

CAROLINE’S CANNABIS, LLC
MRN281274

BACKGROUND & APPLICATION OF INTENT REVIEW

1. Name of the Marijuana Establishment and its application number:

Caroline’s Cannabis, LLC

2. Address of the Marijuana Establishment:

640 Douglas Street, Uxbridge, MA 01569

3. Type of license sought (if cultivation, its tier level and outside/inside operation):

Retailer

4. Applicant is a licensee or applicant for other Marijuana Establishment license(s):

The applicant is not a licensee or applicant for any other Marijuana Establishment license.

5. List of all required individuals and their business roles in the Marijuana Establishment:

Caroline Frankel—Owner

6. List of all required entities and their roles in the Marijuana Establishment:

No other entity, other than the applicant, appear to have direct or indirect authority over the Marijuana Establishment.

7. Priority status of applicant:

General Applicant

8. Location and status of business if it is an RMD:

Not applicable.

9. Information regarding host community agreement certification:

The Host Community Agreement was executed on May 21, 2018; certification provided.

10. Information regarding community outreach meeting:

The community outreach meeting was held on April 11, 2018.
Notice was published at least seven days prior in the Worcester Telegram & Gazette.
The applicant certified notice to the municipality and abutters.
The applicant certified compliance with the community outreach meeting requirements.

11. Any objections regarding compliance with local ordinances and bylaws presented by the municipality:

No objections were communicated to the Commission. The Commission received a response from the municipality on October 1, 2018, stating that the applicant was in compliance with all local ordinances and bylaws.

12. Summary of plan to positively impact areas of disproportionate impact:

The applicant plans to take the following actions:

- a. Institute a direct giving program that will target donations and sponsorships to advocacy groups, economic empowerment non-profits, and local charities in Worcester;
- b. Establish a hiring preference for employees and contractors that reside in Worcester; and
- c. Commit to mentoring eligible applicants seeking entry into the adult-use marijuana industry. Focus will be on individuals who come from areas of disproportionate impact, economic empowerment applicants, Social Equity Program participants, women, veterans, and minorities.

SUITABILITY REVIEW

13. Concerns arising from background checks on individuals associated with the application:

No concerns.

14. Concerns arising from background checks on entities associated with the application:

No concerns.

15. Applicant's disclosure of any past civil or criminal actions:

No disclosures.

16. Applicant's disclosure of any occupational license issues:

No disclosures.

17. Applicant's disclosure of any business interests in other jurisdictions:

No disclosures.

18. Status of applicant's compliance with the Department of Revenue and Secretary of the Commonwealth:

May 21, 2018 | Certificate of Good Standing, Secretary of the Commonwealth
May 4, 2018 | Certificate of Good Standing, Department of Revenue
(Letter ID: L1023891584)

MANAGEMENT AND OPERATIONS REVIEW

19. Applicant's proposed timeline to become operational in the adult-use market:

The applicant will need to perform modifications to its facility once approved for a provisional license. The applicant estimates that operations could begin within approximately three months from being issued a provisional license.

20. Applicant's proposed hours of operation:

Monday-Sunday: 10:00 a.m. to 10:00 p.m.

21. Applicant's compliance with submitting summaries of the following plans, policies and procedures:

- a. Security Plan
- b. Prevention of Diversion Plan
- c. Storage of Marijuana Plan
- d. Transportation Plan
- e. Inventory Procedures
- f. Quality Control and Testing Procedures
- g. Personnel Procedures
- h. Dispensing Procedures
- i. Record-Keeping Procedures
- j. Maintenance of Financial Records Policy
- k. Diversity Plan

The applicant is fully compliant with submitting all summaries. All summaries were determined to be substantially compliant with the requirements listed in 935 CMR 500.105 through 935 CMR 500.160 as applicable. Full compliance will be reviewed during inspections and will be required before a final license is issued.

22. Summary of diversity plan:

As a woman-owned and -operated business, the applicant plans to lead the cannabis community by assembling a diverse workforce consisting of minorities, women, veterans, people with disabilities, people of all gender identities and sexual orientations, people of varying socio-economic backgrounds, and people from communities that have been disproportionately impacted by marijuana prohibition.

The applicant will do the following to accomplish its goal:

- a. Develop a hiring strategy that mitigates any staffing biases;

- b. Utilize job recruitment resources specifically tailored to minority populations;
- c. Hold job fairs; and
- d. Conduct training sessions in areas of disproportionate impact.

The applicant will conduct regular employment equality analyses and take corrective actions if needed.

23. Summary of cultivation plan (if applicable):

Not applicable.

24. Summary of products to be produced and/or sold (if applicable):

Not applicable.

25. Plan for obtaining marijuana or marijuana products (if applicable):

The applicant plans to obtain marijuana and marijuana products from licensed adult-use cultivators and product manufacturers. The applicant will engage with and purchase products from a variety of licensees to create a diverse inventory.

26. ISO 17025 Certifying Body and Certificate Number (if applicable):

Not applicable.

RECOMMENDATION

Commission staff recommend issuing a provisional license with the following conditions:

- (1) Final license is subject to inspection and audit to ascertain compliance with the requirements listed in 935 CMR 500.105 through 935 CMR 500.160 as applicable;
- (2) Final license is subject to inspection and audit to ascertain that its facilities are compliant with all applicable state and local codes, bylaws, ordinances, and regulations;
- (3) The applicant shall cooperate with and provide information to Commission investigators, agents, and employees upon request; and
- (4) Provisional license subject to the payment of the appropriate license fee pursuant to 935 CMR 500.005.

This recommendation was based on the applicant's demonstrated compliance with the laws and regulations of the Commonwealth, suitability for licensure, and upon the evaluation of the thoroughness of the applicant's responses to the required criteria.

Commission staff certify that a due diligence review of the application was performed. As of this date, the applicant has demonstrated compliance with the laws and regulations of the Commonwealth and suitability for licensure. Accordingly, the applicant is recommended for provisional licensure with the previously mentioned conditions.