. Any marijuana establishment agent whose job includes contact with marijuana or non-edible marijuana products, including cultivation, production, or packaging shall comply with the requirements for food handlers specified in 105 CMR 300.000: *Reportable Diseases, Surveillance, and Isolation and Quarantine Requirements*;
  2. Any marijuana establishment agent working in direct contact with preparation of marijuana or non-edible marijuana products shall conform to sanitary practices while on duty, including:
    - i. Maintaining adequate personal cleanliness; and

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- ii. Washing hands thoroughly in an adequate hand-washing area before starting work, and at any other time when hands may have become soiled or contaminated.
3. The Company shall supply adequate and convenient hand-washing facilities furnished with running water at a suitable temperature. Hand-washing facilities shall be located in the Marijuana Establishment in production areas and where good sanitary practices require employees to wash and sanitize their hands, and shall provide effective hand-cleaning and sanitizing preparations and sanitary towel service or suitable drying devices;
4. The Company shall supply sufficient space for placement of equipment and storage of materials as is necessary for the maintenance of sanitary operations;
5. Litter and waste shall be properly removed, disposed of so as to minimize the development of odor and minimize the potential for the waste attracting and harboring pests. The operating systems for waste disposal shall be maintained in an adequate manner pursuant to 935 CMR 500.105(12);
6. Floors, walls, and ceilings shall be constructed in such a manner that they may be adequately kept clean and in good repair;
7. The Company shall ensure that there will be adequate safety lighting in all processing and storage areas, as well as areas where equipment or utensils are cleaned;
8. Buildings, fixtures, and other physical facilities shall be maintained in a sanitary condition;
9. All contact surfaces, including utensils and equipment, shall be maintained in a clean and sanitary condition. Such surfaces shall be cleaned and sanitized as frequently as necessary to protect against contamination, using a sanitizing agent registered by the US Environmental Protection Agency (EPA), in accordance with labeled instructions. Equipment and utensils shall be so designed and of such material and workmanship as to be adequately cleanable;
10. All toxic items shall be identified, held, and stored in a manner that protects against contamination of marijuana products. Toxic items shall not be stored in an area containing products used in the cultivation of marijuana. The Commission may require a Marijuana Establishment to demonstrate the intended and actual use of any toxic items found on the premises;
11. The Company's water supply shall be sufficient for necessary operations. Any private water source shall be capable of providing a safe, potable, and adequate supply of water to meet the Marijuana Establishment's needs;

## *Grass Appeal LLC*

12. Plumbing shall be of adequate size and design, and adequately installed and maintained to carry sufficient quantities of water to required locations throughout the Marijuana Establishment. Plumbing shall properly convey sewage and liquid disposable waste from the Marijuana Establishment. There shall be no cross-connections between the potable and waste water lines;
  13. The Company shall provide its employees with adequate, readily accessible toilet facilities that are maintained in a sanitary condition and in good repair;
  14. Products that can support the rapid growth of undesirable microorganisms shall be held in a manner that prevents the growth of these microorganisms; and
  15. Storage and transportation of finished products shall be under conditions that will protect them against physical, chemical, and microbial contamination as well as against deterioration of finished products or their containers.
  16. All vehicles and transportation equipment used in the transportation of marijuana products or edibles requiring temperature control for safety must be designed, maintained, and equipped as necessary to provide adequate temperature control to prevent the marijuana products or edibles from becoming unsafe during transportation, consistent with applicable requirements pursuant to 21 CFR 1.908(c).
- (c) The Company shall comply with sanitary requirements. All edible products shall be prepared, handled, and stored in compliance with the sanitation requirements in 105 CMR 590.000: *State Sanitary Code Chapter X: Minimum Sanitation Standards for Food Establishments*.

This policy may also be referred to by the Company as the “**Quality Control and Testing Policy**”.

# *Grass Appeal LLC*

## **Record Keeping Procedures**

Grass Appeal LLC (the “**Company**”) shall keep and maintain records of the Marijuana Establishment in accordance with generally accepted accounting principles. Such records shall be available for inspection by the Commission, upon request and shall include, but not be limited to, all records required in any section of 935 CMR 500.000: *Adult Use of Marijuana*, in addition to the following:

- (a) Written operating procedures as required by 935 CMR 500.105(1);
- (b) Inventory records as required by 935 CMR 500.105(8);
- (c) Seed-to-sale tracking records for all marijuana products as required by 935 CMR 500.105(8)(e);
- (d) Personnel records as described in the Company’s *Personnel and Background Check Policy*, which policy shall be incorporated herein by reference, and as follows:
  - a. Job descriptions for each employee and volunteer position, as well as organizational charts consistent with the job descriptions;
  - b. A personnel record for each marijuana establishment agent. Such records shall be maintained for at least 12 months after termination of the individual’s affiliation with the Marijuana Establishment and shall include, at a minimum, the following:
    - i. All materials submitted to the Commission pursuant to 935 CMR 500.030(2);
    - ii. Documentation of verification of references;
    - iii. The job description or employment contract that includes duties, authority, responsibilities, qualifications, and supervision
    - iv. Documentation of all required training, including training regarding privacy and confidentiality requirements, and the signed statement of the individual indicating the date, time, and place he or she received said training and the topics discussed, including the name and title of presenters;
    - v. Documentation of periodic performance evaluations;
    - vi. A record of any disciplinary action taken; and
    - vii. Notice of completed responsible vendor and eight (8) hour related duty training.

## *Grass Appeal LLC*

- c. A staffing plan that will demonstrate accessible business hours and safe cultivation conditions (as applicable);
  - d. Personnel policies and procedures; and
  - e. All background check reports obtained in accordance with M.G.L. c. 6 § 172, 935 CMR 500.029, 935 CMR 500.030, and 803 CMR 2.00: *Criminal Offender Record Information (CORI)*;
- (e) Business records as described in the Company's *Financial Record Maintenance and Retention Policy*, which shall include manual or computerized records of the following: (1) assets and liabilities; (2) monetary transactions; (3) books of accounts, which shall include journals, ledgers, and supporting documents, agreements, checks, invoices, and vouchers; (4) sales records including the quantity, form, and cost of marijuana products; and (5) salary and wages paid to each employee, or stipend, executive compensation, bonus, benefit, or item of value paid to any persons having direct or indirect control over the marijuana establishment, if any; and
- (f) Waste disposal records as required under 935 CMR 500.105(12), including but not limited to, a written or electronic record of the date, the type and quantity of marijuana, marijuana products or waste disposed or handled, the manner of disposal or other handling, the location of disposal or other handling, and the names of the two (2) Marijuana Establishment Agents present during the disposal or other handling, with their signatures. The Company shall keep these records for at least three (3) years. This period shall automatically be extended for the duration of any enforcement action and may be extended by an order of the Commission.

All Confidential Information (as that term is defined in 935 CMR 500.002 shall be maintained confidentially including secured or protected storage (whether electronically or in hard copy), and accessible only to the minimum number of specifically authorized employees essential for efficient operation and retention of such records. In any event, the Company shall be authorized to disclose such confidential information as may be required by law.

Following closure of a Marijuana Establishment, the Company shall keep all records for at least two (2) years at the Company's expense and in a form and location acceptable to the Commission.

It shall be a policy of the company that any and all records subject to any enforcement action shall be retained for the duration of such action, or as otherwise extended by order of the Commission.

This policy may also be referred to by the Company as the "**Record Retention Policy**".

## *Grass Appeal LLC*

### **Restricting Access to Age 21 and Older**

Grass Appeal LLC (the “**Company**”) shall require that all Marijuana Establishment Agents, Visitors and Consumers of marijuana for adult use (each as defined in 935 CMR 500.002) are 21 years of age or older. The Company will positively identify individuals seeking access to the premises of the Marijuana Establishment, or to whom marijuana or marijuana products are being transported pursuant to 935 CMR 500.105(14) (if applicable) to limit access solely to individuals 21 years of age or older.

Pursuant to 935 CMR 500.140, the Company shall immediately inspect an individual’s proof of identification and determine that the individual is 21 years of age or older upon entry to the Marijuana Establishment. The Company shall also inspect an individual’s proof of identification at the point of sale and determine that the individual is 21 years of age or older.

The identification shall contain a name, photograph, and date of birth, and shall be limited to one of the following:

1. A driver’s license;
2. A government issued identification card;
3. A military identification card; or
4. A passport.

Currently, the Company is only applying for Marijuana Retailer and Marijuana Cultivator licenses at this location.

This policy may also be referred to by the Company as the “**Policy to Restrict Access to Persons Age 21 and Older**”.

## *Grass Appeal LLC*

### **Separating Recreational from Medical Operations**

Currently, Grass Appeal LLC (the “**Company**”) is only applying for Marijuana Retailer, Marijuana Cultivator licenses at this location.

This policy may also be referred to by the Company as the “**Policy for Separating Recreational from Medical Operations**”.

# *Grass Appeal LLC*

## **Plan for Positive Impact**

Grass Appeal LLC (the “**Company**”) is a veteran owned and operated business. Accordingly, it intends to focus its positive impact efforts on veteran groups that impact other areas of disproportionate impact as identified by the Commission. The Company has identified, and plans to focus its efforts in the disproportionately impacted areas of Worcester, and more specifically the following census tract numbers in Worcester County: 730200; 730500; 731002; 731203; 731204; 731300; 731400; 731500; 731700; 731800; 732302; 732400; 732700; and 733000 (approximately 18 miles by car from Uxbridge) and Southbridge (25 miles by car from Uxbridge) (together, the specific census tracts in Worcester and Southbridge are hereinafter referred to as the “**Target Areas**”). Accordingly, the Company intends to focus its efforts in the Target Areas and on Massachusetts Residents who have, or have parents or spouses who have, past drug convictions.

The Company will implement the following goals, programs and measurements pursuant to this Plan for Positive Impact (the “**Positive Impact Plan**”).

### **Goals:**

The Company’s goals for this Positive Impact Plan are as follows:

1. Hire, in a legal and non-discriminatory manner, **at least 25% of its employees** from Target Areas, and/or Massachusetts residents who have, or have parents or spouses who have, past drug convictions;
2. Contribute a minimum of **forty (40) hours** of volunteer time to charitable groups serving the Target Areas; and
3. Contribute a minimum of **Ten Thousand and 00/100 Dollars (\$10,000.00)** to charitable groups serving the Target Areas and/or Massachusetts residents who have, or have parents or spouses who have, past drug convictions.

### **Programs:**

In an effort to reach the abovementioned goals, the Company shall implement the following practices and programs:

1. In an effort to ensure that the Company has the opportunity to interview, and hire, individuals from the Target Areas or Massachusetts residents who have past drug convictions it shall post **monthly notices** for at least **three (3) months** prior to opening at the municipal offices of the Target Areas and in newspapers of general circulation in the Target Areas, including but not limited to, **the Worcester Telegram and Gazette and the Southbridge News**, these notices will state, among other things, that the Company is specifically looking for Massachusetts residents who are 21 years or older and either (i) live in a Target Area or another area of disproportionate impact as defined by the Commission; or (ii) have past drug convictions, for employment.

## ***Grass Appeal LLC***

Such residency, or prior drug conviction status, will be a positive factor in hiring decisions, but this does not prevent the Company from hiring the most qualified candidates and complying with all employment laws and other legal requirements.

2. In an effort to ensure that it will meet its volunteering goals, the Company will encourage its employees to volunteer by providing work related incentives such as 2-4 paid volunteer days with charitable groups serving the Target Areas, such as Project New Hope.
3. In an effort to ensure that it will meet its contribution goals, the Company has met with representatives from Project New Hope and confirmed their willingness to work with the Company.

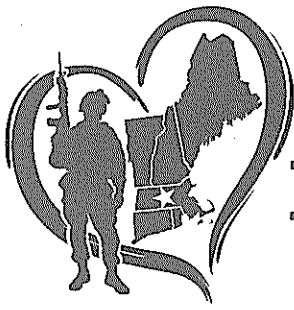
The Company respectfully submits that it will comply with the advertising, branding, marketing and sponsorship practices as outlined in 935 CMR 500.105(4) with respect to accomplishing the foregoing goals. The abovementioned notices will not include any Company advertisements, marketing materials or branding. To the extent the Commission deems necessary, notices and event programming materials will be made available to the Commission for review and inspection prior to publishing.

### **Annual Review:**

Each year, the Company will review the following criteria in an effort to measure the success of its Positive Impact Plan.

1. Identify the number of individuals hired who (i) came from Target Areas, or other areas of disproportionate impact as defined by the Commission; or (ii) have past drug convictions; and
2. Identify the amount of volunteer support as well as the amount of charitable donations the Company has made during the positive impact plan year, and to which organizations those donations went (documentation from said charities about whether or not they serve the Target Areas or other areas of disproportionate impact, or residents with previous drug convictions, will be available for inspection by the Commission upon request).

The Company affirmatively states that it: (1) has confirmed that all of the abovementioned charities have (or will) accepted donations from the Company; (2) acknowledges and is aware, and will adhere to, the requirements set forth in 935 CMR 500.105(4), which provides the permitted and prohibited advertising, branding, marketing, and sponsorship practices of every Marijuana Establishment; (3) any actions taken, or programs instituted, will not violate the Commission's regulations with respect to limitations on ownership or control or other applicable state laws; and (4) the Company will be required to document progress or success of this plan, in its entirety, annually upon renewal of this license.



# Project New Hope INC.

Where Veterans  
Rebuild With Honor

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WWW.PROJECTNEWHOPEMA.ORG

Rich Rainone  
Grass Appeal  
79 River Rd.  
Uxbridge, MA 01569

May 11, 2019

Mr. Rainone,

Thank you, for contacting Project New Hope Inc.

We acknowledge that we understand that you are an adult use cannabis company and that we will accept donations from Grass Appeal.

Regards,

**William H. (Bill) Moore | Executive Director**  
**United States Air Force | Veteran**  
Office: 508-762-9738

Email: Executive Assistant Susan Wilder: [smwilder@projectnewhopema.org](mailto:smwilder@projectnewhopema.org)